

# A Fuzzy Logic based Handwritten Numeral Recognition System

Mahmood K Jasim  
Department of Mathematical &  
Physical Sciences  
College of Arts & Sciences  
University of Nizwa,  
Oman

Anwar M Al-Saleh  
Department of Computer  
Science  
College of Sciences  
Al-Mustansiriyah University  
Iraq

Alaa Aljanaby  
Department of Mathematical &  
Physical Sciences  
College of Arts & Sciences  
University of Nizwa,  
Oman

## ABSTRACT

In this paper, a delayed treatment to handwritten numerals with fuzzy logic has been provided. The patterns which used in this system consisted 100 patterns of 10 numerals (0 to 9). They were taken from 10 different subjects and converted by the scanner to computer into 30×20 binary patterns. We used off-line system in take the patterns. The recognition rate is 94%.

## General Terms

Image processing, Fuzzy logic

## Keywords

Handwritten numeral recognition

## 1. INTRODUCTION

Pattern recognition system is regarded as a system, whose input is the information of the pattern to be recognized, and output is a class to which the entered pattern belong [1, 2]. One of the important fields in pattern recognition is character recognition. It improves man-machine communication in addition to providing a solution for processing large amount of data automatically. The main objective of character recognition is the conversion of a graphical document into a textual one [2, 3]. Many systems have been proposed to recognize pattern. Some of these systems have been used the fuzzy logic. Most of the character recognition systems require preprocessing operations on the pattern [4, 5, 6, 7]. Preprocessing is an important step in pattern recognition systems in which fundamental features of pattern are extracted and/or enhanced, to classify and recognize unknown pattern [8].

Many tedious tasks can be made more efficient by automating the process of reading handwritten numerals. In such system an optical scanner converts each handwritten numeral to a digital image, and computer software classifies the image as one of the digits zero through nine. By reducing the need for human interaction, numeral-recognition systems can speed up jobs such as reading income tax returns, sorting inventory, and routing mail. Several steps are necessary to achieve this. A recognition system must first capture digital image of handwritten numerals. Before attempting to classify the numerals, some preprocessing image might be necessary. An algorithm must then classify each handwritten numeral as one of the ten decimal digits [8, 9].

Although a qualitative description of this process is straightforward, it cannot be easily reduced to a few simple mathematical rules. The difficulty results from the natural variations in human handwritten. A useful recognition system must be robust to alterations in size, shape, orientation,

thickness, etc. Closed-form mathematical models tend to be inadequate for such a task because of the many possible representations of the same image.

The paper presents an off-line system for the recognition of handwritten numerals with preprocessing steps uses fuzzy logic, and called Handwritten Numeral Recognition Using Fuzzy Logic (HRUFL). The rest of the paper is organized as follows. Section 2 provides the necessary details for constructing the proposed recognition system for handwritten numerals. The details of the HRUFL implementation have been presented in Section 3. Test result with the discussion is given in Section 4 while, Section 5 is the conclusion.

## 2. HANDWRITTEN NUMERAL RECOGNITION SYSTEM

An Image of Handwritten Numeral (HN) converts to a binary image with global threshold (Level). The next step is the thinning processing to extract the skeleton of the HN, so the HN becomes ready to undergo isolation. The output of this part of HRUFL is the image of the character with a fixed image size; each character is assigned a recognition stage by fuzzy logic.

All the experiments performed in this research deal with handwritten numerals from 0 to 9. The patterns which used in this system consisted 100 patterns of 10 numerals (0 to 9). They were taken from 10 different subjects and converted by the scanner to computer into 30×20 binary patterns. We used off-line system in take the patterns. The character set to be recognized appears in Figure 1 as a study case. There are ten valid characters-numeric characters zero through nine. Figure 2 shows the block diagram of the proposed system.

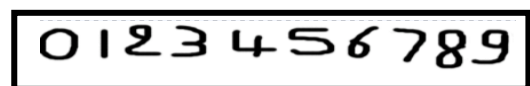
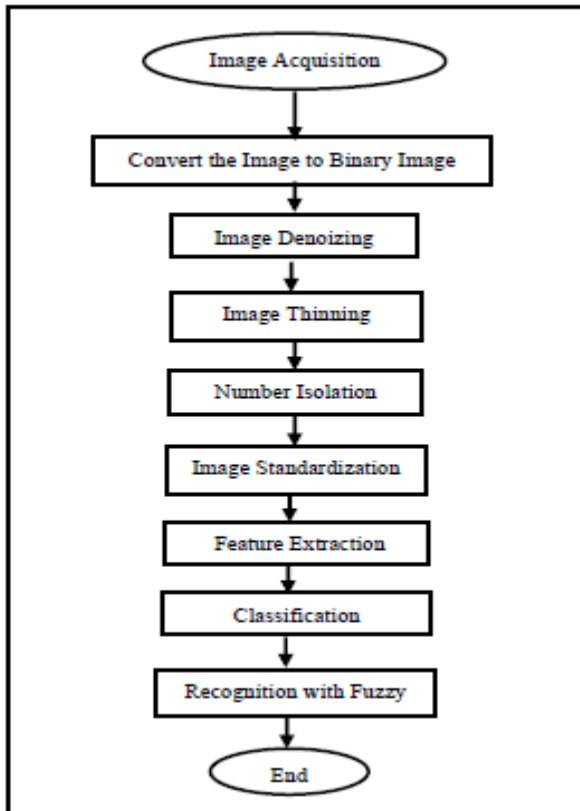


Fig 1: Character Set to be Recognized.

### 2.1 Image Acquisition

In numeral recognition systems, the first step is the acquisition of a digitized image of the text. Two methods are used in HRUFL in acquiring images. The first method is written the character by using paintbrush software tool, and converted to 2-D matrix. The second method uses a monochrome optical digital scanner.



**Fig 2: HRUFL Stages for Preparation of Handwritten Numerals**

## 2.2 Image Preprocessing

Most images require some manipulation before the application of any recognition technique. The process of preparing the image and improve its quality in order to eliminate irrelevant information and to enhance the selection of the important features for recognition is known as preprocessing. Preprocessing is performed to improve the robustness of features to be extracted. Also preprocessing steps are performed in order to reduce noise in the input images, and to remove most of the variability of the handwriting. The key function of preprocessing is to improve the image in ways that increase the chances for success of the other processing [10, 11].

The preprocessing includes some operations such as image thinning, image standardization, and others, these two operations are discussed in the following.

### 2.2.1 Convert the Image to a Binary Image

In this part of HRUFL the producing is a binary image from indexed, intensity, or RGB image. To do this, it converts the input image to gray scale format (if it is not already an intensity image), and then converts this gray scale image to binary by threshold. The output binary image has values of 0 (black) for all pixels in the input image with luminance less than (Level) and 1 (white) for all other pixels. Where the (Level) is a normalized intensity value then it lies in the range [0, 1], regardless of the class of the input image.

Thresholding is one of the most important approaches to segment an image, which contains an object having homogeneous intensity and a background with a different intensity level. The digitized image has the intensity level from 0 to 255. An image as such, can be segmented into two

regions by simple threshold. Let  $(x,y)$  be an image of any digitized trademark, then a new threshold image is given by:

$$g(x,y) = \begin{cases} 1, & \text{if } (x,y) > T \\ 0, & \text{otherwise} \end{cases} \dots\dots (1)$$

Where T an initial value of the threshold. To make segmentation more robust, the threshold should be automatically selected by the system. Therefore, automatic threshold has been applied. A threshold scheme that selects a proper value for each image without human intervention is called an automatic threshold scheme. It analyzes the gray value distribution in an image, by using a histogram of the gray value, to select the most appropriate threshold. If the image contains one object and a background having homogeneous intensity, it usually possesses a bimodal. Using the following steps chooses the threshold (called Iterative Threshold Selection):

1. Select an initial estimate of the threshold T. A good initial value is the average intensity of the image.
2. Partition the image into two regions, R1 and R2, using the threshold T.
3. Calculate the mean gray values  $\mu_1$  and  $\mu_2$  of the partitions R1 and R2.
4. Select a new threshold:

$$T = (\mu_1 + \mu_2)/2 \dots\dots (2)$$

5. Repeat steps 2-4 until the mean values  $\mu_1$  and  $\mu_2$  in successive interactions do not change.

### 2.2.2 Image Denoising

Perform cleaning operation to the binary image to remove isolated pixels (individual 1's that are surrounded by 0's), such as the center pixel in this pattern:

$$\begin{matrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{matrix}$$

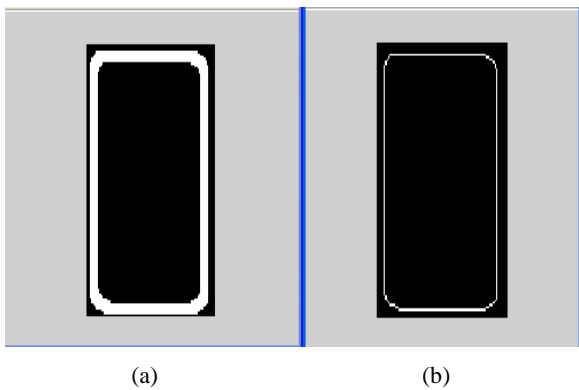
The noise which appears not only from the natural effects of handwritten, but also by means of other factors such as scanner resolution, printer and paper quality may connect the symbols or breaks one symbols into pieces.

### 2.2.3 Image Thinning

This process is considered important process in a preprocessing part. Thinning of a binary pattern is generally considered as a process of iterative deletions of pixels along the edge of the pattern until the pattern is thinned to line drawing, i.e. skeleton. Figure (3) shows an originally scanned image and the resulting final skeleton after applying the thinning algorithm.

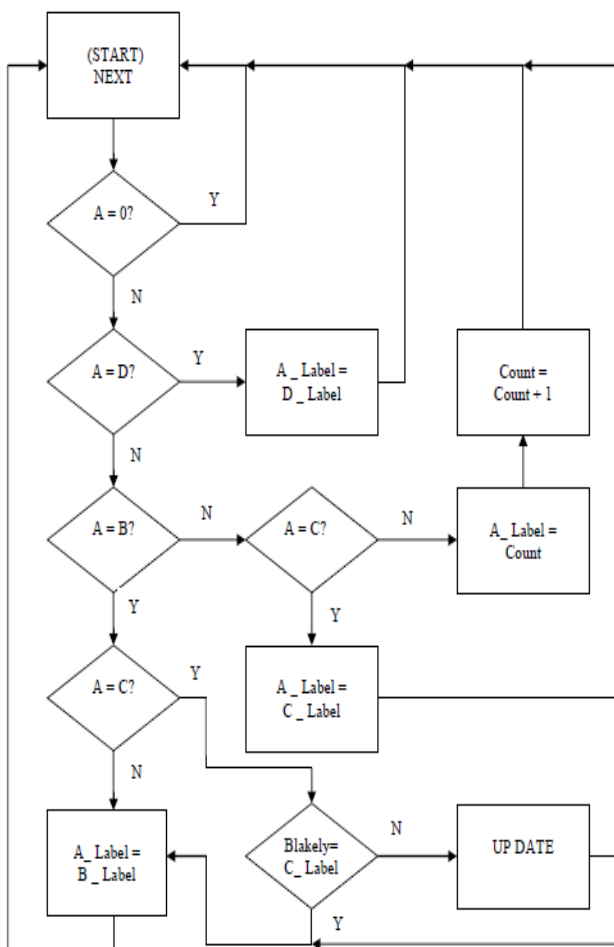
### 2.2.4 Number Isolation

To extract object features we need an image that has undergone image segmentation and any necessary morphological filtering. This will provide clearly defined objects, which can then be labeled and processed independently. After all the objects in the image are labeled, it can treat each object as a binary image by assuming that the labeled object has a value of 1 and that everything else is 0. The labeling process requires defining the desired connectivity and then to scan the image and label connected objects with the same symbol.



**Fig 3: Illustration of the Thinning Process:**  
 (a) The Image before Thinning Process.  
 (b) The Image after Thinning Process.

Applying the algorithm which is explaining in the flowchart in Figure (4a) below to the label of the objects in the image (see Fig 4b). For this algorithm any area not of interest in the image has been masked out by setting the pixels equal to zero. The UPDATE block in the flowchart refers to a function that will keep track of objects that have been given multiple labels. This can occur with sequentially scanning of the image until after different parts of the object have already been labeled, Figure (5).

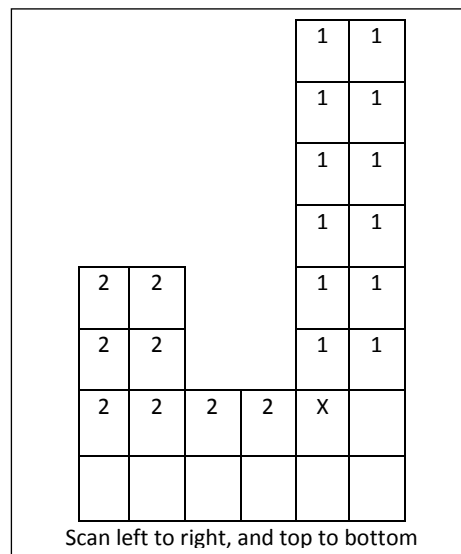


**Fig 4a: Flowchart of Labeling Algorithm**

D	C
B	A

**Fig 4b: Current pixel is A. Pixels B, C, and D are neighboring ones**

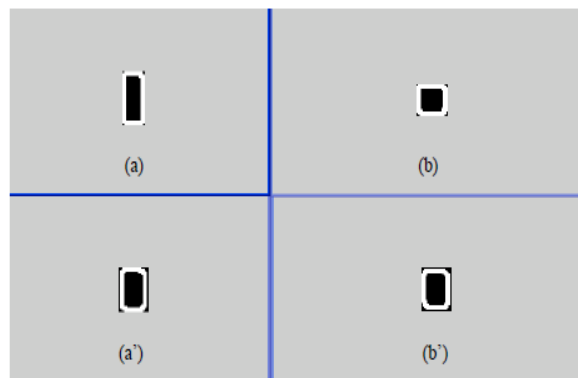
The labeling algorithm requires an UPDATE function to keep track of objects with more than one label. Multiple labeling can occur during sequential scanning, as shown above on the J shaped object. We label two different objects until we reach the pixel marked X, where we discover that objects 1 and 2 are connected.



**Fig 5: Multiple Labels**

### 2.2.5 Image Standardization

After we have labeled the objects, we have an image filled with object numbers, the image standardization will take place, by changing the size of the digit image to a suitable size for a later recognition. This image is used to extract the features of interest. This procedure changes the dimensions of the image. The image data is stretched or compressed as necessary, to fit the new dimensions. The new width is 20 pixels, and the new height is 30 pixels, to specify the new dimensions. In Figure (6) Image (a) of size (36×15) and image (b) of size (20×20) are standardize to size (30×20).



**Fig 6: Illustration of the Standardization process:**  
 (a), (b) two Images before the Standardization process.  
 (a'), (b') the Images after the Standardization process.

At the end of this stage each character is right-justified in a 2-D matrix with the same size of the BMP image and having entries of 1's and 0's representing the white pixels and black (background) pixels, respectively.

### 2.2.6 Feature Extraction

In a former paper [12], the authors proposed the transition calculation and sum of pixels of an image as a feature detector, fuzzy logic technique as a feature analyzer, and extraction the most useful information as outputs for classifier process. Handwritten numerals recognition system has been designed and implemented and a high degree of accuracy has been gained using fuzzy logic. In that work, the authors have presented a reliable and relatively simple method for generating the fuzzy rule-based description of handwritten characters. Here, the six input variables are T1, T2, T3, T4, SOP1 and SOP2. Ten valid output variables, one for each of the ten characters to be recognized, are defined. Table 1 shows the relationship between fuzzy input variables and fuzzy output variables.

**Table 1: Fuzzy Set Association**

Char	T1	T2	T3	T4	SOP 1	SOP 2
0	Larg e	Med	Larg e	Sma ll	Large	Large
1	Sma ll	Med	----- -	----- -	Small	Small
2	Sma ll	Larg e	Sma ll	Larg e	Large	Large
3	Larg e	Sma ll	----- -	----- -	Large	Med
4	Sma ll	Med	Larg e	Larg e	Large	-----
5	Sma ll	Larg e	Sma ll	Larg e	-----	Med
6	Larg e	Med	Sma ll	Larg e	Large	Max
7	Larg e	Sma ll	----- -	----- -	Med	Small
8	Larg e	Larg e	Larg e	Sma ll	Max	Max
9	Sma ll	Larg e	Larg e	Sma ll	Large	Med

### 3. SYSTEM IMPLEMENTATION

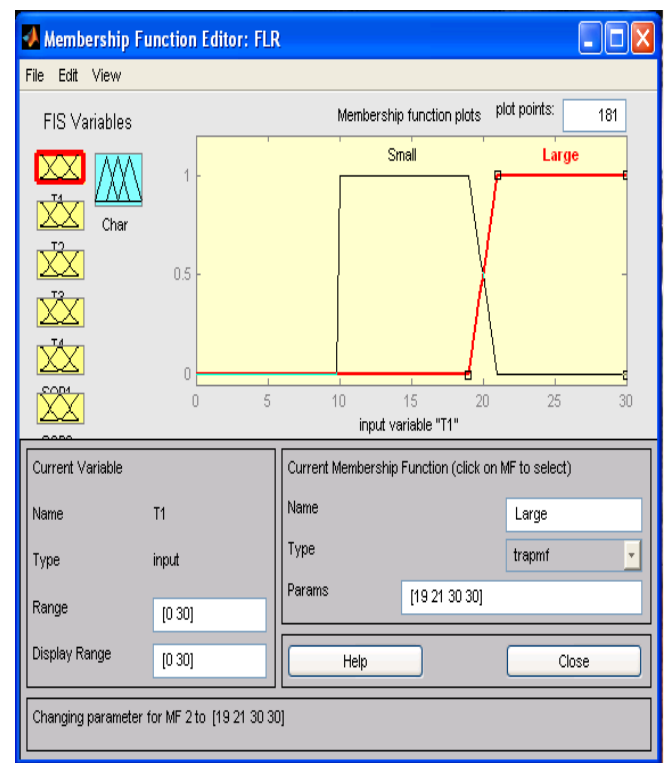
HRUFL is the fuzzy system with six input variables, one output variable, seventeen input membership functions, ten output membership functions and ten fuzzy rules. The first input variable is T1. The valid range of values for T1 is defined as 0 to 30. The input variable T1 has two membership functions. The first input membership function definition is:

$$MF1 = \text{Small: trapmf} [10 \ 10 \ 19 \ 21] \dots (3)$$

The name of the input membership function is Small, and the type is trapezoidal fuzzy set. Small starts with value 1 at the smallest valid value (10), and remains 1 until value (19), when it begins to ramp down, eventually reaching 0 at value (21). The second input membership function definition is:

$$MF2 = \text{Large: trapmf} [19 \ 21 \ 30 \ 30] \dots (4)$$

The name of the input membership function is Large, and the type is trapezoidal fuzzy set. Large starts with value 0 at the smallest valid value (19), when it begins ramp up, eventually reaching 1 at value (21). Large remains 1 until value (30). Figure 7 illustrates the input variable T1 and its membership functions. Table 2 describes the member functions of the six input variables and shows the figure associated with each variable.



**Fig 7: The Input Variable T1**

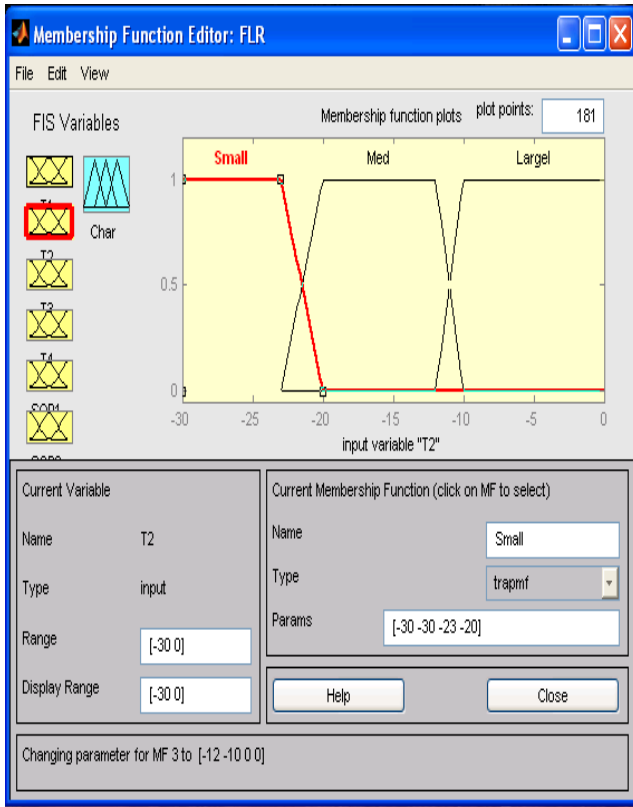
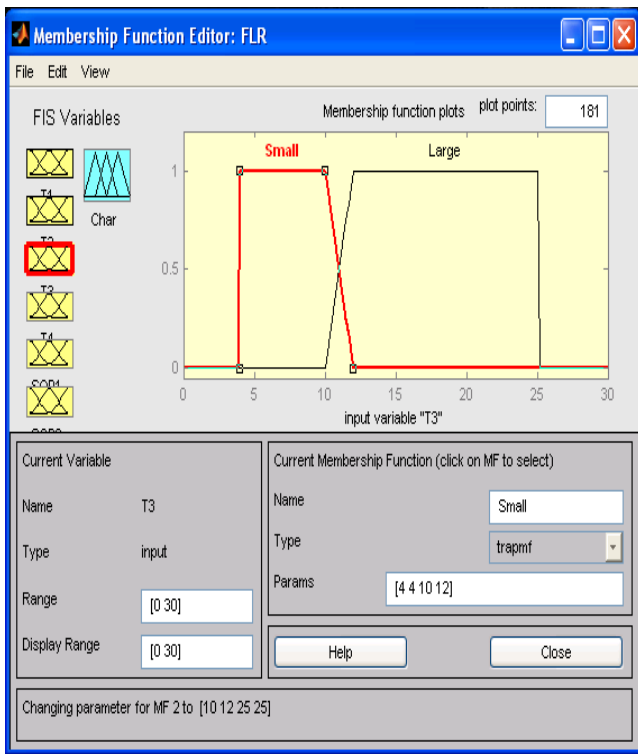


Fig 8: The Input Variable T2

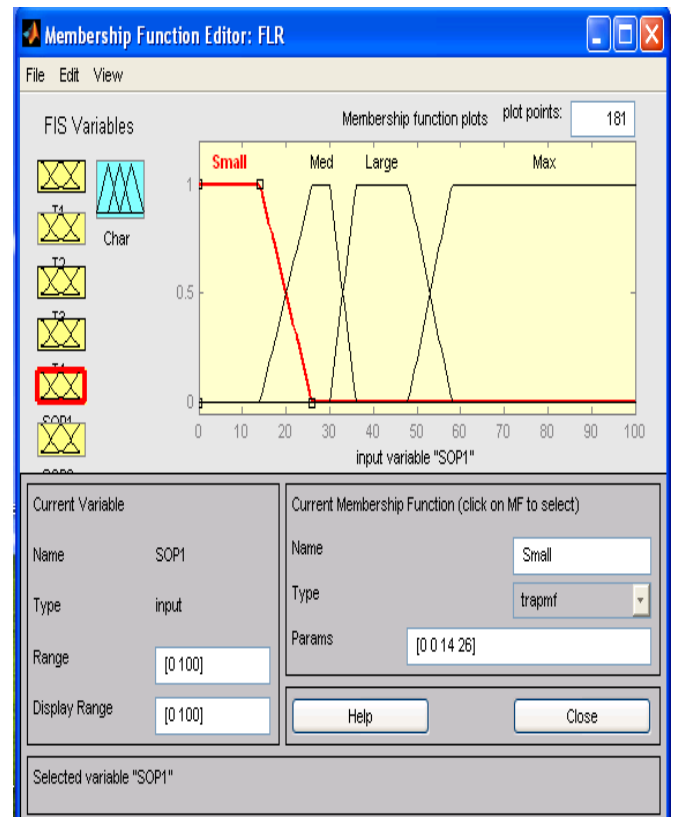
Table 2: Input variables and their member functions

Variable	Range	Membership functions				Figure
		Fun.	Name	Type of Fuzzy set	Par. List	
T1	0..30	MF1	Small	trapezoidal	10 10 19 21	7
		MF2	Large		19 21 30 30	
T2	-30..0	MF1	Small	trapezoidal	-30 - 30 -23 -20	8
		MF2	Med		-23 - 20 -12 -10	
		MF3	Large		-12 - 10 0	

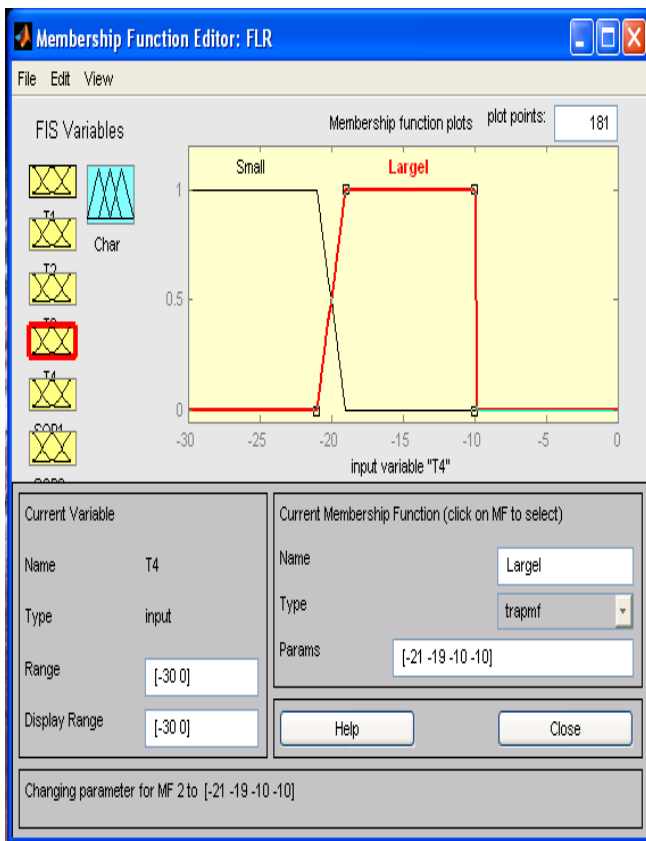
					0	
T3	0..30	MF1	Small	trapezoidal	4 4 10 12	9
		MF2	Large		10 12 25 25	
T4	-30..0	MF1	Small		-30 - 30 -21 -19	10
		MF2	Large		-21 - 19 -10 -10	
SOP1	0..100	MF1	Small	trapezoidal	0 0 14 26	11
		MF2	Med		14 26 35 40	
		MF3	Large		35 40 65 73	
		MF4	Max		65 73 100 100	
SOP2	0..100	MF1	Small	trapezoidal	0 0 15 18	12
		MF2	Med		15 18 52 59	
		MF3	Large		52 59 65 70	
		MF4	Max		65 70 100 100	



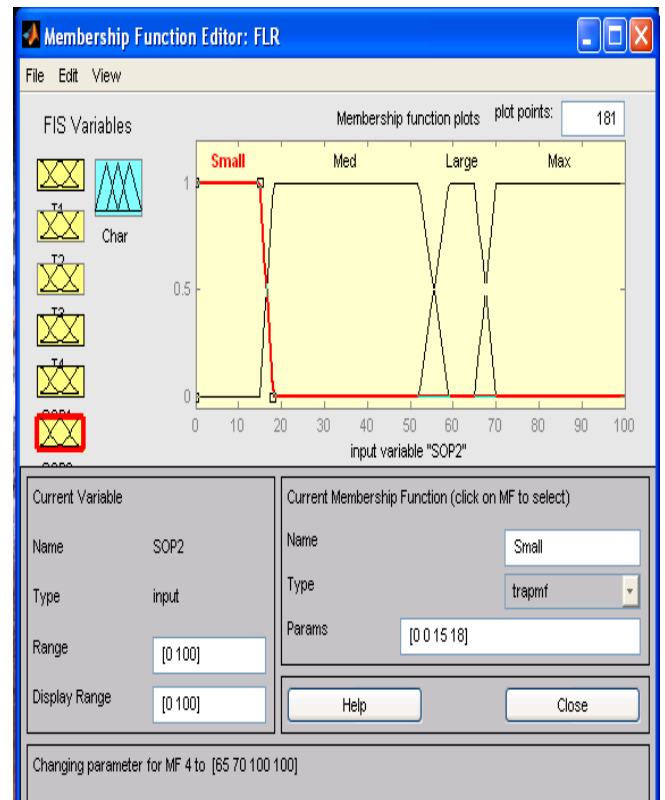
**Fig 9: The Input Variable T3**



**Fig 11: The Input Variable SOP1**



**Fig 10: The Input Variable T4**



**Fig 12: The Input Variable SOP2**

HRUFL is with one output variable. The name of the output variable is Char. The variable is assigned the meaningless unit of Character. The range of Char is specified as 0 to 10. The output variable Char has ten membership functions.

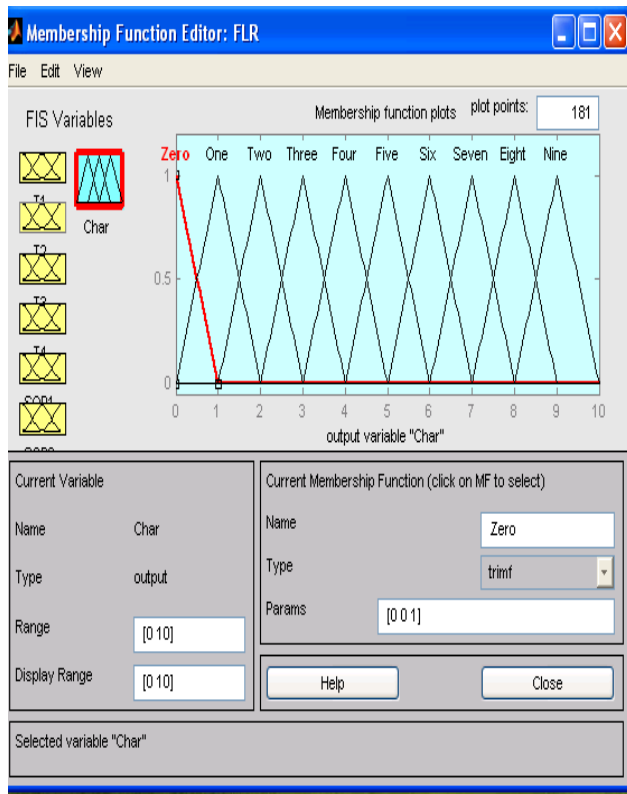
The first output membership function definition is:

$$MF1 = Zero: trimf [0 0 1] \dots (5)$$

The name of the output membership function is Zero, and the type is triangular fuzzy set. Zero starts with value 1 at the smallest valid value (0), when it begins ramp down, eventually reaching 0 at value (1). Table 3 describes the details of the ten member functions and the illustration the member functions of the output variable is shown in Figure 13.

**Table 3: Output variable’s member functions**

Membership functions			
Function	Name	Type of Fuzzy set	Parameters
MF1	Zero	triangular	0 0 1
MF2	One	triangular	0 1 2
MF3	Two	triangular	1 2 3
MF4	Three	triangular	2 3 4
MF5	Four	triangular	3 4 5
MF6	Five	triangular	4 5 6
MF7	Seven	triangular	6 7 8
MF8	Eight	triangular	7 8 9
MF9	Nine	triangular	8 9 10



**Fig 13: The Output Variable Char**

#### 4. TEST RESULT

The most productive testing technique for fuzzy systems is empirical. To test HRUFL, a text file of test data was supplied to the HRUFL simulator and generated outputs were compared to the expected outputs.

A group of ten data sets was created as a starting point. This group is shown in the listing below. The input data sets correspond, in order, to the ten fuzzy rules in the fuzzy inference unit listing. Therefore, proper selection of input values T1 through T4, SOP1 and SOP2 should generate the output 0 through 9 in that order. The values shown are center points for the fuzzy set specified by a given rule, and thus represent a set of input data that HRUFL should find easy to classify.

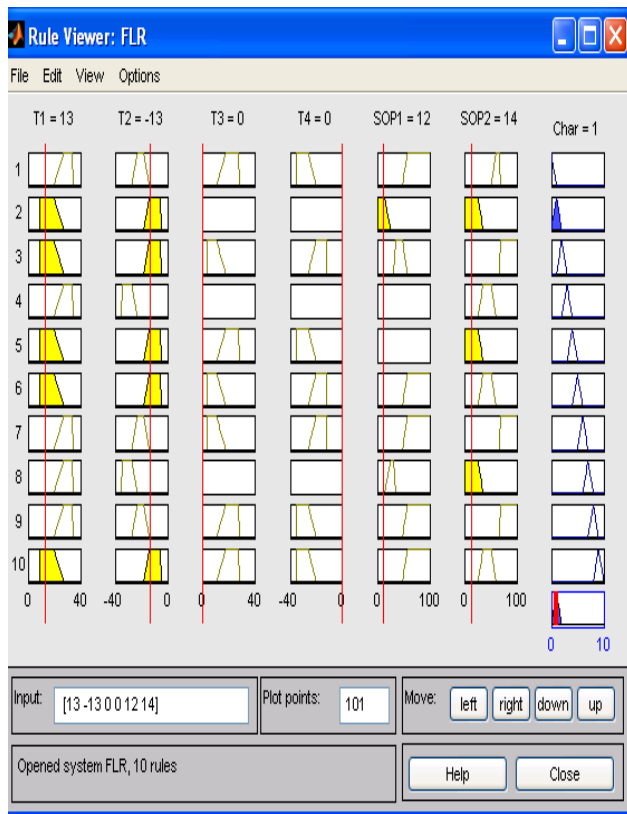
This group of ten data sets was then duplicated and modified to check for proper operation across the range of character data. The listing shows only the initial ten data sets. In each group of data sets, one input variable (say, T1) was taken to the lower limit, with all others held at the upper limit.

```

0 23 -17 17 -23 59 61
1 13 -13 00 -00 12 14
2 18 -08 08 -19 56 66
3 25 -25 00 -00 53 24
4 17 -17 14 -17 53 56
5 18 -08 07 -17 71 42
6 23 -14 07 -15 61 84
7 27 -27 00 -00 29 03
8 21 -09 15 -25 77 77
9 15 -06 19 -26 59 36
    
```

For example let take the input variable vector [13 -13 00 -00 12 14], where (13) refer that the input variable T1 is 13 (T1 = 13), (-13) refer that the input variable T2 is -13 (T2 = -13), (00) refer that the input variable T3 is 00 (T3 = 00), (-00) refer that the input variable T4 is also 00 (T4 = 00), (12) refer that the input variable SOP1 is 12 (SOP1 = 12), and at last (14) refer that the input variable SOP2 is 14 (SOP2 = 14). These are the inputs for each of the rules which defined above. Below in Figure 14 the membership functions of the inputs are illustrated again with the input indicated with dark vertical lines. Each rule takes the inputs and determines the appropriate output. The outputs of the rules have grades of membership that are determined by the input grades. Each output grade is equal to the lowest grade of its inputs. The output grade value is found this way because a logical “and” is defined as “belonging to all sets”. The lowest grade value is a member to all of the grade value sets. Rule 2, is the only rule with non-zero outputs. Rules 1, 3, 4, ..., and 10 have a grade of zero, then the output grade is zero. After the output of each rule is determined, all of the outputs are then combined to form the Logical Sum. All of the outputs are combined using the OR function. The logical “OR” means “belonging to any set”. Therefore, the largest value of each membership set contributes to the Logical Sum. In this example Rule 2 has a larger grade value so will therefore contribute to the Logical Sum. First, the center of area of the Logical Sum is located. Next, the location of this center of area is compared to the full

range of output. The center of area for this example, is the set, which its name is One, then the output is the character One.



**Fig 14: if/then Results for Rules with all Zero and Non-zero outputs**

## 5. CONCLUSION

The main challenge in handwritten character recognition involves the development of a method that can generate descriptions of the handwritten objects in a short period of time. Due to its low computational requirement, fuzzy logic is probably efficient method available for character recognition. Fuzzy systems are robust; even if some rules are removed from the rule map, the system could still work properly; fuzzy systems are also robust toward changing conditions in the environment and provide simple and effective methods for handwritten character recognition because fuzzy features can represent the characters.

The tedious task associated with using fuzzy logic for character recognition is the building of the rule-base that would describe the characters to be recognized. The problem is complicated as different people write the same character in complete different ways. Another problem associated with handwritten numeral character recognition is the difficulty in associating exact fuzzy parameters to certain characters, due to differences associated with the way they are written by different people.

The method so tested was found to be extremely reliable and relatively simple method for generating the fuzzy description of handwritten numerals.

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