

# Efficient FPGA Implementation of the Basic Receiving Functions for Aeronautical Reconfigurable Data-Link

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## ABSTRACT

The paper focuses on the efficient FPGA implementation of the baseband receiving functions for a Reconfigurable Data-Link (RDL). A binary CP-FSK case study is considered to show the model-based approach. The design is then implemented and tested on low cost FPGA.

## General Terms

SDR based reconfigurable communication systems.

## Keywords

Synchronization, Software Defined Radio, CP-FSK Demodulation, Model-based Design

## 1. INTRODUCTION

Some of current and future challenges in Unmanned Aerial Vehicles aeronautical communications, like environment link adaptation and multi-standard operations, require flexibility and scalability for the communication systems. These requirements can be addressed developing a Reconfigurable Data-Link (RDL), which is a system able to provide different communication functions to the user without changing hardware. To design such a system, a model-based approach and SDR paradigm are investigated.

Model-based approach is helpful to obtain modular architectures with stand-alone blocks which can be used afterwards for other systems designs simply changing their interconnections. Therefore one of the main goals is the development of a library of blocks (each one with its own close hardware model) useful to implement a RDL.

Pushing the analog-to-digital conversion as close as possible to the antenna, SDR based systems allow to realize multiple radio features on the same hardware platform and to adapt the designed communications system to the radio context changes. In this panorama, Field-Programmable Gate Arrays (FPGAs) show good balance among computational power, configurability and design costs, so they are suitable to realize the advanced signal processing tasks necessary in the physical layer of a SDR based system.

On the basis of the above considerations, this paper focuses on the design and the FPGA implementation of basic receiving functions as part of a complete RDL using a model-based approach. Since Continuous Phase Modulations (CPM) play an important role in the area of aeronautical communications, in particular in the telemetry field, the receiving functions are investigated for a binary CP-FSK demodulator case.

The efficient implementation has a key role, then algorithms that are suitable for low cost FPGA are considered.

Digital signal processing can be executed at high or intermediate frequencies, but baseband processing is much more suitable by hardware performance and cost point of view; therefore in this work all operations are done on in-phase (I) and on quadrature (Q) components coming from a down conversion stage (heterodyne or homodyne) that is not described here. Synchronization is taken into account and feedback solutions are adopted. In particular, both timing and carrier recovery are implemented as described in sections IV and V. A model-based design approach is adopted in this project as described in section VI. Simulation results and implementation details are provided in sections VII and VIII. Lastly, tests on hardware platform are illustrated in section IX.

## 2. BACKGROUND

A Continuous Phase FSK modulated signal is described by the following equation:

$$s(t) = \sqrt{\frac{2E_s}{T}} \cos(2\pi f_c t + \varphi(t) + \varphi_0) \quad (1)$$

where  $E_s$  is the symbol energy,  $T$  the symbol period,  $f_c$  the carrier frequency,  $\varphi(t)$  the phase due to frequency modulation and  $\varphi_0$  is a constant phase term. In a continuous phase modulation there are no phase discontinuities between symbols, so the frequency modulation term is

$$\varphi(t) = \frac{\pi h}{T} \int_0^t a_i(\tau) d\tau \quad (2)$$

where  $h$  is the modulation index and  $a_i$  are the symbols, belonging to  $\{-1, 1\}$  for a binary FSK.

An ideal SDR communication system has Analog-to-Digital Converter (ADC) pushed close to the antenna, so there is minimum or null signal conditioning prior to sampling. Today technologies allow us to have something very close to the ideal case only for not too high frequencies and data-rates. However we consider the case where the down-conversion stage is in the analog domain and the output of this stage is the complex baseband signal represented through its I/Q components (we suppose the initial phase of the receiver mixer oscillator signal is null):

$$I(t) = \sqrt{\frac{2E_s}{T}} \cos(2\pi(f_i + \Delta f)t + \varphi_0) = \sqrt{\frac{2E_s}{T}} \cos(\varphi_s(t)) \quad (3)$$

$$Q(t) = \sqrt{\frac{2E_s}{T}} \sin(2\pi(f_i + \Delta f)t + \varphi_0) = \sqrt{\frac{2E_s}{T}} \sin(\varphi_s(t))$$

where  $\varphi_s(t)$  is the phase of I/Q vector. In (3) we have considered, in place of  $\varphi(t)$ , the frequency  $f_i$ , that is the frequency separation  $h/2T$  multiplied for the symbol  $a_i$ , and  $\Delta f$  which is the frequency drift due to mismatch between carrier frequency and local oscillator frequency of the quadrature mixer in the down conversion stage. The I/Q signals are sampled by an ADC (see Figure 1), with a sampling period  $T_s$  equal to  $T/N$ , where  $N$  is the number of samples for symbol, and then processed by the demodulator.

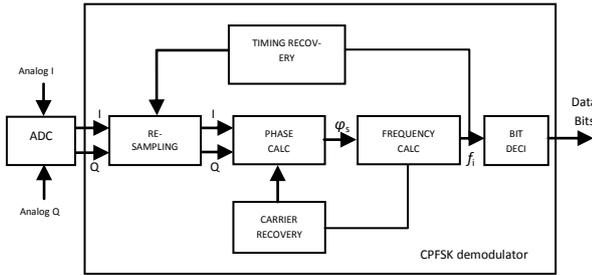


Figure 1: CP-FSK base band demodulator functional architecture

The determination of demodulated data bits involves the calculation of the I/Q vector phase  $\varphi_s$ , the calculation of the frequency  $f_i$  related to the transmitted symbol and the use of a bit decision block based on  $f_i$  value. Two feedback structures are used for synchronization: a bit timing recovery loop and a carrier recovery loop (in order to have a coherent demodulation through the elimination of the drift  $\Delta f$ ). These functions will be illustrated in the following sections.

### 3. FREQUENCY CALCULATION AND BIT DECISION

In order to calculate the instantaneous phase of the I/Q vector a Phase Detector (PD) is necessary; this functional block computes a translation from rectangular coordinates (i.e. the I/Q components) to polar ones (phase  $\varphi_s$  and the magnitude of the I/Q vector). For this purpose a CORDIC (COordinate Rotation DIgital Computer) algorithm in vectoring mode is used [1]. It is an iterative procedure that only needs of adders, barrel shifters and a look-up table with off-line calculated values [2] and, therefore, well suited for low cost FPGAs where dedicated multiplier resources are limited. Since the algorithm doesn't converge for input vectors having phase (in module) greater than  $99^\circ$ , a range extension is used [3]. In the demodulator design a 5 stages, 11 bit precision, unfolded CORDIC processor is implemented.

The CORDIC output phase is used to calculate the frequency information by means of the phase difference between a sample and the preceding one, followed by a phase unwrap operation (to remove phase discontinuities) and a time scaling (see Figure 2).

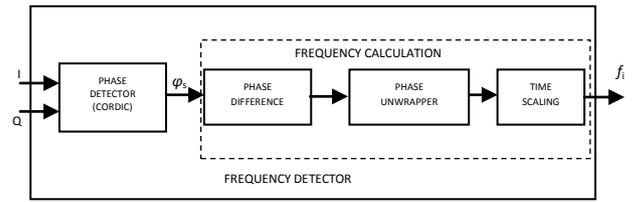


Figure 2: Frequency calculation

The overall structure forms a Frequency Detector (FD) that performs the CP-FSK demodulation, starting from baseband I/Q signals, with a fully pipelined architecture.

Finally, the bit decision is computed using a zero threshold comparator taking in account that the demodulated frequency  $f_i$  ideally should assume two opposite values ( $\pm h/2T$ ).

### 4. BIT TIMING RECOVERY

The sampling period of analog-to-digital converter in the down-conversion stage is not aligned to the symbol period, i.e. it is asynchronous with symbols, and so timing errors affect demodulation performance in presence of noise. Real-world symbol pulse shapes have a peak in the centre of the symbol period. Sampling the symbol at this peak means to have the best signal-to-noise-ratio and it will mitigate interference from other symbols. There are possible several approaches to fix this issue depending on the characteristics of the receiver. We consider the case where the timing correction is all digital. This means that it is not possible to adjust the sampling frequency/phase of the ADC (it is fixed), passing from digital domain to analog one. A feedback scheme is adopted and in particular a non-data-aided (NDA) timing recovery technique is used [4][5][6][7]. The structure is depicted in Figure 3.

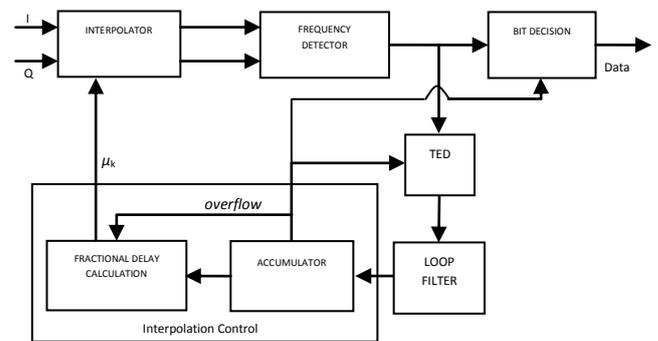


Figure 3: Bit timing recovery loop

Let are  $\{..., (k-1)T, kT, (k+1)T, ...\}$  the desired interpolation instants and  $\{..., (n-1)T_s, nT_s, (n+1)T_s, ...\}$  the ADC sampling instants with  $n \approx kN$ . The right sample for the  $k$ -th symbol is computed by means of an interpolation of ADC I/Q output samples on the basis of the fractional delay  $\mu_k$  and on the last  $L$  samples available from ADC, where  $\mu_k$  is the distance between the desired optimum sample (i.e. the sample at the time  $kT$ ) and the closest ADC preceding sample (whose index, indicated with  $m_k$ , is named *base-point index*) and  $L$  depends on the interpolation order. For example, the interpolated Q component sample is:

$$q(kT_i) = q((m_k + \mu_k)T_s) \quad (4)$$

A Lagrange polynomial interpolation is considered. Since the Lagrange coefficients are expressed as a polynomial in  $\mu$ , the interpolation is implemented as Farrow structure [8][9]. This approach allows the fractional delay to vary in a continuous way. In order to further reduce the area occupancy, a modified structure is used. Considering that some coefficients are repeated as shown in table I, it is possible to forward the result of the multiplication to the tap where the same coefficient (or its 2's power) is present, avoiding in this way an operation.

**Table 1: Filter Coefficients for Cubic Lagrange Polyn.**

i	Filter coefficients			
	m=0	m=1	m=2	m=3
-2	0	-1/6	0	1/6
-1	0	1	1/2	-1/2
0	1	-1/2	-1	1/2
1	0	-1/3	1/2	-1/6

So the amount of multipliers passes from 4 to 1, realizing a more efficient architecture.

A Timing Error Detector (TED) is used to estimate the error between the right sampling instant and the current one. In particular the Gardner algorithm is used. It is based on finding zero crossing between two consecutive symbols. The demodulated CP-FSK signal has the right shape to be considered suitable for the Gardner algorithm. It uses two samples per symbol and it generates the following error signal:

$$\hat{e}(kT_i) = (y(kT_i) - y((k-1)T_i)) \cdot y((k - \frac{1}{2})T_i) \quad (5)$$

In our case the TED works at the sampling rate ( $1/T_s$ ) and so its output is decimated according to the base-point index  $m_k$  to extract the right error.

The TED output is filtered in order to have the control signal for the timing adjustment. A second order Proportional-plus-Integrator (PI) loop filter is used for this purpose. In particular it consists of two paths. The proportional path multiplies the error signal by the proportional gain  $K_p$ . It is able to track out a phase step error. An integral path multiplies the error signal by the integral gain  $K_i$  in order to track out a ramp phase error (i.e. a frequency error). Constants  $K_p$  and  $K_i$  can be calculated considering a certain dumping factor  $\zeta$  and an equivalent noise bandwidth  $B_n$ , using a procedure similar to the one of a PLL (Phase Locked Loop) design as explained in [8]. Note that the gain of the linearized TED and the NCO gain must be known to make the above procedure.

The Interpolation Control provides the base-point index and the fractional delay on the basis of the filtered error signal

$v(kT_i)$ . It is performed by a Numerically Controlled Oscillator (NCO) [5]. In this case, the NCO is constituted by an accumulator, operating at the sampling rate  $1/T_s$ , that overflows every  $N$  samples. The overflow in the  $k$ -th period is the trigger that indicates the base-point  $m_k$ . The loop filter output  $v(kT_i)$  adjusts the amount by which the accumulator increments. The fractional delay is computed using the content  $\eta$  of the accumulator as showed in the following equation [5]:

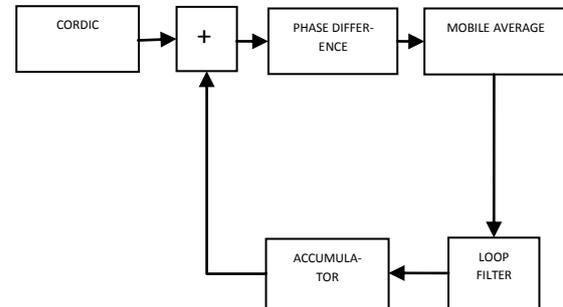
$$\mu(m_k) \approx N \cdot \eta(m_k) \quad (6)$$

The fractional delay is updated at symbol rate.

## 5. CARRIER RECOVERY

The carrier recovery is based on a second-order frequency control loop composed by a NCO and a PI filter (Figure 4). The CORDIC output phase can be used as loop input. The frequency information associated to the symbols has to be subtracted by the samples phase difference so that only the frequency error is compensated by the loop; this operation is accomplished using a mobile average implemented with a Cascaded Integrator-Comb (CIC) filter. We suppose that the data stream transmitted is random and balanced, in other words it contains an equal number of 0's and 1's; in this case the mobile average output is roughly equal to the frequency drift respect to the carrier multiplied by the sampling time. A proper number of registers should be used for the CIC filter implementation to have a good trade-off between logic ports consumption and good performances in terms of residual frequency drift.

In this case NCO is a simple accumulator with a unitary DC gain and no initial frequency offset. Its output can be used to compensate the samples phase outgoing from the CORDIC processor.



**Figure 4: Carrier recovery loop**

Lastly, PI loop filter constants  $K_p$  and  $K_i$  can be calculated considering a certain dumping factor  $\zeta$  and an equivalent noise bandwidth  $B_n$  expressed in terms of maximum frequency drift  $\Delta f_{max}$  loop can track, using the following formula [8]:

$$B_n \approx \frac{\Delta f_{max}}{2\pi\sqrt{2}\zeta} \quad (7)$$

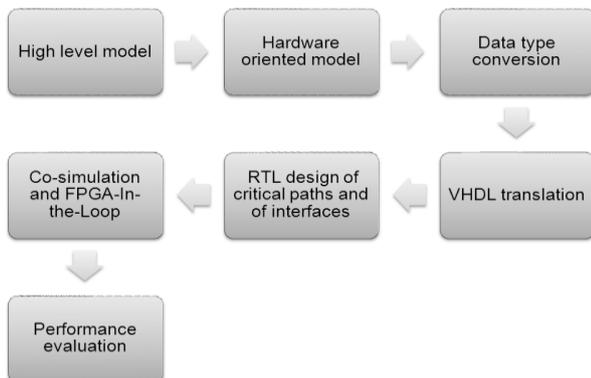
where  $\Delta f_{max}$  is bounded by the following constraint:

$$\Delta f_{max} = \left(1 - \frac{h}{N}\right) \frac{N}{2T} \quad (8)$$

taking into account a 20% of safe margin in the implementation stage. Anyway tracking errors are proportional to the equivalent noise bandwidth, so the optimum choice for the right value of  $B_n$  has to be based on a trade-off between fast acquisition and good tracking [8].

## 6. DESIGN FLOW

CP-FSK base-band demodulator design is composed by the following steps. First of all the demodulator is high-level modelled and tested in MATLAB/SIMULINK environment. Required functions are implemented using standard maths functions (as the arctangent for the extraction of the phase information) and all signals are represented with a double data type. In the second step a close hardware model is designed. The general maths functions are calculated with algorithms whose hardware implementations are convenient (for example SIMULINK arctangent block is replaced by a custom vectoring CORDIC processor). During the third step the data type is changed to fixed-point in order to obtain blocks models structure well suited to get a VHDL code. At this stage, comparing the simulation results of the double data type blocks models and the ones related to fixed-point models, it is possible to determinate the best choice for words and fractional parts lengths, choosing a good trade-off between accuracy and resources utilization. At the fourth step a VHDL code is designed for each block and for the overall system, taking into account the FPGA target. The fifth step is relative to simulation of demodulator VHDL code using SIMULINK and co-simulation tools; Next, an hardware test using true external CP-FSK transmitter and BER tester. In a final step, performance tests will be executed to estimate the demodulator quality in presence of carrier frequency and timing errors.

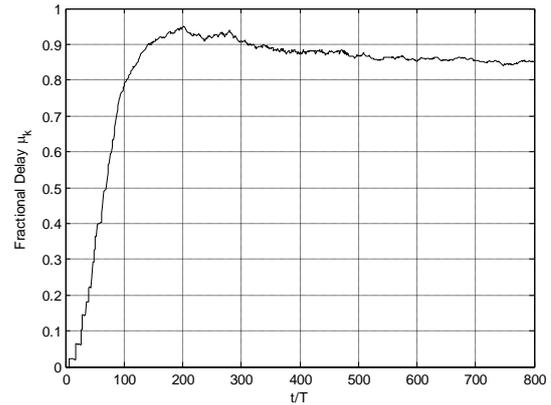


**Figure 5: Design flow**

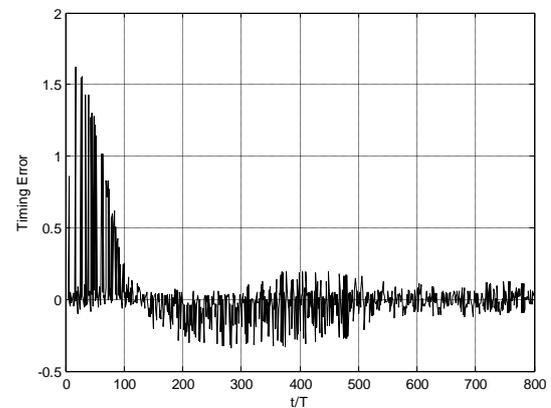
## 7. SIMULATION

The overall system is simulated in MATLAB/SIMULINK environment. The aim of the simulation is to verify that the demodulator, in particular the synchronization functions, works properly. Timing and carrier errors are considered separately.

The coefficients of the loop filter for the timing recovery are designed considering an unitary damping factor and a single-sideband noise bandwidth  $B_n$  of 0.5% of the symbol rate. A PN11 bit sequence is generated through a Linear Feedback Shift Register and used, after modulation, as data input of the system. Whereas the sampling period of analog-to-digital converter in the down-conversion stage is not aligned to the symbol period, a step timing error is firstly considered. No carrier errors are introduced. Figure 6 and Figure 7 show the transient responses of the fractional delay  $\mu_k$  and of the TED error signal. The fractional delay  $\mu_k$  reaches a steady-state value of 0.85 (i.e. the target value) after about 500 symbols.

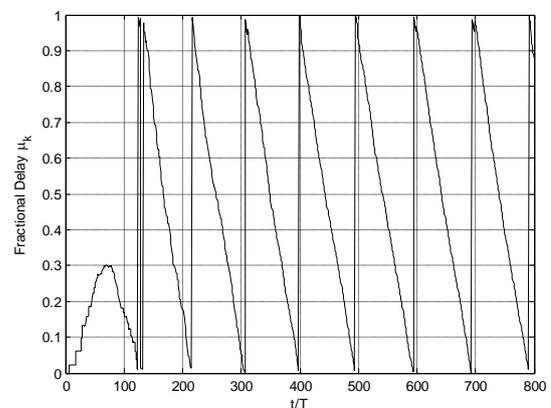


**Figure 6: Fractional delay transient response for a step timing error**



**Figure 7: TED transient response for a step timing error**

Response to a timing error ramp of 1% of symbol period is shown in Figure 8 and Figure 9. The TED error signal goes to zero thanks to the second order loop filter that is capable to track out a frequency error. Because a residual timing error accumulates, the fractional delay  $\mu_k$  decreases with time [8]. When the accumulated residual timing error exceeds a sample period,  $\mu_k$  wraps around to 1. It happens every 100 symbols, accordingly to the introduced error.



**Figure 8: Fractional delay transient response for a ramp timing error**

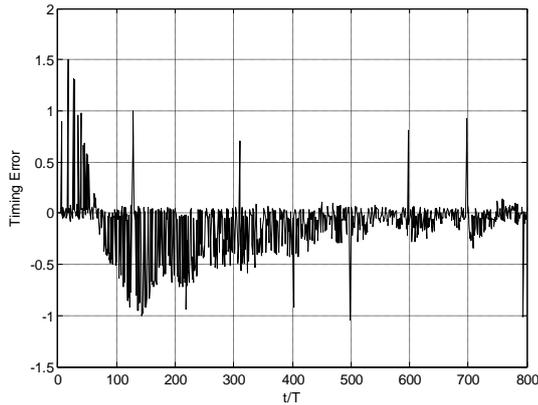


Figure 9: TED transient response for a ramp timing error

The behavior of the carrier recovery loop is also examined. The coefficients of the loop filter are designed considering a damping factor  $\zeta = 1/\sqrt{2}$  and a single-sideband noise bandwidth  $B_n$  of 5% of the symbol rate. The size of the mobile average window is 256 symbols long. Figure 10 shows the response to the step error; in particular, the frequency error is 70% of the  $\Delta f_{max}$  accordingly to the equation (8). The carrier can be considered locked when the frequency error is 1/16th of the symbol rate. The steady-state error is not zero because of the approach used for the detection of the frequency offset (symbols are never perfectly balanced). Figure 11 shows how the offset of the demodulated signal, which is normalized to the frequency separation, is corrected after about 500 symbols.

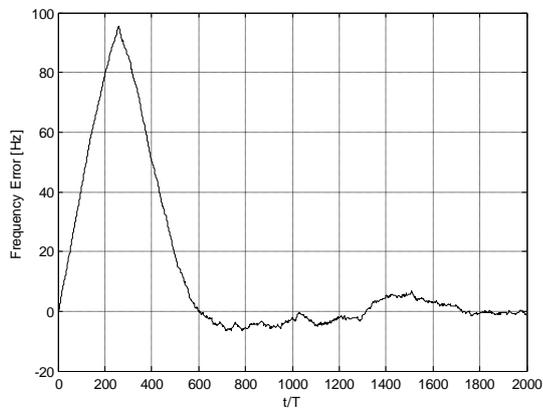


Figure 10: Carrier recovery loop response

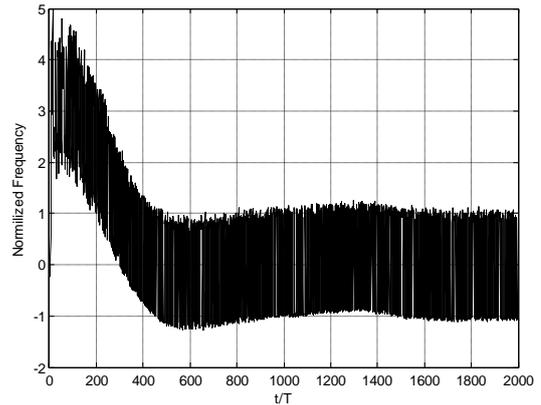


Figure 11: Frequency offset correction for a step error

## 8. IMPLEMENTATION

The data are processed at a sample rate of exactly four times the symbol rate, in other words for each symbol there are four samples. This level of granularity is sufficient thanks to the use of the cubic interpolation, that allows fine resampling. Note that if a linear interpolator was used, an higher sample rate would be necessary to achieve the same result in term of accuracy.

The demodulator is implemented on a COMBLOCK© 1000 demo-board (Figure 13) equipped with a very low cost Xilinx Spartan II X2CS200 FPGA. Table II shows the resources utilization and Figure 12 shows the maximum operating frequency.

Table 2: Resources utilization

	Used	Available	Utilization
<b>Logic Utilization</b>			
Number of Slice Flip Flops	1,848	4,704	39%
Number of 4 input LUTs	3,046	4,704	64%
<b>Logic Distribution</b>			
Number of occupied Slices	2,226	2,352	94%
Slices containing only related logic	2,226	2,226	100%
Slices containing unrelated logic	0	2,226	0%
<b>Total of 4 input LUTs</b>	<b>3,283</b>	<b>4,704</b>	<b>69%</b>
used as logic	3,046		
used as a route-thru	170		
used as shift registers	67		
Number of bonded IOBs	66	140	47%
IOB Flip Flops	52		
Number of GCLKs	4	4	100%
Number of GCLKIOBs	1	4	25%

```

Timing summary:
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Timing errors: 0 Score: 0

Constraints cover 41545804 paths, 0 nets, and 10771 connections

Design statistics:
  Minimum period: 19.921ns (Maximum frequency: 50.198MHz)
    
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Figure 12: Xilinx ISE Timing Report

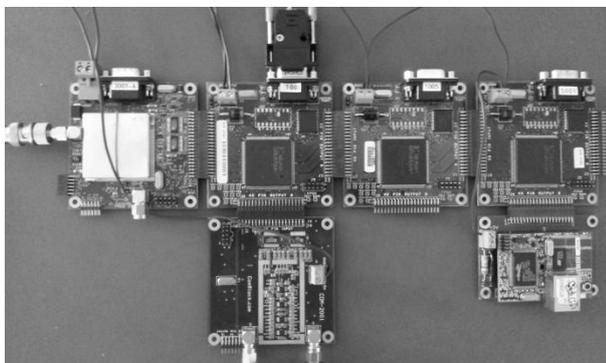


Figure 13: Comblock modules used for the tests

Note that part of the design is occupied by the glue-logic for the communications with the microcontroller present on the demo-board. The commercial module provided by COMBLOCK® allow us to rapid prototype the demodulator design. In fact it is compatible with COMBLOCK® 3001-A RF front-end that operates the RF to baseband conversion and the analog to digital one of the I/Q samples. The two boards shared the same 40MHz clock source for synchronous data transferring. The dual Analog-to-Digital Converter has a sample rate of 40MSamples/s and 10bits of resolution for I/Q samples [10]. In this case the maximum data rate is 9.9Mbps considering a 1% of safe margin. The data rate is defined at run time configuring some register of the microcontroller through custom software and RS-232 communication.

## 9. TESTING

For testing purpose a Rhode & Schwarz SMU-200A signal generator has been used. It is capable to generate an RF modulated signal. The settings are:

- FSK modulation with modulation index  $h = 0.7$
- Data-rate  $R = \{200 \text{ kbps}, 9 \text{ Mbps}\}$
- Pseudo-random source sequence PN-11
- Carrier frequency  $f_0 = 2.315\text{GHz}$
- Signal power at the output  $P_{out} = -68\text{dBm}$

The generator is linked to the RF down-conversion stage through a coaxial cable and a BER tester (COMBLOCK® 1005 module) is used to validate the system.

In Figure 14 the I signal, the interpolated and resampled I signal, the bit clock signal and the data bits are respectively shown.

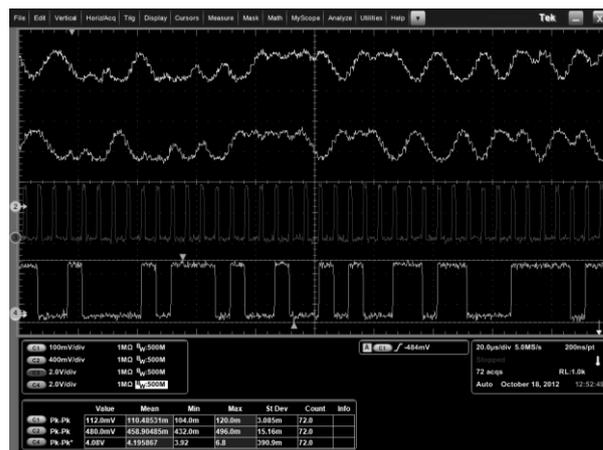


Figure 14: Acquisition screenshot of internal signals

In Figure 15 the fractional delay is depicted. The saw-tooth waveform indicates a frequency error between the clock at the transmitter (i.e. the signal generator) and at the receiver.

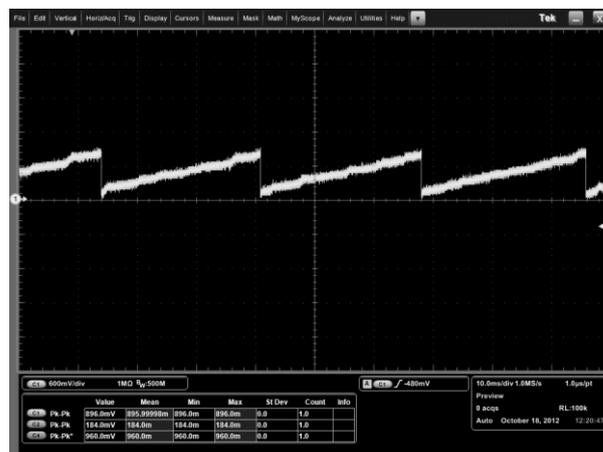


Figure 15: Acquisition screenshot of the fractional delay signal

It is possible to add a frequency offset to the carrier in order to test the frequency recovery performances. The maximum carrier frequency error that the demodulator is capable to track is  $\pm 225 \text{ kHz}$ , in according to simulation results.

A characterization of the demodulator that involves the evaluation of the BER vs  $E_b/N_0$  waterfall will be investigated in the future.

## 10. CONCLUSIONS

In this paper, basic receiving functions of a communication system were investigated for a CP-FSK base-band demodulator case with the aim to create a library of modular blocks that can be used to implement a Reconfigurable Data-Link.

A model-based approach was used in the design flow. In such way the transition from a high level blocks models to a close hardware ones was simplified and the development time was considerably reduced. The obtained models were tested with software simulation showing a proper operation. The VHDL code is generated and implemented on low cost FPGA. The demodulator is successfully tested with laboratory instrumentation.

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