

Virus Detection using Artificial Neural Networks

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ABSTRACT

A virus is defined as a program that spreads or replicates by copying itself, and generally has malicious effects. The antivirus systems used today mainly detect malware on the basis of known virus patterns, making detection of a new virus very difficult. This deficiency can be overcome by training an artificial neural network with the inputs from Portable Executable (PE) Structure of executable files, as they learn from the training data and will be able to identify unknown virus patterns. PE Structure contains various fields by which one can identify virus infected executable files from the legitimate ones without executing them, and Fisher Score can be used to select the most relevant features (fields) to speed up the analysis. A new technique of identifying virus infected files by using Fisher Score and applying them as input to the neural network is proposed.

General Terms

Virus, Program, Patterns, Executable files

Keywords

PE Structure, Feature, Fisher Score, Artificial Neural Network

1. INTRODUCTION

Nowadays modern society faces the increased problem of information security from virus infected files. These files can severely affect applications in the system as well as intrude the system files, which consequently can lead to entire breakdown of a computer system. There are several ways for identifying such malware:

1. Comparing the software with known virus definition files to find matching patterns. It uses existing virus files to look for patterns using which virus definition files are created. New files are compared with virus definition files to find out if they are malicious.
2. Monitoring behavior of executable files in the system to find any abnormal activities performed by them (Example: Writing to system files etc.). Executable files can perform malicious activities only if they are executed by a user. Usually these files try to get access to unauthorized areas in computers or try to write to system files. Hence one can look for these activities and warn the user about the presence of a virus.
3. Executing suspected files in a virtual environment to look for suspicious activities. It creates a virtual environment in which it runs these files to check if they are malicious.

1.1 ISSUES IN EXISTING SYSTEM

As discussed, there are several methods for identification of malicious software. But there are some issues in these approaches:

- In the first method i.e. comparing the software with virus definition files, it is difficult to detect new viruses as it is unaware about patterns in a new virus.

- In the second method i.e. looking for abnormal activities performed by executable files, it is inefficient because by the time one finds out that it is a malicious software, it is too late as it has already started executing and it is difficult to stop a virus after it has begun its execution in computer.
- In the third method one needs to create a virtual environment and run suspicious files in it which, although effective, is time consuming and costly.

1.2 PROPOSED SOLUTION

This paper utilizes the advantages of neural networks to create a system which is self-learning. This system works in an adaptive environment taking various inputs of a file to determine whether it is a legitimate or malicious file.

The inputs considered for the neural network training are the various fields of Portable Executable structure (PE structure). Various PE header attributes are collected and provided as input to train the neural network. The fields of the PE header give a lot of information about the code inside the .exe file. The significant advantage of using this technique is that all the required information about the file is obtained without actually executing it. Thus the risk of getting the system infected by executing a virus infected file is eliminated. The important fields of PE structure in virus detection are discussed in the next section.

The PE header contains numerous fields. Making use of all the fields would make the system very time consuming and tedious. Also, there are some fields which do not give any distinguishing information for differentiating a legitimate file from a virus infected one. Thus to improve speed of the system, feature selection is performed. Feature selection involves implementation of algorithms which can find those features or attributes which will give the best distinguishing factors for the system.

2. PORTABLE EXECUTABLE STRUCTURE

Just like network packets have a header attached, executable files have a Portable Executable (PE) structure which comprises of many fields which can help identify if a file is malicious. Any virus infected file has some similar values in PE structure, to other such files, which indicates that there is some abnormality in it.

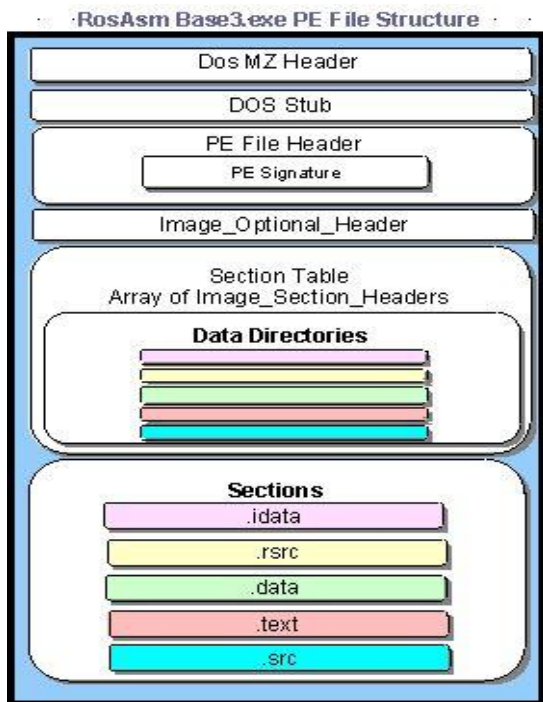


Figure 1: PE Structure

2.1 PE HEADER

The first important part of the PE format is the PE header. The PE file has a header area with a collection of fields at an easy to find location. The PE header describes vital pieces of the portable executable image. The following list entails important fields of the image file header:

- WORD Machine
- WORD NumberOfSections
- WORD Characteristics
- WORD Magic
- DWORD SizeOfCode
- DWORD AddressOfEntryPoint
- DWORD ImageBase
- DWORD SectionAlignment
- DWORD FileAlignment
- DWORD SizeOfImage
- DWORD Checksum

2.2 PE FIELDS

There are various fields in the PE structure which can be used as features for distinguishing legitimate and virus infected files. Following is the list of fields used as features:

- DOS Checksum
- Number Of Sections
- Time Stamp
- Number Of Symbols
- Size Of Code
- Size Of Initialized Data Section
- Size Of Uninitialized Data Section
- Major OS Version
- Minor OS Version
- Major Image Version

- Minor Image Version
- Major Subsystem Version
- Minor Subsystem Version
- Entry Point
- Image File Checksum
- Size Of Exports
- Size Of Imports
- Size Of Resources
- Size Of Exceptions
- Size Of Attribute Certificate Table
- Major Linker Version
- Minor Linker Version
- DLL Characteristics

3. FEATURE SELECTION

A feature refers to an aspect of the data. There are 3 kinds of features namely [25]

1. Relevant: These features are influential in determining the output.
2. Irrelevant: These features are not influential in determining the output.
3. Redundant: These features need not be considered due to them being very similar to another feature, in terms of their influence on the output.

Thus, when considering a subset of features, the most relevant features must be chosen, as they are the most effective ones, having maximum impact on the output. In machine learning, the network is trained by considering a subset of features as input to the learning algorithm. This is mainly due to the fact that all the features aren't useful or relevant. Feature selection is the process of selecting the subset of best features among all features.[16] Identifying the most relevant feature helps in reducing classification error.

The main uses of feature selection are

- It is very useful in data mining [16] and machine learning.
- It is used in the categorization or classification of patterns.
- It is used to avoid the *curse of dimensionality*.[23]

Feature selection has the following advantages [25]

- It improves speed of data analysis.
- It reduces space required as irrelevant and redundant features are ignored.
- It improves accuracy of the result.
- It removes irrelevant and redundant data.

3.1 FEATURE SELECTION ALGORITHMS

The following are some of the feature selection algorithms used:

3.1.1 CHI Square

CHI Square method is based on statistical theory.[12] It measures divergence from the distribution that is expected, under the assumption that occurrence of a feature is independent of the class value.[25] CHI Square technique measures lack of independence between a term and a category, comparable to X2 distribution with one degree of freedom.[11]

The disadvantages associated with CHI Square technique are

- It behaves haphazardly for minute expected counts, due to lack of positive examples.
- Text Classification Problem.[13]
- It cannot find out if dependency between feature and class is negative or positive. This results in wrongly selecting irrelevant or ignoring relevant features.

$$X^2 = \sum_{i=1}^r \sum_{j=1}^c \frac{(O_{i,j} - E_{i,j})^2}{E_{i,j}}$$

3.1.2 Information Gain

Information Gain, as the term suggests, is the amount of information gained by considering a particular feature. It measures the decrease in entropy when a feature is taken into account [25]. Lesser the entropy, lesser is the randomness, more is the information. It is defined as the difference between original uncertainty, or entropy, and expected posterior entropy after considering the feature. The higher the Information Gain, greater is the decrease in entropy, greater is the information obtained, so more relevant is the feature. The lower the Information Gain, lesser is the decrease in entropy, lesser is the information obtained, so more irrelevant is the feature. The main disadvantage of Information Gain technique is that it gives high rank to those attributes with large number of values. [1]

$$Entropy = \sum_{i=1}^n P_i * \log\left(\frac{1}{P_i}\right)$$

$$IG(A) = Entropy(Original) - Entropy(A)$$

3.1.3 Gain Ratio

Gain Ratio technique is primarily based on Information Gain method [1]. It overcomes the shortcomings of Information Gain technique by normalizing the score of a feature's contribution. The result of this is that the value of Gain Ratio will always fall in the range of [0, 1]. [15]

SplitInfo is defined as the probable information gained by partitioning training set D into v partitions, leading to v outcomes on a feature, say A. High SplitInfo implies that the partitions are more or less uniform. Low SplitInfo implies that the partitions are uneven in size. [14]

$$SplitInfo_A(D) = \sum_{j=1}^v \left(\frac{D_j}{D}\right) * \log_2\left(\frac{D}{D_j}\right)$$

$$GR(A) = \frac{IG(A)}{SplitInfo(A)}$$

3.1.4 T-Test

The T-Test method involves comparison between groups. It measures if 2 groups are statistically different from each other. [25] T is defined as the ratio between difference between the mean of 2 groups and dispersion of the scores. Higher the value of T, more is the difference between the 2 groups. Lower the value of T, lesser is the difference between the 2 groups.[25]

$$T = \frac{X' - Y'}{\sqrt{\frac{S_x^2}{n_1} + \frac{S_y^2}{n_2}}}$$

However, T-Test has some disadvantages. T-test is based on some assumptions:

- The populations should be normally distributed. But if scores are not normally distributed then this algorithm would not be effective.
- All the population must have same variance. If you have widely different N in each group then this algorithm would not work properly.

The T-Test for independent means only examines means; it has virtually nothing to say about individual scores. It is important to keep track of the fact that the conclusions are about means, not about individuals.

3.1.5 Fisher Score

Fisher Score technique is one of the most important methods of features selection. The basic idea of Fisher Score is to find a subset of features of the data such that in the data space spanned by selected features, distance between data points in different classes are as large as possible and distance between data points in the same class are as small as possible[1]. Fisher score computes the difference, in terms of mean and standard deviation, between positive and negative examples relative to a particular feature. It assigns ranks to each feature. Rank of a feature is defined as the ratio between absolute difference between the means of positive and negative examples and the sum of the standard deviations of the positive and negative examples, when considering that feature. [1] A large value of a rank implies greater difference in positive and negative examples, considering that feature, hence is more important for separating positive and negative values. Thus, this feature is relevant. A small value of rank would imply a lesser difference in positive and negative examples, hence is less important for separating positive and negative values. Thus, this feature is irrelevant.

$$R_i = \frac{|\mu_{i,p} - \mu_{i,n}|}{\sigma_{i,p} + \sigma_{i,n}}$$

4. NEURAL NETWORK

Taking inspiration from biological neural networks, Artificial Neural Networks was introduced which solved many real world problems. The advantage of using neural networks is that it learns through the training data, updates its weights and gives accurate results. For problems which require training and learning, neural networks is the most feasible solution.

A neural network has two or more layers. First is the input layer which takes the inputs. Then there are hidden layers. The number of hidden layers can vary. The more the number of hidden layers the more accurate the results would be. But having more hidden layers can increase computational time. The last layer is the output layer which gives the output.

There are two types of learning algorithms for neural networks:

1. Supervised learning algorithm
2. Unsupervised learning algorithm

Supervised learning algorithm: In supervised algorithms, the classes are predetermined. These classes can be conceived of as a finite set, previously arrived at by a human. In practice, a certain segment of data will be labeled with these classifications. The machine learner's task is to search for patterns and construct mathematical models. These models are then evaluated on the basis of their predictive capacity in relation to measures of variance in the data itself. Decision tree induction, Naive Bayes etc. are examples of supervised learning techniques.

Unsupervised learning algorithm: Unsupervised learners are not provided with classifications. In fact, the basic task of unsupervised learning is to develop classification labels automatically. Unsupervised algorithms seek out similarity between pieces of data in order to determine whether they can be characterized as forming a group. These groups are termed as clusters, and there is a whole family of clustering machine learning techniques. In short, unsupervised learning algorithms have no human intervention.

In finding unknown malwares, no prior knowledge of which data belongs to which group is known. Hence unsupervised learning is the best solution to the problem. This paper uses Kohonen's Self-Organizing Map for the problem.

Self-Organizing Map (SOM): The Self-Organizing Map is one of the most popular neural network models. It belongs to the category of competitive learning networks. The SOM is based on unsupervised learning, which means that no human intervention is needed during the learning and that little needs

to be known about the characteristics of the input data. One could, for example, use the SOM for clustering data without knowledge of the class memberships of the input. The SOM can be used to detect features inherent to the problem and thus has also been called SOFM, the Self-Organizing Feature Map. It takes input data and it finds the similarity in data and accordingly creates a group. Since for the problem of finding unknown malwares one does not have prior knowledge, SOM as an unsupervised learning algorithm is used.

5. SYSTEM IMPLEMENTATION

The system implementation is carried out in 2 phases. The first phase performs the feature selection procedure by taking input from the files which make up the training data. Phase 2 performs the training of the neural network and then classifies a file as either legitimate or virus infected.

5.1 PHASE 1

In Phase 1, the **Training Data**, which comprises of two types of executable files-legitimate and virus infected, is given as input to the **Feature Extractor**. The Feature Extractor takes a one feature (PE Structure field) at a time from all files present in the Training Data, and sends it to the **Fisher Score Implementer**. This in turn evaluates the most optimum or relevant features, using Fisher Score technique. It assigns a rank to each feature which will be stored in the **Database**. Finally, the **Feature Selector** selects the 'M' most relevant features based on their rank. It then provides them as input to Phase 2.

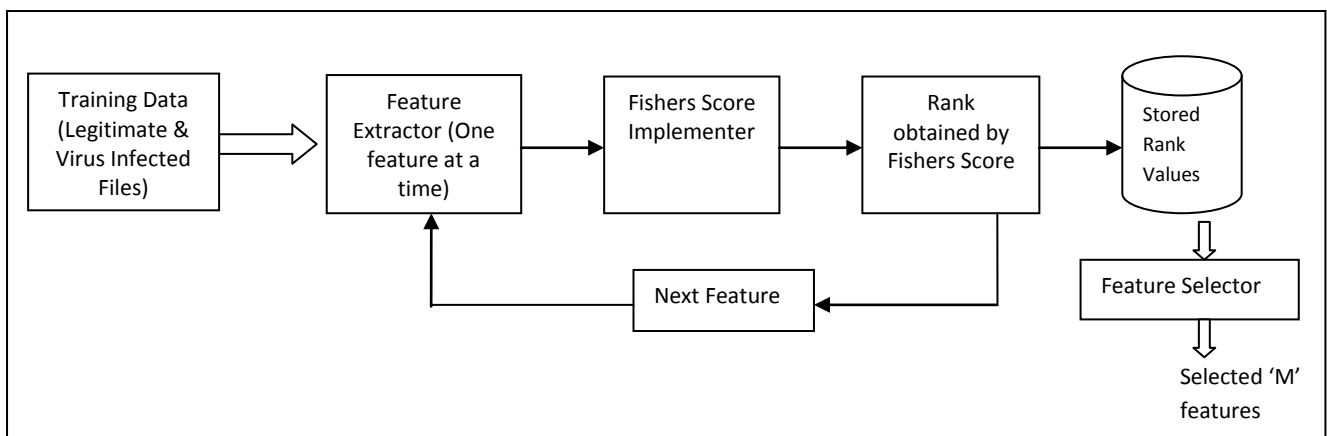


Figure 2: Phase 1-Feature Selection

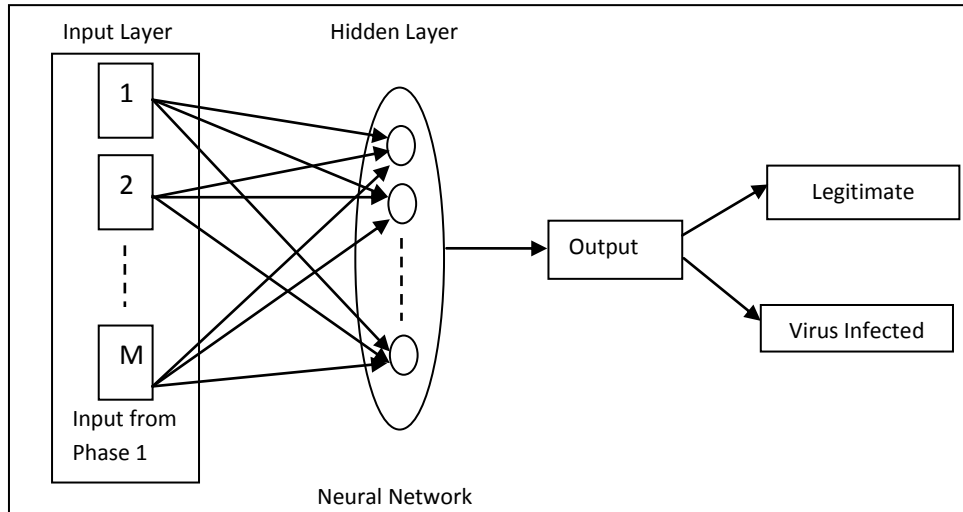


Figure 3: Phase 2-Training and Classification of .exe file

5.2 PHASE 2

The Input from Phase 1, which comprises of the ‘M’ most relevant features, is given as input to the neural network. Using these features, the neural network trains itself, and then whenever a file is given as input, it will classify it as either a legitimate or a virus infected file.

6. ANALYSIS

To analyze which features are most appropriate for distinguishing malicious and legitimate files, Fisher Score feature selection algorithm is applied on ten features from PE structure. PE structure can be viewed using PE parser. Twenty files have been taken for the experiment, ten legitimate and ten virus infected files. Following are the data used while applying Fisher score, Table 1 and 2 contains PE values of legitimate files and Table 3 and 4 contains PE values of virus files:

Table 1. Feature values from PE structure of five legitimate files

Features	File 1	File 2	File 3	File 4	File 5
MajorLinkerVersion	2	8	2	2	10
MinorLinkerVersion	22	0	25	56	0
SizeOfInitializedData	472576	745472	18608128	107008	79872
SizeOfUninitializedData	19456	0	0	3584	0
MajorOSVersion	4	4	5	4	5
MinorOSVersion	0	0	0	0	2
MajorImageVersion	1	1	0	1	0
MinorImageVersion	0	0	0	0	0
Checksum	695126	0	19630716	141070	225362
DLLCharacteristics	0	0	33088	320	33088

Table 2. Feature values from PE structure of five legitimate files

Features	File 6	File 7	File 8	File 9	File 10
MajorLinkerVersion	10	10	10	8	8
MinorLinkerVersion	10	10	0	0	0
SizeOfInitializedData	30208	1536	10752	2048	733184
SizeOfUninitializedData	0	0	0	0	0
MajorOSVersion	6	6	5	4	4
MinorOSVersion	2	2	2	0	0
MajorImageVersion	6	6	0	0	0
MinorImageVersion	2	2	0	0	0
Checksum	161757	36371	38736	49763	76922
DLLCharacteristics	320	1344	320	34112	320

Table 3. Feature values from PE structure of five virus infected files

Features	File 1	File 2	File 3	File 4	File 5
MajorLinkerVersion	5	6	3	2	7
MinorLinkerVersion	2	0	0	25	10
SizeOfInitializedData	64000	121344	4608	944640	65536
SizeOfUninitializedData	0	1024	0	0	0
MajorOSVersion	4	4	4	4	4
MinorOSVersion	0	0	0	0	0
MajorImageVersion	0	0	0	0	0
MinorImageVersion	0	0	0	0	0
Checksum	0	0	0	0	0
DLLCharacteristics	0	0	0	0	0

Table 4. Feature values from PE structure of five virus infected files

Features	File 6	File 7	File 8	File 9	File 10
MajorLinkerVersion	2	5	8	2	6
MinorLinkerVersion	25	0	0	25	0
SizeOfInitializedData	12288	95232	135168	61440	212992
SizeOfUninitializedData	274432	0	0	651264	0
MajorOSVersion	1	4	4	4	4
MinorOSVersion	0	0	0	0	0
MajorImageVersion	0	0	0	0	0
MinorImageVersion	0	0	0	0	0
Checksum	0	0	716819	0	0
DLLCharacteristics	0	0	0	0	0

Table 5. Fishers score results (p: positive examples n: negative examples)

Features	Mean(p)	Mean(n)	Standard	Standard	Rank
MajorLinkerVersion	7	4.6	3.376	2.107	0.438
MinorLinkerVersion	12.3	8.7	17.123	11.055	0.128
SizeOfInitializedData	2079078.4	171724.8	5516994.091	264048.899	0.329
SizeOfUnInitializedData	2304	92672	5816.329	203366.116	0.432
MajorOSVersion	4.7	3.7	0.781	0.9	0.595
MinorOSVersion	0.8	0	0.978	0	0.816
MajorImageVersion	1.5	0	2.291	0	0.655
MinorImageVersion	0.4	0	0.8	0	0.5
Checksum	2174862.3	71681.9	5824453.419	215045.7	0.348
DLLCharacteristics	10291.2	0	15153.838	0	0.679

After finding out the values of the features of the twenty files, mean and standard deviation is calculated and then Fisher Score algorithm is applied to find out the rank of each feature.

According to the rank, seven features with top ranks were selected. Features selected are shown in bold in the table. Table 5 contains the rank of each feature.

Hence, Fisher Score is very useful in finding out the most relevant and effective features. These features would then be applied to the neural network.

7. CONCLUSION

In this paper, various feature selection algorithms have been studied and Fisher Score was found to be the most appropriate one. Using this, the most relevant features (PE Structure

fields) were found out which can be given as inputs to the neural network. Inputs are the most important part of neural networks as choosing efficient inputs gives accurate results.

Hence, the seven most relevant features are chosen by applying Fisher Score algorithm on data from PE structure. By training the neural network with a variety of files one can identify whether a file is legitimate or virus infected. The significant advantage which one gets by using this technique is that execution of a file is not required. Hence, this system can overcome the drawbacks of the classical anti-virus softwares which rely on traditional virus definition files.

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