## Classification of Cardiotocogram Data using Neural Network based Machine Learning Technique

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#### ABSTRACT

Cardiotocography (CTG) is a simultaneous recording of fetal heart rate (FHR) and uterine contractions (UC). It is one of the most common diagnostic techniques to evaluate maternal and fetal well-being during pregnancy and before delivery. By observing the Cardiotocography trace patterns doctors can understand the state of the fetus. There are several signal processing and computer programming based techniques for interpreting a typical Cardiotocography data. Even few decades after the introduction of cardiotocography into clinical practice, the predictive capacity of the these methods remains controversial and still inaccurate. In this paper, we implement a model based CTG data classification system using a supervised artificial neural network(ANN) which can classify the CTG data based on its training data. According to the arrived results, the performance of the supervised machine learning based classification approach provided significant performance. We used Precision, Recall, F-Score and Rand Index as the metric to evaluate the performance. It was found that, the ANN based classifier was capable of identifying Normal, Suspicious and Pathologic condition, from the nature of CTG data with very good accuracy.

#### Keywords

Multidimensional Data Classification, Medical Data Classification, Cardiotocography, CTG, fetal heart rate, FHR. uterine contractions, UC, ANN.

#### **1. INTRODUCTION**

Data Mining (DM) and the technology of Knowledge Discovery from Data (KDD) has brought many new developments, methods, and technologies in the recent decade. Also the improvement of integration of techniques and the application of data mining techniques had contributed in handling of new kinds of data types and applications. However, the field of data mining and its application in medical domain is still young enough so that the possibilities of the application are still limitless [20].

One of the major challenges in medical domain is the extraction of comprehensible knowledge from medical diagnosis data such as CTG data. In this information era, the use of machine learning tools in medical diagnosis is increasing gradually. This is mainly because the effectiveness of classification and recognition systems has improved in a great deal to help medical experts in diagnosing diseases[21].

#### 1.1 Cardiotocography (CTG)

Cardiotocography (CTG) is a technical means of recording the fetal heart rate (FHR) and the uterine contractions (UC) during pregnancy, typically in the third trimester to evaluate maternal and fetal well-being. FHR patterns are observed manually by obstetricians during the process of CTG analysis. In the recent past fetal heart rate baseline and its frequency analysis has been taken in to research on many aspects [2],[6].

Fetal heart rate (FHR) monitoring is mainly used to find out the amount of oxygen a fetus is acquiring during the time of labor [7]. Even then death and long term disablement occurs due to hypoxia during delivery. More than 50% of these deaths were caused by not recognizing the abnormal FHR pattern, even after recognizing not communicating the same without knowing the seriousness and the delay in taking appropriate action [7]. The currently proposed computation and datamining techniques for FHR can be used for analyzing and classifying the CTG data to avoid human mistakes and helps the doctors to take a decision.

#### 2. PROBLEM DEFINITION

Cardiotocography (CTG), consisting of fetal heart rate (FHR) and tocographic (TOCO) measurements, is used to evaluate fetal well-being during the delivery. Since 1970 many researchers have employed different methods to help the doctors to interpret the CTG trace pattern from the field of signal processing and computer programming [2]. They have supported doctors with interpretations in order to reach a satisfactory level of reliability so as to act as a decision support system in obstetrics. Up to now, none of them has been adopted worldwide for everyday practice (Van Geijnt, 1996). There is currently no consensus on the best methodology for baseline estimation in computer analysis of cardiotocographs [2]. More than 30 years after the introduction of antepartum cardiotocography into clinical practice, the predictive capacity of the method remains controversial. In a review of lot of articles published on this subject, it was found that its reported sensitivity varies between 2 and 100%, and its specificity between 37 and 100% [5]. So, in this work, we are going to evaluate some of the statistical, machine learning and datamining techniques for the classification of CTG data.

Classification can be viewed as a supervised learning scenario. Here a training data set of records is accompanied by class labels. New data can be classified based on the training set by generating descriptions of the classes. In addition to the training set, there is also a test data set which is used to determine the effectiveness of a classification. In principle, the popular neural network can be trained to recognize the data directly. However, a simple network can be very complex and difficult to train. Further, if the dimension of the input data is high, then the training process will consume very lot of time and the accuracy of classification also vary with the increase of dimension in the training data. Generally, the techniques used in the neural network systems will depend on the application of the system. As means of data collection have become more capable, the need for non-linear modeling techniques has become more and more apparent. Traditional statistical methods rely on an assumption of linearity. However, since most of the data collected concerns, or is the result of, human behavior, and humans rarely behave linearly, methods that assume linear separability are ultimately doomed to failure. Furthermore, data collection streams are broadening. The number of variables of concern to modelers has increased by at least an order of magnitude. Traditional methods simply were not designed to work with one hundred or more variables.

In answer to this, the last decade has seen the emergence of neural networks as a means of non-linear modeling. These devices resulted from the efforts of a number of cognitive scientists to mimic learning and memory in the human brain. The back-propagation neural network in particular has proven successful in creating useful models from large masses of complex data. The algorithm has been successfully applied in a variety of settings including direct marketing, intelligence and process control. Because of its pattern recognition nature it has proven robust with respect to missing data and other data irregularities.

# 2.1 The Medical Background Of Cardiotocography (CTG)

Cardiotocography is a medical test conducted during pregnancy that records fetal heart rate(FHR) and uterine contractions. The tests may be conducted by either internal or external methods. In internal testing, a catheter is placed in the uterus after a specific amount of dilation has taken place. With external tests, a pair of sensory nodes is affixed to the mother's stomach. The CTG trace generally shows two lines. The upper line is a record of the fetal heart rate in beats per minute. The lower line is a recording of uterine contractions from the TOCO [4].

#### **2.1 Baseline Heart Rate**

The baseline heart rate helps to evaluate the healthy functioning of the cardiovascular system. The baseline fetal heart rate is determined by approximating the mean FHR rounded to increments of 5 beats per minute (bpm) during a 10-minute window, excluding accelerations and decelerations and periods of marked FHR variability (greater than 25 bpm. Abnormal baseline is termed bradycardia and tachycardia.

The fluctuations are visually quantitated as the amplitude of the peak- to-trough in bpm. Using this definition, the baseline FHR variability is categorized by the quantitated amplitude as:

Absent- undetectable

Minimal- greater than undetectable, but less than or equal to 5 bpm

Moderate- 6 bpm - 25 bpm

Marked- greater than 25 bpm

*Bradycardia:* It is the resting heart rate of under 60 beats per minute, though it is seldom symptomatic until the rate drops below 50 beats/min. It may cause cardiac arrest in some patients

*Tachycardia:* It typically refers to a heart rate that exceeds the normal range for a resting heart rate (heart rate in an inactive or sleeping individual). It can be dangerous depending on the speed and type of rhythm.

### Type 1 (early)

This occurs during the peak of the uterine contraction. It will be uniform, repetitive, periodic slowing of FHR with onset early in the contraction and return to baseline at the end of the contraction. The reasons behind this may be fetal head compression, cord compression or early hypoxia. This occurs in first and second stage labor with decent of the head [4]. This is synchronous with uterine contraction

#### Type 2 (late)

This occurs after the peak of the uterine contraction. It will also be uniform, repetitive, slowing of FHR with onset mid to end of the contraction and nadir more than 20 seconds after the peak of the contraction and ending after the contraction. If the lag time is high seriousness is also high. This is also synchronous with uterine contraction. Mx: a fetal pH measurement is mandatory [4].

#### *Type 3 (variable)*

This is variable, repetitive, periodic slowing of FHR with rapid onset and recovery. Variable and isolated time relationships with contraction cycles may occur. In some cases, they resemble other types of deceleration patterns in timing and shape. If they occur consistently, there is a chance of fetal hypoxia. This is unrelated to uterine contractions. Mx: check fetal pH if the pattern persists after turning the patient on her side (or if other adverse features are present) [4].

# 3. CLASSIFICATION USING ARTIFICIAL NEURAL NETWORK

#### **3.1 ANN Based Classification**

Here in this classification, we use supervised learning by using a set of training data which is accompanied by class labels. When a new data arrive, then classification of that data will be done based on the training set by generating descriptions of the classes. In addition to training set we also have a test data set that is used to determine the effectiveness of a classification. In general, commonly used and popular neural networks can be trained to recognize the data directly, whereas in simple networks there is a chance of the system being complex and training may be difficult. The time taken and the accuracy of classification depend on the dimension of the input given and also on the dimension in the training data. For input data with high dimension, the process will take a longer time.

#### 3.2 Structuring the Network

The number of layers and the number of processing elements per layer are important decisions. These parameters to a feed forward, back-propagation topology are also the most ethereal - they are the "art" of the network designer. There is no quantifiable, best answer to the layout of the network for any particular application. There are only general rules picked up over time and followed by most researchers and engineers applying this architecture to their problems.

*Rule One:* As the complexity in the relationship between the input data and the desired output increases, the number of the processing elements in the hidden layer should also increase.

*Rule Two:* If the process being modeled is separable into multiple stages, then additional hidden layer(s) may be

required. If the process is not separable into stages, then additional layers may simply enable memorization of the training set, and not a true general solution effective with other data.

# Input Layer of Neurons $W_{1,1}$ $\Sigma$ $n_1$ $\underline{f}$ $a_1$ $p_1$ $b_1$ $p_2$ $\Sigma$ $n_2$ $\underline{f}$ $a_2$ $P_3$ $\vdots$ $\vdots$ $\vdots$ $P_R$ $\Sigma$ $n_s$ $\underline{f}$ $a_s$



Fig 1: Feed forward Network

**Rule Three:** The amount of training data available sets an upper bound for the number of processing elements in the hidden layer(s). To calculate this upper bound, use the number of cases in the training data set and divide that number by the sum of the number of nodes in the input and output layers in the network. Then divide that result again by a scaling factor between five and ten. Larger scaling factors are used for relatively less noisy data. If you use too many artificial neurons the training set will be memorized. If that happens, generalization of the data will not occur, making the network useless on new data sets.

A single-layer network of S logsig neurons having R inputs is shown below in full detail on the left and with a layer diagram on the right [16].

Feed forward networks often have one or more hidden layers of sigmoid neurons followed by an output layer of linear neurons [11], Multiple layers of neurons with nonlinear transfer functions allow the network to learn nonlinear and linear relationships between input and output vectors. The linear output layer lets the network produce values outside the range -1 to +1. On the other hand, if you want to constrain the outputs of a network (such as between 0 and 1), then the output layer should use a sigmoid transfer function.

# **3.3 The ANN based CTG Data Classification System**

The Fig. 2 shows the ANN based CTG data Classification system.

#### The Metrics Used for the Evaluation

Precision, recall and F-Score are computed for every (class, cluster) pair. But Rand index is a metric which will consider all the classes and the clusters as the whole.

#### **Rand Index**

The Rand index or Rand measure is a commonly used technique for measure of such similarity between two data clusters.

Given a set of n objects  $S = \{O1, ..., On\}$  and two data clusters of S which we want to compare:  $X = \{x1, ..., xR\}$  and  $Y = \{y1, ..., yS\}$  where the different partitions of X and Y are disjoint and their union is equal to S; we can compute the following values:

- a is the number of elements in S that are in the same partition in X and in the same partition in Y,
- b is the number of elements in S that are not in the same partition in X and not in the same partition in Y,
- c is the number of elements in S that are in the same partition in X and not in the same partition in Y,
- d is the number of elements in S that are not in the same partition in X but are in the same partition in Y.

Intuitively, one can think of a + b as the number of agreements between X and Y and c + d the number of disagreements between X and Y. The Rand index, R, then becomes,





$$RI = \frac{a+d}{a+b+c+d}$$

The Rand index has a value between 0 and 1 with 0 indicating that the two set of data clusters do not agree on any pair of points and 1 indicating that the two data clusters are exactly similar.

#### Precision

Precision is calculated as the fraction of correct objects among those that the algorithm believes belonging to the relevant class. It can be loosely equated to accuracy and it will roughly answers the question: "How many of the points in this cluster belong there/ correctly classified?"

The Precision is calculated as :  $P(L_r,\,S_i) = n_{ri}\!/n_i \label{eq:precision}$ 

for

- class L<sub>r</sub> of size n<sub>r</sub>
- cluster S<sub>i</sub> if size n<sub>i</sub>

 $n_{ri}$  data points in  $S_i$  from class  $L_r$ 

#### Recall

Recall roughly answers the question: "Did all of the documents that belong in this cluster make it in?". In other words, recall is the fraction of actual objects that were identified.

 $R(L_r, S_i) = n_{ri}/n_r$ 

#### **F-Score**

F-Score is the harmonic mean of Precision and Recall and will tries to give a good combination of the two. It is calculated with the equation:

$$F(L_r, S_i) = \frac{2 * R(L_r, S_i) * P(L_r, S_i)}{R(L_r, S_i) + P(L_r, S_i)}$$

#### 4. RESULTS AND DISCUSSION

#### 4.1 Data Set Information

For evaluating the algorithms under consideration, we used cardiotocograms data from UCI Machine Learning Repository.

This data set contains 2126 fetal cardiotocograms belonging to different classes. The data contains 21 attributes and two class labels. The CTGs were classified by three expert obstetricians and a consensus classification label assigned to each of them. Classification was both with respect to a morphologic pattern (A, B, C. ...) and to a fetal state (N, S, P). Therefore the dataset can be used either for 10-class or 3-class experiments. Here we use this data set for these evaluations.

#### Attribute Information

- 1) LB FHR baseline (beats per minute)
- 2) AC # of accelerations per second
- 3) FM # of fetal movements per second
- 4) UC # of uterine contractions per second
- 5) DL # of light decelerations per second
- 6) DS # of severe decelerations per second
- 7) DP # of prolongued decelerations per second
- 8) ASTV percentage of time with abnormal short term variability
- 9) MSTV mean value of short term variability
- 10) ALTV percentage of time with abnormal long term variability
- 11) MLTV mean value of long term variability
- 12) Width width of FHR histogram
- 13) Min minimum of FHR histogram
- 14) Max Maximum of FHR histogram
- 15) Nmax # of histogram peaks
- 16) Nzeros # of histogram zeros
- 17) Mode histogram mode
- 18) Mean histogram mean
- 19) Median histogram median
- 20) Variance histogram variance
- 21) Tendency histogram tendency

- 22) CLASS FHR pattern class code (1 to 10)
- 23) NSP fetal state class code (Normal=1; Suspect=2; Pathologic=3)

#### Class Information

We used the data for a three class classification problem. The descriptions for the three classes are

#### Normal

A CTG where all four features fall into the reassuring category

#### Suspicious

A CTG whose features fall into one of the nonreassuring categories and the reassuring category and the remainder of features are reassuring

#### Pathological

A CTG whose features fall into two or more of the Nonreassuring the reassuring category or two or more abnormal categories.

#### 4.2 The Visualization of Data Space

The following image shows the projection of this 21 attribute (dimension) data in to a virtual three dimensional data space. We used three principal components of the data for this projection. In this plot, the normal CTG data points are shown in black dots, the suspicious data points are shown as blue dots, and the Pathologic data points are shown as red 'x' mark. This figure roughly shows the distribution of the data in the virtual space.



Fig 1 : The 3D projection of CTG data

#### The Numerical Results

The following tables show the average performance of the three different methods. Here we tabulate the average results of ten trials. (The detailed results of all the trials can be found in the tables presented in annexure section)

Table 1.The Performance in terms ofRand Index and CPU time

SINo	RI	Time		
01	0.9146	3.6719		
02	0.9428	2.4844		
03	0.9317	2.3750		
04	0.9266	2.5469		
05	0.9396	2.3750		
06	0.9481	2.4688		
07	0.9395	2.3750		
08	0.9325	2.4688		
09	0.9348	2.4531		
10	0.9178	2.6719		
Avg	0.9328	2.5891		

Table 2. The Average Performance of ANN Based Classifier

Metric	Normal	Suspicious	Pathological
Precision	0.9663	0.5897	0.9706
Recall	0.991	0.3688	0.9745
F-Score	0.9784	0.4514	0.9724

#### The Analysis of Results

The performance of the algorithms in terms of Rand Index was good and always greater than 0.9. The proposed model consumed around 2.5 seconds for training and testing. 2.5 seconds is not a big figure to consider and will not be a obstacle in practical use of the method in real world application.



Fig 4. Performance of ANN

The above chart (Fig. 4) obviously shows the good performance of ANN based classifier. It gives good precision, recall and f-score for normal as well as pathological Arrived results obviously show that supervised machine learning based methods can be used for the classification of CTG data. We realize that there are some training glitches in the case of suspicious records which caused some unexpected poor results while classifying the CTG data class "suspicious".

#### **5. CONCLUSION**

The performance neural network based classification model has been analyzed with CTG dataset. According to the arrived results, the performance of the supervised machine learning based classification approach provided significant performance. It was found that, the ANN based classifier was capable of identifying Normal, Suspicious and Pathologic condition, from the nature of CTG data with very good accuracy. ANN based classifier provided excellent performance in terms of Rand Index, Precision, Recall and F-Score. It was capable of identifying Normal and Pathologic condition with almost equal accuracy. But if we carefully see the comparative chart of ANN (the last figure), we can tell that, it's performance to identify the Suspicious CTG pattern records. But giving poor performance in the case of suspicious records.

is little bit poor than the other two classes. So future works my address the way to improve the system to recognize the Suspicious CTG patterns with the same accuracy.

Even though the ANN based classifier provided excellent average performance, if we carefully watch the results of ten trials with ANN (the last table in annexure), we may find another weakness of this system. If we see some cells of the columns P2, R2 and F2 there are some bad results (highlighted in gray colour) during some trials. It means, in that trial, the system was absolutely incapable of identifying a single suspicious record. It means, even though we train the system with all the classes of samples, there is a chance by which the trained system may be incapable of identifying suspicious record. That is why we are getting comparatively average performance while classifying suspicious poor records. It is a major weakness of the system which should be overcome in future design. One may address the way to improve the system for getting proper training with different classes of CTG patterns. Future works may address hybrid models using statistical and machine learning techniques for improved classification accuracy.

#### ANNEXURE - 1

In the following tables, P1 is the precision for normal record, P2 is the precision for suspicious record, P3 is the precision for pathological records. R1 is the recall for normal record, R2 is the recall for suspicious record, R3 is the recall for pathological records. F1 is the f-score for normal record, F2 is the f-score for suspicious records, F3 is the f-score for pathological records.

SINo	Precision		Recall		<b>F-score</b>				
	P1	P2	P3	R1	R2	R3	F1	F2	F3
01	0.9485	0.0000	0.9787	0.9978	0.0000	0.9787	0.9725	0.0000	0.9787
02	0.9744	0.7714	0.9785	0.9892	0.5625	0.9681	0.9817	0.6506	0.9733
03	0.9652	0.7037	1.0000	0.9913	0.3958	0.9574	0.9781	0.5067	0.9783
04	0.9650	0.6522	0.9485	0.9881	0.3125	0.9787	0.9764	0.4225	0.9634
05	0.9733	0.7429	0.9785	0.9881	0.5417	0.9681	0.9806	0.6265	0.9733
06	0.9785	0.7500	0.9691	0.9881	0.5625	1.0000	0.9833	0.6429	0.9843
07	0.9713	0.7857	0.9583	0.9902	0.4583	0.9787	0.9807	0.5789	0.9684
08	0.9682	0.7407	0.9474	0.9892	0.4167	0.9574	0.9785	0.5333	0.9524
09	0.9682	0.7500	0.9785	0.9902	0.4375	0.9681	0.9791	0.5526	0.9733
10	0.9504	0.0000	0.9688	0.9978	0.0000	0.9894	0.9735	0.0000	0.9789
Avg	0.9663	0.5897	0.9706	0.9910	0.3688	0.9745	0.9784	0.4514	0.9724

#### Table 3. Results with ANN (10 Trials)

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