### Multivariable Q-parametrization for Rejection of Harmonic Disturbances

Dehri Khadija National Engineering School of Gabes University of Gabes Street of Medenine 6029 Gabes, Tunisia

Research Unit: Numerical Control of Industrial Processes

Ltaief Majda
National Engineering School of
Gabes
University of Gabes
Street of Medenine 6029
Gabes, Tunisia

Research Unit: Numerical Control of Industrial Processes

Nouri Ahmed Said
National Engineering School of
Gabes
University of Gabes
Street of Medenine 6029
Gabes, Tunisia

Research Unit: Numerical Control of Industrial Processes

### **ABSTRACT**

The design of accurate control becomes more difficult due to the complexity of industrial processes. In this paper, we are interested on the tracking of reference trajectories and the rejection of harmonic disturbances for discrete multi-input multi-output systems.

A new control strategy based on the combination of partial state reference model control, internal model principle and Youla-Kucera parametrization for discrete multivariable systems isproposed. A numerical example shows that the proposed strategy gives a good performance in terms of rejecting harmonic disturbances and reference trajectories tracking.

### **Keywords**

Multivariable control systems, Rejection of harmonic disturbances, Partial state reference model control, Internal model principle, Youla-Kucera parametrization.

### 1. INTRODUCTION

The rejection of disturbances is a problem frequently encountered in control engineering. The disturbances are, often, harmonic and can appears in a variety of industrial processes such as optical and magnetic disk drives, active noise control, rotating machine tools, and robots, etc.

In the literature, there are several main approaches dealing with harmonic disturbances rejection. The most common approach is based on the internal model principle (IMP) [1]. This approach states that the controller should incorporate the model of the disturbance to be eliminated. Other methods are: the adaptive feedforward cancelation (AFC) [2], [3], [4], [5], repetitive control (RC) [6], [7], [8], [9] and iterative learning control (ILC) [10], [11], [12]. However, these strategies (IMC, AFC, RC and ILC) are confronted with the problem of stability. One solution of this problem is to use internal model principle with Youla-Kucera parametrization. Landau et al. [13], [14], [15], [16] present a direct adaptive control based on the internal model principle and the use of the Youla-Kucera parametrization (Q-parametrization) for a single input/singleoutput (SISO) systems. The main idea behind this approach is to insert and adjust the internal model of the disturbance in the controller by tuning the parameters of the polynomial O.

In practice, many of industrial processes, suffering of harmonic disturbances, are multi-input/multi-output (MIMO) systems (mechanical and electrical systems, helicopter, robotic system, etc). The interactions problem between inputs

and outputs, the nonlinearity of the dynamics, the uncertainty of the parameters and/or the presence of external disturbances make the design of a robust control, for MIMO systems, very difficult [17].

This paper focuses on the rejection of harmonic disturbances for discrete MIMO systems. The approach consists in the synthesis of a partial state reference model control (PSRMC) combined with an internal model principle and a Youla-Kucera parametrization. Firstly, we develop the partial state reference model control. Then, we adjust the parameters of the controllers using the Q-parametrization. To obtain the elements of polynomial matrix Q, we use a diophantine polynomial matrix in which the model of disturbance is included.

This paper is organized as follows. In section 2, a brief review of Q-parametrization for SISO systems is presented. The third section describes the method for rejection of harmonic disturbances based on the partial state reference model control, internal model principle and Youla-Kucera parametrization. In section 4, the performance of the proposed method is illustrated through a simulation example. Then, we finish by a conclusion.

# 2. THE Q-PARAMETRIZATION FOR SINGLE-INPUT SINGLE-OUTPUT SYSTEMS

Consider a single-input single-output (SISO) discrete-time system described by the following model:

$$y(k) = q^{-d-1} \frac{B(q^{-1})}{A(q^{-1})} u(k) + \frac{1}{A(q^{-1})} p(k)$$
 (1)

where:

$$\begin{cases} A(q^{-1}) = 1 + a_1 q^{-1} + a_2 q^{-2} + \dots + a_{n_A} q^{-n_A} \\ B(q^{-1}) = b_0 + b_1 q^{-1} + b_2 q^{-2} + \dots + b_{n_B} q^{-n_B} \end{cases}$$

u(k) and y(k) are respectively the input and the output of the system.

The model of the system 
$$q^{-d-1} \frac{B(q^{-1})}{A(q^{-1})}$$
 is known or

obtained by system identification [18].

p(k) is the deterministic external disturbance and can be modeled as:

$$p(k) = H(q^{-1})\delta(k)$$

where:  $\delta(k)$  is the unit Dirac impulse.

The disturbance transfer function  $H(q^{-1})$  can be written as:

$$H(q^{-1}) = \frac{C(q^{-1})}{D(q^{-1})}$$

with:

$$\begin{cases} D(q^{-1}) = 1 + d_1 q^{-1} + d_2 q^{-2} + \dots + d_{nD} q^{-nD} \\ C(q^{-1}) = c_0 + c_1 q^{-1} + c_2 q^{-2} + \dots + c_{nC} q^{-nC} \end{cases}$$

The controller to be designed is an *RS*-type polynomial which can be expressed as [16]:

$$S_0(q^{-1})\Delta u(k) + R_0(q^{-1})y(k) = P_{c0}(q^{-1})\beta y_r(k+d+1)$$
 (2)

with: 
$$\beta = \frac{1}{\sum_{i=0}^{n_B} b_i}$$
,  $\Delta u(k) = \Delta(q^{-1})u(k)$ ,  $\Delta(q^{-1}) = 1 - q^{-1}$ 

 $y_r(k)$  is the desired reference trajectory to be tracked.  $S_0(q^{-1})$  and  $R_0(q^{-1})$  are polynomials characterizing the controller. The desired dynamics of the closed loop system is defined in the the polynomial  $P_{c0}(q^{-1})$  as:

$$P_{c0}(q^{-1}) = \Delta(q^{-1})A(q^{-1})S_0(q^{-1}) + q^{-d-1}B(q^{-1})R_0(q^{-1})$$
 (3)

Where:  $S_0(q^{-1})$  and  $R_0(q^{-1})$  are the solutions of the diophantine equation given by (3).

The system given by the equation (1) can be described by the behavior of its input-output tracking errors  $e_u(k)$  and  $e_y(k)$  given as follow:

$$e_{u}(k) = u(k) - A(q^{-1})\beta y_{r}(k+d+1) = -\frac{R_{0}(q^{-1})}{P_{c0}(q^{-1})}p(k)$$
(4)

$$e_{y}(k) = y(k) - B(q^{-1})\beta y_{r}(k) = \frac{S_{0}(q^{-1})}{P_{c_{0}}(q^{-1})}p(k)$$
 (5)

Using the "Youla-Kucera" parametrization, the structure of the stabilizing controller  $(S(q^{-1}), R(q^{-1}))$  has the following form [16]:

$$S(q^{-1})\Delta(q^{-1})u(k) + R(q^{-1})y(k) = P_{c0}(q^{-1})\beta y_r(k+d+1)$$
 (6)

where:

$$S(q^{-1}) = S_0(q^{-1}) - q^{-d-1}Q(q^{-1})B(q^{-1})$$
(7)

$$R(q^{-1}) = R_0(q^{-1}) + Q(q^{-1})\Delta(q^{-1})A(q^{-1})$$
(8)

with  $Q(q^{-1})$  is the "Youla-Kucera" parameter written as: The controller given by (6), (7) and (8) achieves asymptotic disturbance rejection when the polynomial  $S(q^{-1})$  has the form:

$$S(q^{-1}) = M(q^{-1})D(q^{-1})$$

It follows from (7):

$$S_0(q^{-1}) = M(q^{-1})D(q^{-1}) + q^{-d-1}B(q^{-1})Q(q^{-1})$$
(9)

Where  $M(q^{-1})$  and  $Q(q^{-1})$  are the unique solutions of the diophantine equation (9) with:

$$\begin{cases} M(q^{-1}) = m_0 + m_1 q^{-1} + \dots + m_{n_M} q^{-n_M} \\ Q(q^{-1}) = q_0 + q_1 q^{-1} + \dots + q_{n_Q} q^{-n_Q} \\ n_M = n_B + d; n_Q = \sup(n_D - 1, n_{S_0} - 1) \end{cases}$$

Then, the stabilizing controller given by the equations (6), (7) and (8) satisfy the following condition:

$$\lim_{k \to \infty} e_y(k) = 0.$$

## 3. THE SYNTHESIS OF Q-PARAMETRIZATION FOR MULTI-INPUTS/MULTI-OUTPUTS SYSTEMS

Consider a class of multi-input multi-output (MIMO) discretetime system described by the following model:

$$A(q^{-1})Y(k) = q^{-d-1}B(q^{-1})U(k) + V(k)$$
(10)

where Y(k), U(k) and V(k) are respectively the output, input and disturbances vectors:

$$\begin{split} Y(k) &= \begin{bmatrix} y_1(k) & \dots & y_p(k) \end{bmatrix}^T \\ U(k) &= \begin{bmatrix} u_1(k) & \dots & u_p(k) \end{bmatrix}^T \\ V(k) &= \begin{bmatrix} v_1(k) & \dots & v_p(k) \end{bmatrix}^T \end{split}$$

 $A(q^{-1})$  and  $B(q^{-1})$  are two polynomial matrices defined as follows:

$$\begin{cases} A(q^{-1}) = I_p + A_1 q^{-1} + A_2 q^{-2} + \dots + A_{n_A} q^{-n_A}; \\ \dim A_{\tau_1} = (p, p); \tau_1 \in \left[1, n_A\right] \\ B(q^{-1}) = B_0 + B_1 q^{-1} + B_2 q^{-2} + \dots + B_{n_B} q^{-n_B}; \\ \dim B_{\tau_2} = (p, p); \tau_2 \in \left[0, n_B\right] \end{cases}$$

The disturbances vector V(k) is supposed to be deterministic and it can be considered as the result of a Dirac impulse passed through a specific model filter. It can be modeled as:

$$V(k) = H(q^{-1})\delta(k) \tag{11}$$

where  $\delta(k)$  is the unit Dirac impulse vector.

The transfer matrix  $H(q^{-1})$  can be written as:

where:

$$\begin{cases} D(q^{-1}) = I_p + D_1 q^{-1} + D_2 q^{-2} + \dots + D_{n_D} q^{-n_D}; \\ \dim D_{\tau_3} = (p, p); \tau_3 \in \left[1, n_D\right] \\ C(q^{-1}) = C_0 + C_1 q^{-1} + C_2 q^{-2} + \dots + C_{n_C} q^{-n_C}; \\ \dim C_{\tau_4} = (p, p); \tau_4 \in \left[0, n_C\right] \end{cases}$$

We note  $(A_r(q^{-1}), B_r(q^{-1}))$  the right factorization of the system model  $(A(q^{-1}), B(q^{-1}))$ .

$$\left(A(q^{-1})\right)^{-1}B(q^{-1}) = B_r(q^{-1})\left(A_r(q^{-1})\right)^{-1} \tag{13}$$

where:

$$\begin{cases} A_r(q^{-1}) = I_p + A_{r_1}q^{-1} + A_{r_2}q^{-2} + \dots + A_{r_{n_{A_r}}}q^{-n_{A_r}}; \\ \dim A_{\tau_5} = (p,p); \tau_5 \in \left[r_1, r_{n_{A_r}}\right] \\ B_r(q^{-1}) = B_{r_0} + B_{r_1}q^{-1} + B_{r_2}q^{-2} + \dots + B_{r_{n_{B_r}}}q^{-n_{B_r}}; \\ \dim B_{\tau_6} = (p,p); \tau_6 \in \left[r_0, r_{n_{B_r}}\right] \end{cases}$$

The input-output tracking errors  $E_U(k)$  and  $E_Y(k)$  of the multivariable system can be given as:

$$E_{IJ}(k) = U(k) - A_r(q^{-1})\beta Y_r(k+1+d)$$
(14)

$$E_{Y}(k) = Y(k) - B_{r}(q^{-1})\beta Y_{r}(k)$$
(15)

The controller to be designed is a partial state reference model control (PSRMC) [17].

Referring to this control structure we can write:

$$S_0(q^{-1})\Delta U(k) + R_0(q^{-1})Y(k) = P_{c0}(q^{-1})\beta Y_r(k+1+d)$$
 (16)

with: 
$$\beta = \left[B_r(1)\right]^{-1}$$
 
$$\Delta U(k) = \Delta(q^{-1})U(k); \Delta(q^{-1}) = (1 - q^{-1})I_p$$

and  $Y_r(k)$  is the desired trajectories vector to be tracked.

 $S_0(q^{-1})$  and  $R_0(q^{-1})$  are two polynomial matrices characterizing the controller.

The structure of the (PSRMC) for mimo systems is given in Fig 1.

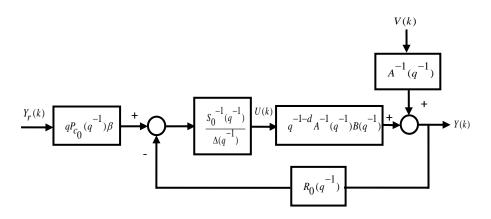


Fig 1: Structure of partial state reference model control.

Let  $P_{c0}(q^{-1})$  the polynomial matrix defining the desired dynamics in closed loop:

$$P_{c0}(q^{-1}) = S_0(q^{-1})\Delta(q^{-1})A_r(q^{-1}) + q^{-1-d}R_0(q^{-1})B_r(q^{-1}) \quad (17)$$

Where:  $S_0(q^{-1})$  and  $R_0(q^{-1})$  are the particular solutions of the matrix polynomial diophantine equation given by (17).

The right factorization of the nominal controller  $(S_0(q^{-1}), R_0(q^{-1}))$  is:

$$\left(S_0(q^{-1})\right)^{-1}R_0(q^{-1}) = \tilde{R}_0(q^{-1})\left(\tilde{S}_0(q^{-1})\right)^{-1} \tag{18}$$

Using (13), (17) and (18), we obtain the following equation:

$$\begin{bmatrix} S_{0}(q^{-1}) & -R_{0}(q^{-1}) \\ q^{-1-d}B(q^{-1}) & \Delta(q^{-1})A(q^{-1}) \end{bmatrix} \begin{bmatrix} \Delta(q^{-1})A_{r}(q^{-1}) & \tilde{R}_{0}(q^{-1}) \\ -q^{-1-d}B_{r}(q^{-1}) & \tilde{S}_{0}(q^{-1}) \end{bmatrix}$$

$$= \begin{bmatrix} P_{c0}(q^{-1}) & 0 \\ 0 & P_{c0}(q^{-1}) \end{bmatrix}$$
(19)

In practice, the industrial processes are complex and the mathematical model describes this system does not represent perfectly their dynamic behavior. Then, the outputs are affected by the external disturbances and the modeling error which can destabilize the system. The external disturbances are often harmonic. To reject these disturbances, we use a family of regulators stabilizing via parametrization of Youla-Kucera [19], [20], [21], [22]. The structure of the stabilizing controller for mimo systems is given by the following expressions:

$$P_{c0}(q^{-1}) = S(q^{-1})\Delta(q^{-1})A_r(q^{-1}) + q^{-1-d}R(q^{-1})B_r(q^{-1})$$
 (20)

$$S(q^{-1}) = S_0(q^{-1}) - q^{-1-d}Q(q^{-1})B(q^{-1})$$
 (21)

$$R(q^{-1}) = R_0(q^{-1}) + Q(q^{-1})\Delta(q^{-1})A(q^{-1})$$
 (22)

with  $Q(q^{-1})$  is the "Youla-Kucera" polynomial matrix written as:

$$Q(q^{-1}) = Q_0 + Q_1 q^{-1} + Q_2 q^{-2} + \dots + Q_{n_Q} q^{-n_Q}$$

The structure of the parametrization of the stabilizing controller is illustrated by the Fig 2.

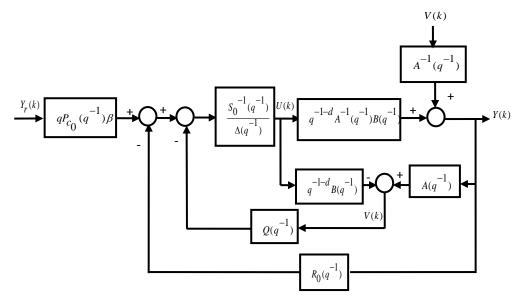


Fig 2: Structure of partial state reference model control combined with the Youla-Kucera parametrization.

The compensation of the disturbance is obtained when the polynomial matrix  $S(q^{-1})$  is written as:

$$S(q^{-1}) = M(q^{-1})D(q^{-1})$$

Then the equation (21) can be rewritten as:  

$$S_0(q^{-1}) = M(q^{-1})D(q^{-1}) + q^{-1-d}Q(q^{-1})B(q^{-1})$$
(23)

$$\begin{cases} M(q^{-1}) = M_0 + M_1 q^{-1} + M_2 q^{-2} + \dots + M_{n_M} q^{-n_M}; \\ Q(q^{-1}) = Q_0 + Q_1 q^{-1} + Q_2 q^{-2} + \dots + Q_{n_Q} q^{-n_Q}; \\ n_M = n_B + d; n_Q = \sup(n_D - 1, n_{S_0} - 1) \end{cases}$$

Where  $M(q^{-1})$  and  $Q(q^{-1})$  are the solution of the matrix polynomial diophantine equation (23).

To compensate the external disturbances, we adjust the parameters of the two polynomial matrices  $S(q^{-1})$  and  $R(q^{-1})$  using the Youla-Kucera parametrization. The polynomial matrix  $Q(q^{-1})$  is a result of the solution of a diophantine equation matrix in which the internal model of disturbances  $D(q^{-1})$  is incorporated.

In this work, we are interested to the problem of harmonic disturbances rejection. The polynomial matrix  $D(q^{-1})$  which represent the internal model of the disturbances can be calculated as in Table 1.

**Table 1. Model of**  $D(q^{-1})$ 

Type of harmonic disturbance	$D(q^{-1})$
Sinusoidal	$(1 - 2\cos(wT_e)q^{-1} + q^{-2})I_p$ $w = \frac{2\pi}{T}; T = NT_e$
Multi-sinusoidal	$\prod_{i=1}^{M} (1 - 2\cos(w_i T_e) q^{-1} + q^{-2}) I_p$ $w_i = \frac{2\pi}{T_i}; T_i = N_i T_e, i \in [1 \ M]$

### 4. SIMULATION RESULTS

The purpose of this section, is to study the performance robustness of the proposed controller in the presence of harmonic disturbance rejection.

Consider the mathematical model of an exothermic chemical reactor [23]:

$$A(q^{-1})Y(k) = q^{-1}B(q^{-1})U(k) + V(k)$$

$$\begin{cases} A(q^{-1}) = I_2 + A_1 q^{-1} + A_2 q^{-2} \\ B(q^{-1}) = B_0 + B_1 q^{-1} \end{cases}$$

$$A_{\rm l} = \begin{bmatrix} -1.8630 & 0 \\ 0 & -1.8700 \end{bmatrix}; \ A_{\rm 2} = \begin{bmatrix} 0.8669 & 0 \\ 0 & 0.8737 \end{bmatrix}$$

$$B_0 = \begin{bmatrix} 0.04195 & 0.4758 \\ 0.05824 & 0.1445 \end{bmatrix}; \ B_1 = \begin{bmatrix} -0.03796 & -0.4559 \\ -0.05403 & -0.1361 \end{bmatrix}$$

$$\begin{cases} Y(k) = \begin{bmatrix} y_1(k) \\ y_2(k) \end{bmatrix}; & Y_r(k) = \begin{bmatrix} y_{r1}(k) \\ y_{r2}(k) \end{bmatrix} \\ U(k) = \begin{bmatrix} u_1(k) \\ u_2(k) \end{bmatrix}; & V(k) = \begin{bmatrix} v_1(k) \\ v_2(k) \end{bmatrix} \end{cases}$$

We suppose that the disturbances are given by the following model:

$$V(k) = H(q^{-1})\delta(k) = D^{-1}(q^{-1})C(q^{-1})\delta(k)$$

where  $\delta(k)$  is the unit Dirac impulse vector.

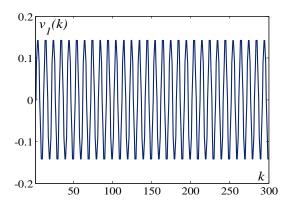
$$\begin{cases} D(q^{-1}) = I_2 - 1.618I_2q^{-1} + I_2q^{-2} \\ C(q^{-1}) = \begin{bmatrix} 0.1469 & 0 \\ 0 & 0.1763 \end{bmatrix} q^{-1} \end{cases}$$

The desired trajectories are defined as follows:

$$Y_r(k) = \begin{bmatrix} 1\\2 \end{bmatrix}$$

In this section, two kinds of controllers are considered: the classical partial state reference model control (PSRMC) and the new partial state reference model control combined with internal model principle and Youla-Kucera parametrization.

The evolution of disturbances  $v_1(k)$  and  $v_2(k)$  is given in Fig 3.



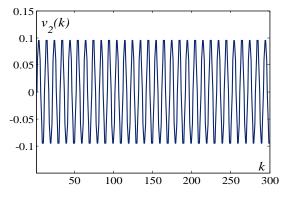


Fig 3: The evolutions of harmonic disturbances  $v_1(k)$  and  $v_2(k)$  .

Firstly, a classical PSRMC is used. The retained synthesis parameters of the controller are:

$$\begin{cases} S_0(q^{-1}) = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} + \begin{bmatrix} -1.0467 & -0.0923 \\ -0.1330 & -2.3567 \end{bmatrix} q^{-1} \\ R_0(q^{-1}) = R_{00} + R_{01}q^{-1} + R_{02}q^{-2}; \\ R_{00} = \begin{bmatrix} -10.3326 & 35.2136 \\ 8.1374 & -2.1408 \end{bmatrix}; R_{01} = \begin{bmatrix} 15.4956 & -53.471 \\ -12.2753 & 3.2499 \end{bmatrix} \\ R_{02} = \begin{bmatrix} -6.1221 & 21.2611 \\ 4.8654 & -1.294 \end{bmatrix} \end{cases}$$

The simulation results are shown in Fig 4, Fig 5 and Fig 6. Fig 4 gives the evolutions of the desired reference trajectories and the outputs of the system. Fig 5 presents the evolutions of the control signals  $u_1(k)$  and  $u_2(k)$ . The evolution of the

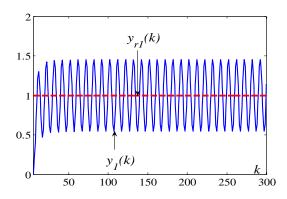
output errors  $e_{y1}(k)$  and  $e_{y2}(k)$  is illustrated by the Fig 6. It

can be observed that the outputs track the reference trajectories with periodic error due to the presence of external harmonic disturbances. Then, the classical partial state reference model control is not able to reject effectively the considered harmonic disturbances.

Secondly, in order to ameliorate the performance of the classical partial state reference model control, we combine this control with internal model principle and Youla-Kucera parametrization.

The polynomial matrix  $Q(q^{-1})$  is obtained by solving the diophantine matrix equation (23):

$$Q(q^{-1}) = \begin{bmatrix} -11.322 & 38.6529 \\ 8.7521 & -3.2141 \end{bmatrix} + \begin{bmatrix} 7.6173 & -27.6518 \\ -10.1313 & 1.326 \end{bmatrix} q^{-1}$$



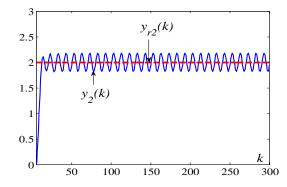
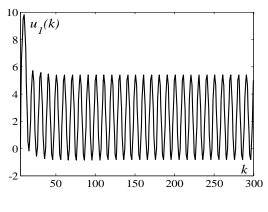


Fig 4: The evolutions of the desired reference trajectories  $Y_r(k)$  and the output system Y(k) (classical PSRMC).



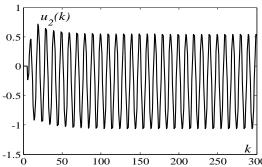
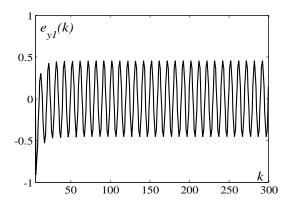


Fig 5: The evolutions of the control inputs  $u_1(k)$  and  $u_2(k)$  (classical PSRMC).



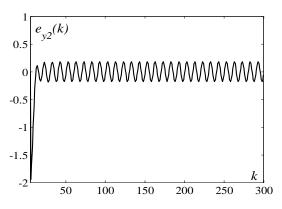
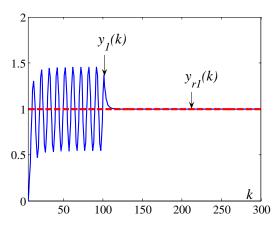


Fig 6: The evolutions of the output errors  $e_{y1}(k)$  and  $e_{y2}(k) \ \mbox{(classical PSRMC)}.$ 

After the instant k > 100, the proposed strategy is applied to the multivariable system. The simulation results of the system with this new strategy are shown in Fig 7, Fig 8 and Fig 9. The evolutions of the desired reference trajectories and the outputs of the system are given in Fig 7. Fig 8 shows the evolutions of the inputs  $u_1(k)$  and  $u_2(k)$ .

The evolutions of output errors are presented in Fig 9. These figures prove that relatively satisfactory performances are recorded in terms of tracking reference trajectories and rejecting harmonic disturbances. A comparison between the Fig 4 and Fig 7 reveals that the use of the partial state reference model control combined with the Youla-Kucera parametrization reduce effectively the harmonic disturbances.



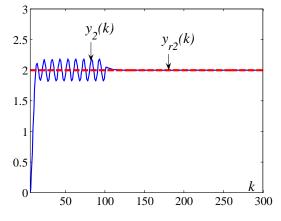


Fig 7: The evolutions of the desired reference trajectories  $Y_r(k)$  and the output system Y(k) (PSRMC with Qparametrization).

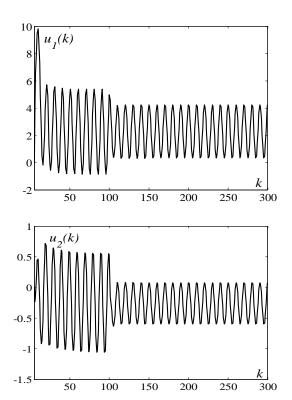


Fig 8: The evolutions of the control inputs  $u_1(k)$  and  $u_2(k)$  (PSRMC with Q-parametrization).

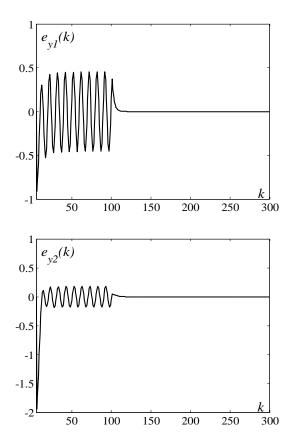


Fig 9: The evolutions of the output errors  $e_{y1}(k)$  and  $e_{y2}(k)$  (PSRMC with Q-parametrization).

### 5. CONCLUSION

In this paper, the problem of rejecting harmonic disturbances for mimo discrete systems was considered. The cancelation of the disturbances is achieved by constructing a set of stabilizing controllers using the Youla-Kucera parametrization. In fact, a new strategy based on the combination of the partial state reference model control, internal model principle and Q-parametrization was proposed. The obtained simulation results show a perfect tracking of reference trajectories and a good rejection of harmonic disturbances for discrete multivariable systems.

### 6. ACKNOWLEDGMENTS

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