# Design of Stochastic Simulator for Analyzing the Impact of Scalability on CPU Scheduling Algorithms

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## ABSTRACT

Process scheduling with scalable performance is an issue in computer system. Scalability of scheduling algorithm is its ability to don't decrease the performance when large processes are under run. The performance of job scheduling policies strongly depends on the properties of the incoming jobs. In this paper, we have analyzed the impact of scalability on different CPU scheduling algorithms with reference to average waiting time, average turnaround time and average response time to determine which algorithm is most suitable for uniprocessor environment. The burst time, arrival time and priority is randomly generated using exponential probability distribution and the performance of all algorithms has been evaluated with reference to arrival time or without arrival time. We use a simulative approach to evaluate the performance and scalability of each algorithm with reference to different number of processes.

#### Keywords

Uniprocessor environment, Scalability, CPU scheduling algorithms, Simulation.

# 1. INTRODUCTION

Scheduling refers to a set of policies and mechanisms to control the order of work to be performed by a computer system. Scheduling is the method by which threads, processes or data flows are given access to system resources. The need for a scheduling algorithm arises from the requirement for most modern systems to perform multitasking (execute more than one process at a time) and multiplexing (transmit multiple flows simultaneously). The basic idea is to keep the CPU busy as much as possible by executing a user process or job until it must wait for an event, and then switch to another process. In multiprogramming systems, when there is more than one runable process (i.e., ready), the operating system must decide which one to activate. The decision is made by the part of the operating system called the scheduler, using a scheduling algorithm.

Scheduling, discussions and related project area are very integral design topics, when talking about real-time applications. The CPU scheduling algorithm that is used in the real-time system weighs heavily on the maximization of utilization and throughput, and on the minimization of waiting and turnaround times.

In this paper, some basic following assumptions have been undertaken for the development of simulator:

- 1. Scheduler allocates the processes to the CPU only when they are stabilized in a steady state;
- 2. A pool of independent runnable processes is contending for one CPU;
- 3. The scheduler distributes the resources to the different processes;
- 4. Uniprocessor environment has been considered for the implementation;

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- 5. There are three scheduling states for each process (ready, running and blocked);
- 6. Only preemptible and non-preemptible resources are assumed.
- 7. Simulator is run for n number of processes.

Our stochastic simulator determined the values of waiting time, turnaround time and response time for each job/process. In a similar manner, the average turnaround time, average waiting time and average response time were computed. The processes burst time, arrival time and priority were randomly generated according to negative exponential probability distribution.

#### **1.1 Scheduling Policies**

In general, scheduling policies may be pre-emptive or nonpre-emptive.

#### 1.1.1 Non-preemptive Scheduling

In a non-pre-emptive multiprogramming system, the shortterm scheduler lets the current process run until it blocks, waiting for an event or a resource or it terminates. The current process releases the CPU either by terminating or by switching to the waiting state.

#### **1.1.2** Preemptive Scheduling

Pre-emptive policies force the currently active process to release the CPU on certain events, such as a clock interrupt, I/O interrupts or a system call. The current process needs to involuntarily release the CPU when a high priority process or job is inserted into the ready queue or once an allocated CPU time has elapsed.

#### **1.2 CPU Scheduling Algorithms**

CPU Scheduling is the act of selecting the next process for the CPU to service, once the current process leaves the CPU idle.

#### 1.2.1 First-Come First-Serve (FCFS)

The processes are allocated to the CPU on the basis of their arrival at the queue. Arriving jobs are inserted into the tail (rear) of the ready queue and the process to be executed next is removed from the head (front) of the queue [1], [18]. A long CPU-bound job may dominate the CPU and may force shorter jobs to wait prolonged periods.

# 1.2.2 Shortest-Job-First (SJF)

The scheduler arranges processes with the least estimated processing time in the queue. The SJF uses the FCFS technique where two processes have the same length next CPU burst [19]. The SJF algorithm may be implemented as either a preemptive or non-preemptive algorithms. Long running jobs may starve, because the CPU has a steady supply of short jobs.

# 1.2.3 Priority Scheduling (PS)

A priority number is associated with each process. The CPU is allocated to the process with the highest priority. If there are multiple processes with same priority, then FCFS is used to allocate the process. A variation of this scheme allows

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preemption of the current process whenever a higher priority process arrives. Another variation of the policy adds an aging scheme, where the priority of a process increases as it remains in the ready queue.

#### 1.2.4 Round-Robin Scheduling (RR)

This algorithm is especially designed for time-sharing systems; each process gets a small unit of CPU time. This algorithm will allow the first process in the queue to run until it expires its time, and then run the next process in the queue. In a situation where the process needs more time, the process runs for the full length of the time quantum and then it is preempted and then added to the tail of the queue.

## **1.3 Scheduling Parameters**

The scheduling strategy is good enough with the following possible metrices:

#### 1.3.1 Throughput

It is the number of processes that are completed per unit time. Usually, the goal is to maximize the throughput.

#### **1.3.2 CPU Utilization**

Usually, the goal is to maximize the CPU utilization. We want to keep the CPU as busy as possible. Conceptually, CPU utilization can range from 0-100 percent.

#### **1.3.3 Turnaround Time**

It is the sum of periods spent waiting to get into memory, waiting in ready queue, executing on the CPU, and doing I/O. Usually, the goal is to minimize the turnaround time.

#### **1.3.4** Waiting Time

This is the amount of time spent in the ready queue to run. Usually, the goal is to minimize the waiting time.

#### 1.3.5 Response Time

It is the time from the submission of a request until first response is produces. Usually, the goal is to minimize the response time.

#### 2. RELATED WORK

Stephen Curran et. al. [10] presented the results of a simulation study comparing scheduling algorithms that schedule independent tasks in multiprocessor versions of UNIX. The results show the difference between the performances of the three algorithms when scheduling a typical UNIX workload running on a small, bus-based, shared memory multiprocessor.

Maria Abur et. al. [13] presented a multiprogramming system which allows more than one process to be loaded into the executable memory at a time and for the loaded process to share the CPU using time-multiplexing. They revealed the simulation of the Scheduling algorithms and comparing their average waiting time to know which has the least average waiting time.

Jochen Krallmann et.al. [20] has done their work on design and evaluation of job scheduling algorithms. They split a scheduling system into three components: scheduling policy, objective function and scheduling algorithm. The main focus is on the selection and evaluation of several scheduling algorithms.

E.O. Oyetunji et.al. [15] has proposed an algorithm which can be used to solve very large classes of the multi-criteria scheduling problems. The revealed that the proposed algorithm performed better than the selected solution methods when the total completion time criterion is much more important than the other criteria.

#### 3. SIMULATION BASED IMPLEMENTATION

In the present study, our objective is to design a stochastic simulator for analyzing the impact of scalability on different CPU scheduling algorithms under uniprocessor environment. Java is used to create the main interface and codes. Once the numbers of processes or jobs are entered, our simulator randomly generated the burst time, arrival time and priority using exponential probability distribution for every process. Then compute the average waiting time, average turnaround time and average response time. In this paper, first we find all the three scheduling parameters for each algorithm without using arrival time of each process and second we find the same parameters with using arrival time of each process.

The figure 1 below is the main user interface for the stochastic simulator. This interface is used to run other modules also.

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Figure 1 Main user interface for the simulator.

The developed simulator determined the average waiting time, average response time and average turnaround time of the different CPU scheduling algorithm. The simulator has been run between (10-500 processes) and than the results is analysed. After considering some of the issues relating to the service pattern of I/O jobs or processes through scheduling goals, it can be concluded that each policy has its own benefits and limitations.

#### 3.1 Analysis of FCFS Algorithm

Figure 2 shows the analysis of FCFS scheduling algorithm without arrival time and Figure 3 shows the analysis of FCFS scheduling algorithm with arrival time. In these figures, start time, finish time, waiting time, response time and turnaround time with respect to processes are randomly generated using exponential probability distribution. The average waiting time, average response time and average turnaround time with respect to processes are also calculated and shown.

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PID	Burst	Arrival	Priority		Start	Finish	Wait	Response	Turnaround		
P1	9	0	0		0	8	0	0	9		
P2	3	0	0		9	11	9	9	12		
P3	9	0	0		12	20	12	12	21		
P4	7	0	0		21	27	21	21	28		
P5	9	0	0		28	36	28	28	37		
Seque	nce:										
Avera	ge waiti	ing time:	14.0								
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Figure 2 FCFS without Arrival Time

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PID	Burst	Arrival	Priority		Start	Finish	Wait	Response	Turnaround	
P1	9	0	0		0	8	0	0	9	
P2	3	1	0		9	11	8	8	11	
P3	9	2	0		12	20	10	10	19	
P4	7	3	0		21	27	18	18	25	
P5	9	4	0		28	36	24	24	33	
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#### Figure 3 FCFS scheduling algorithm with Arrival Time

In the similar manner, the average waiting time, average response time and average turnaround time for all the CPU scheduling algorithms are also calculated which helps a lot in finding the best scheduling policy or analyzing the impact of scalability.

#### 4. RESULTS AND DISCUSSION

The values of average waiting time, average turnaround time and average response time are obtained from the developed simulator for different CPU scheduling algorithms with reference to randomly generated arrival time and without arrival time.

The goal is to minimize the average waiting time and average turnaround time for best scheduling algorithm or to maximize the response time. Table 1 shows the average waiting time of all scheduling algorithms for all the problems sizes in which the arrival time of each job/process is present and Table 2 shows the average waiting time of all scheduling algorithms for all the problems sizes in which the arrival time of each job/process is not present.

Table 1 Average	Waiting Tim	e with Arrival Time
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No. of Process	FCFS	SJF-NP	SJF-P	PS-NP	PS-P	RR
10	20	17.7	17.1	21.7	21.7	34
20	32	25.05	21.1	33.45	32.95	37.75
50	105.64	61.12	60.68	93.18	93.24	107.74
100	240.93	142.6	141.87	214.5	214.72	251.65
250	579.06	395.24	395.18	580.02	580.27	693.10
500	1190.82	797.42	795.96	1222.71	1224.01	1376.03

The Figure 4 visualizes the impact of scalability on all algorithms with respect to different number of processes verses average waiting time when arrival time is considered.



Figure 4 Shows Average Waiting Time (with arrival time)

	Table 2 Average waiting Time without Affival Time										
No. of	FCFS	SJF-	SJF-P	PS-NP	PS-P	RR					
Proces		NP									
10	24.5	21	17.1	29	21.7	34					
20	41.5	28.95	21.1	40.35	32.95	37.75					
50	130.14	80.04	60.68	116.28	93.24	107.74					
100	290.43	181.95	141.87	263.99	214.72	251.65					
250	703.56	506.88	395.18	704.50	580.27	693.10					
500	1440.32	1019.77	795.96	1470.13	1224.01	1376.03					

The Figure 5 visualizes the impact of scalability on all algorithms with respect to different number of processes verses average waiting time when arrival time is not considered.



Figure 5 Shows Avg. Waiting Time (without arrival time)

Table 3 shows the average turnaround time of all scheduling algorithms for all the problems sizes in which the arrival time of each job/process is present and Table 4 shows the average turnaround time of all scheduling algorithms for all the problems sizes in which the arrival time of each job/process is not present.

	Ta	ble 3 Averag	e Turnarouno	d Time with A	rrival Time	
No.	FCFS	SJF-NP	SJF-P	PS-NP	PS-P	RR
of						
Process						
10	30.9	27.4	23.5	35.4	28.1	40.4
20	45.9	33.35	25.5	44.75	37.35	42.15
50	135.22	85.12	65.76	121.36	98.32	112.82
100	295.83	187.35	147.27	269.39	220.12	257.05
250	709.28	512.60	400.90	710.23	586	698.82
500	1446.08	1025.53	801.72	1475.89	1229.77	1381.79

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The Figure 6 visualizes the impact of scalability on all algorithms with respect to different number of processes verses average turnaround time when arrival time is considered



Figure 6 Shows Avg. Turnaround Time (with arrival time)

No. of Processes	FCFS	SJF- NP	SJF-P	PS-NP	PS-P	RR
10	26.4	24.1	23.5	28.1	28.1	40.4
20	36.4	29.45	25.5	37.85	37.35	42.15
50	110.72	66.2	65.76	98.26	98.32	112.82
100	246.33	148	147.27	219.9	220.12	257.05
250	584.78	400.96	400.90	585.74	586	698.82
500	1196.58	803.18	801.72	1228.47	1229.77	1381.79

Table 4 Average Turnaround Time without Arrival Time

The Figure 7 visualizes the impact of scalability on all algorithms with respect to different number of processes verses average turnaround time when arrival time is not considered.



Figure 7 Shows Avg. Turnarond Time (without arrival time)

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Table 5 shows the average response time of all scheduling algorithms for all the problems sizes in which the arrival time of each job/process is present and Table 6 shows the average response time of all scheduling algorithms for all the problems sizes in which the arrival time of each job/process is not present.

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NO. OI	rcrs	5JI-	SJF-P	PS-NP	rs-r	КК
Process		NP				
10	20	17.7	16.4	21.7	21.7	16.4
20	32	25.05	17.05	33.45	30.95	27.05
50	105.64	61.12	58.96	93.18	89.76	72.96
100	240.93	142.6	139.24	214.5	214.19	171.68
250	579.06	395.24	395.11	580.02	579.77	442.24
500	1190.82	797.42	792.27	1222.71	1221.03	870.38

Table 5 American Desmanas Time with Aminal Tim

The Figure 8 visualizes the impact of scalability on all algorithms with respect to different number of processes verses average response time when arrival time is considered.



Figure 5 Shows Avg. Response Time (with arrival time)

The Figure 9 visualizes the impact of scalability on all algorithms with respect to different number of processes verses average response time when arrival time is not considered.

No. of Process	FCFS	SJF- NP	SJF-P	PS-NP	PS-P	RR
10	24.5	21	16.4	29	21.7	16.4
20	41.5	28.95	17.05	40.35	30.95	27.05
50	130.14	80.04	58.96	116.28	89.76	72.96
100	290.43	181.95	139.24	263.99	214.19	171.68
250	703.56	506.88	395.11	704.50	579.77	442.24
500	1440.32	1019.77	792.27	1470.13	1221.03	870.38



Figure 9 Shows Avg. Response Time (without arrival time)

The above shown graph depicts the impact of scalability or the performance of all algorithms with respect to arrival time or without arrival time. It can be observed that as the number of processes increased, waiting time also increased. It is evident that the performances of SJF with respect to all the scheduling parameters are significantly different from the performances of all other FCFS, RR and PS algorithms for all the problem sizes.

#### 5. CONCLUSION

In this work, Four CPU Scheduling Algorithms (FCFS, SJF, PS, and RR) were discussed and measure three scheduling parameters metrics (average waiting time, average response time, average turnaround time) with respect to randomly generated arrival time or without arrival time. In order to know which algorithm gives best performance, we test different jobs sets (10-500 jobs/processes) under uniprocessor environment and shows the impact of scalability on different scheduling algorithms. Here, burst time, priority and arrival time of each process is generated randomly using exponential probability distribution for every algorithm.

Based on the impact of scalability, the shortest job first (SJF) algorithm is recommended for the CPU scheduling problems of minimizing either the average waiting time, average response and average turnaround time.

In future, we design a simulator for the other three variations of Flynn's Classical Taxonomy of Parallel Processing classification SIMD, MISD, MIMD and also work on the two metrics throughput and CPU utilization of different scheduling algorithms.

Finally, it would be a great challenge to research the scheduling design approaches of trying to schedule soft and hard real-time tasks in the same system

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