Spectrum Efficiency of WiMAX Networks in the Presence of Interference with Diversity Combining

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ABSTRACT

WiMAX (Worldwide Interoperability for Microwave Access) is a promising technology which can offer high speed voice, video and data services upto the requirements at the customer's end. The objectives of this paper is to evaluate the Performance evaluation of a WiMAX system under various diversity schemes (Selection combining, Maximal ratio combining and Equal gain combining), employing different adaptive transmission policies, such as Optimal power and rate adaptation policy. Optimal rate adaptation with constant transmit power policy, Channel inversion with fixed rate policy, and Truncated channel inversion policy, subjected to co-channel interference and adjacent channel interference. WiMAX system incorporates OFDM with 256 sub-carriers with QPSK modulation as the transmission scheme. Simulated results of the estimated spectrum efficiency show that the implementation of Optimal power and rate adaptation policy under Selection combining is highly effective to combat cochannel interference and adjacent channel interference in the WiMAX communication system.

Keywords

Co-channel interference; Adjacent channel interference; Optimal power and rate adaptation policy; Optimal rate adaptation with constant transmit power policy; Channel inversion with fixed rate policy; Truncated channel inversion with fixed rate policy.

1. INTRODUCTION

WiMAX offers wireless access as an alternative to fixed access, e.g. Digital Subscriber Line (DSL) at high data rate Internet services, and extends broadband services with mobility to areas where currently no fixed broadband access is feasible due to excessive costs on the last mile. The demand for broadband mobile services continues to grow. Conventional high-speed broadband solutions are based on wired-access technologies such as DSL. This type of solution is difficult to deploy in remote rural areas; further it lacks support for terminal mobility. Mobile Broadband Wireless Access (MBWA) offers a flexible and cost-effective solution to these problems [1].

IEEE WiMAX/802.16 is a promising technology for broadband Wireless Metropolitan Area Networks (WMANs), as it can provide high throughput over long distances and can support different Qualities of Service (QoS). WiMAX/802.16 technology ensures broadband access to the last mile. It provides a wireless backhaul network that enables high speed Internet access to residential, small, and medium business customers, as well as Internet access for Wi-Fi hot spots and Vidhyacharan Bhaskar Department of Electronics & Communication Engineering, SRM University, Kattankulathur, Kancheepuram Dt. – 603203, Tamilnadu, India.

cellular base stations [2]. It supports both Point-to-MultiPoint (P2MP) and multipoint-to-multipoint (mesh) modes. WiMAX will substitute other broadband technologies competing in the same segment and will become an excellent solution for the deployment of well-known last mile infrastructures in places where it is very difficult to obtain with other technologies, such as cable or DSL, and where the costs of deployment and maintenance of such technologies would not be profitable. In this way, WiMAX will connect rural areas in developing countries as well as underserved metropolitan areas. It can even be used to deliver backhaul for carrier structures, enterprise campus, and Wi-Fi hot-spots. WiMAX offers a good solution for these challenges because it provides a cost-effective, rapidly deployable solution [3].

Additionally, WiMAX will represent a serious competitor to 3G (Third Generation) cellular systems as high speed mobile data applications will be achieved with 802.16e specification. The IEEE 802.16-2004 standard specifies Orthogonal Frequency Division Multiplexing (OFDM) as the transmission method for Non-Line of Sight (NLOS) connections. OFDM signal is made up of many orthogonal carriers, and each individual carrier is digitally modulated with a low symbol rate. This method has distinct advantages in multipath propagation, because in comparison with the single carrier method at the same transmission rate, more time is needed to transmit a symbol. BPSK, QPSK, 16-QAM, and 64-QAM modulation modes are used and modulation is adapted to the specific transmission requirements. Transmission rates of upto 75 Mbps are possible [4]. IEEE 802.16 aims to extend wireless broadband access upto kilometers in order to facilitate both Point-to-Point (P2P) and P2MP connections [5].

In this paper, simulation results of spectrum efficiency in a WiMAX network employing OFDM 256 subcarriers is obtained, and compared with the spectrum efficiency expressions derived in our earlier papers.

2. SYSTEM MODEL

The PHYsical (PHY) layer of WiMAX is based on OFDM, a scheme that offers good resistance to multipath and allows WiMAX to operate in NLOS conditions. Fixed WiMAX is based on IEEE 802.16-2004, and uses 256 Fast Fourier transform (FFT)-based OFDM physical layer. For this version, the FFT size is fixed at 256, of which 192 subcarriers are used to carry data, 8 used as pilot carriers for channel estimation and synchronization purposes and the rest as guard band subcarriers.

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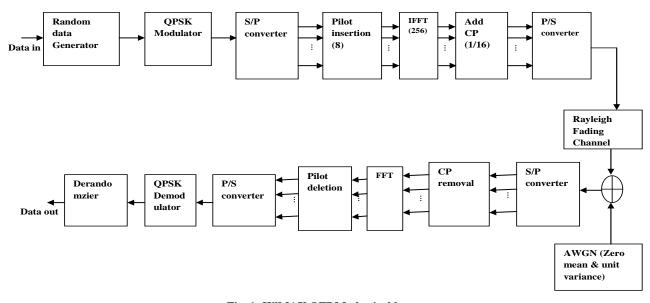


Fig. 1: WiMAX OFDM physical layer.

Fig. 1 shows a Fixed WiMAX OFDM PHY layer. At the transmitter, the incoming data stream is first encoded using a randomizer and mapped onto QPSK symbols. Using a serialto-parallel converter, a serial bit stream is converted into parallel bit streams. Pilot symbols are then inserted that can be used to perform frequency offset compensation and channel estimation at the receiver. Inverse Fast Fourier Transform (IFFT) is then performed with 256 points to produce a time domain signal. Cyclic Prefix (CP) of 16 samples are inserted to combat the effects of Inter Symbol Interference (ISI) at the beginning of each symbol, and removed at the receiver before the demodulator. Again, after using a parallel-to-serial converter, the symbols are transmitted through the channel. The received signal is the sum of linear convolution of the transmitted signal with the discrete channel impulse response, and an Additive White Gaussian Noise (AWGN) channel with zero mean and unit variance is added. It is assumed that channel fading is Rayleigh. The PHY layer at the receiving side then performs the reverse operations, such as removal of CP, pilot symbols, and FFT is performed to obtain the data symbol.

3. SNIR OF CCI AND ACI

The generalized expression for Signal to Noise plus Cochannel Interference ratio is given by [6]

$$\frac{\mathbf{S}}{(\mathbf{N}+\mathbf{I})} = \left[\left(\frac{\mu^2 \mathbf{E}_{\mathrm{b}}}{\mathbf{N}_0} \right)^{-1} + \left(\frac{\mathbf{S}}{\mathbf{I}} \right)^{-1}_{\mathrm{CCI}} \right]^{-1}, \quad (1)$$

where $\left(\frac{\mu^2 E_b}{N_0}\right)$ represents SNR considering AWGN and

Rayleigh fading, μ represents the Rayleigh faded random variable, and since signals from other cells' base stations arrive at the reference user asynchronously even when the system is designed to be inter-cell synchronous, multiuser interference due to transmissions from base stations other than the reference base station is approximated as

$$\left(\frac{S}{I}\right)_{CCI}^{-1} = \sum_{i=1}^{i_0} \frac{2}{3N} \left(\sum_{k=1}^{k_i} \frac{P_{ik}}{P_0}\right).$$
 (2)

Here, N = W/R is the system processing gain (Total channel bandwidth/Data rate of one user), k_i represents the number of users within the ith co-channel cell, P_{ik} represents the average transmit power from the ith co-channel's base station to the kth user in that co-channel cell as received by the reference user in the reference cell. In practice, only the first-tier co-channel cells (cells adjacent to the reference cell) significantly affect (S/I)_{CCI}. The effect on (S/I)_{CCI} of the second-tier co-channel cells (cells adjacent to the first-tier co-channel cells) can be included in the overall SNR expression, but due to its relatively negligible effect of second-tier co-channel cells as well as higher than that will be omitted.

The generalized expression for signal to noise plus adjacent channel interference ratio is given by [6]

$$\frac{\mathbf{S}}{(\mathbf{N}+\mathbf{I})} = \left[\left(\frac{\mu^2 \mathbf{E}_{\mathrm{b}}}{\mathbf{N}_0} \right)^{-1} + \left(\frac{\mathbf{S}}{\mathbf{I}} \right)^{-1}_{\mathrm{ACI}} \right]^{-1}, \quad (3)$$

where
$$\left(\frac{\mathbf{S}}{\mathbf{I}}\right)_{\mathrm{ACI}}^{-1} = \frac{2\lambda}{3\mathrm{NG}^2} \sum_{k=1}^{k_0} \frac{\mathbf{P}_k}{\mathbf{P}_0}.$$
 (4)

Here, G represents power gain of the IF filter for the desired signal relative to the adjacent channel, λ represents correlation of the received amplitudes of two signals transmitted from the same base station and received at the same mobile as a function of their frequency separation, k_0 represents the number of users in the reference cell, P_k represents the average transmitted power from the reference base station to the kth user in the reference cell as received by the reference user in the reference cell.

4. SPECTRUM EFFICIENCY OF VARIOUS DIVERSITY SCHEMES

4.1 Diversity Schemes

In a high-capacity mobile radio system, the reduction of CCI can be the most important advantage of diversity. The SIR is improved with the number of diversity branches. When Selection Combining (SC) is subjected to CCI, selection could be one of several decision algorithms: First, the total power algorithm selects the branch with the largest total intermediate frequency (IF) received power and is probably the easiest to implement in practice. Secondly, in other decision algorithm, the signals and interferers could be identified by different pilots, transmitted along with each of them. The combiner then selects the branch with the largest desired signal power (desired-signal-power algorithm). When subjected to CCI, the performance of Maximal-Ratio Combining (MRC) and Equal Gain Combining (EGC) depends on the means with which the branch gains are determined [7].

4.2 Adaptation Policies

Assuming that channel is estimated at the receiver, adaptive techniques require a feedback path between the transmitter and the receiver. Four adaptation policies are considered: Optimal Power and Rate Adaptation policy (OPRA), Optimal Rate Adaptation (ORA) with constant transmit power policy, Channel Inversion with Fixed Rate (CIFR) policy, and Truncated channel Inversion with Fixed Rate (TIFR) policy. The OPRA policy uses variable rate and power transmission whereas the ORA policy uses receiver side information alone in which code design makes use of channel correlation statistics. The CIFR and TIFR polices adapts the transmission power, but keeps the transmission rate constant, i.e., it inverts the channel fading.

4.3 Expressions for Spectrum Efficiency when subjected to CCI

The closed form solution for the PDF of output IF SNIR is given by Equation (5.4-83) on page 364 of [7] as

$$p_{\gamma}^{\rm SC,CCI}(\gamma) = M \Gamma \sum_{k=0}^{M-1} \binom{M-1}{k} (-1)^{k} [\gamma(k+1) + \Gamma]^{-2}, \qquad (5)$$

where γ represents the instantaneous selected branch SNIR, Γ is the average SNIR, M denotes the number of diversity branches. Using this PDF, various analytical expressions for the parametric measures considered are derived for SC diversity under various adaptation policies when the system is subjected to CCI. Given an average transmit power constraint, the channel capacity of a fading channel with received SNR distribution and OPRA policy, $\langle C \rangle_{OPRA}$ bits/s, is given as [8]

$$\langle \mathbf{C} \rangle_{\text{opra}} = \mathbf{B} \int_{\gamma_0}^{\infty} \log_2 \left(\frac{\gamma}{\gamma_0} \right) \mathbf{p}(\gamma) d\gamma,$$
 (6)

where B (Hz) is the channel bandwidth, and γ_0 is the cutoff level SNR below which data transmission is suspended. This cutoff must satisfy the following equation [8]

$$\int_{\gamma_0}^{\infty} \left(\frac{1}{\gamma_0} - \frac{1}{\gamma}\right) p(\gamma) d\gamma = 1.$$
(7)

The spectrum efficiency, $\frac{\langle C \rangle_{OPRA}^{SC,CCI}}{B}$ [bps/Hz], for OPRA policy for SC diversity case under CCI is obtained as

$$\frac{\langle C \rangle_{OPRA}^{SC,CCI}}{B} = \frac{M\Gamma}{\ln 2} \sum_{k=0}^{M-l} {\binom{M-1}{k}} (-1)^k \left[\frac{1}{k+1} \ln \left(\frac{\gamma_0 + \frac{\Gamma}{k+1}}{\gamma_0} \right) \right].$$
(8)

Adapting the code rate to channel conditions with a constant transmit power, the channel capacity, $\langle C \rangle_{ORA}$, is given as [8]

$$\langle \mathbf{C} \rangle_{\text{ora}} = \mathbf{B} \int_{0}^{\infty} \log_2(1+\gamma) \mathbf{p}(\gamma) d\gamma.$$
 (9)

The spectrum efficiency, $\frac{\langle C \rangle_{ORA}^{SC,CCI}}{B} [bps/Hz]$, for ORA policy for the SC diversity case under CCI is obtained as

$$\left\langle C \right\rangle_{_{Om}}^{^{\rm SC,\,CCI}} = \frac{M\Gamma}{\ln 2} \sum_{_{k=0}}^{^{\rm M-l}} \binom{M-1}{k} (-1)^{k} \left[\frac{\ln \frac{k+l}{\Gamma}}{(k+1)(k+1-\Gamma)} \right] (10)$$

The channel capacity with CIFR policy, $\left< C \right>_{\rm CIFR}$, is given by [8]

$$\langle \mathbf{C} \rangle_{\text{cifr}} = \mathbf{B} \log_2 \left(1 + \frac{1}{\int_{0}^{\infty} \frac{\mathbf{p}(\gamma)}{\gamma} d\gamma} \right).$$
 (11)

The spectrum efficiency, $\frac{\langle C \rangle_{CIFR}^{SC,CCI}}{B} [bps/Hz]$, under CIFR policy for SC diversity under CCI is obtained

$$\frac{\langle C \rangle_{CIFR}^{SC,CCI}}{B} = \log_2 \left[1 + \frac{1}{\frac{M}{\Gamma} \sum_{k=0}^{M-l} \binom{M-l}{k} (-1)^k \left[\ln \frac{1}{k+1} - \left(\ln \frac{\gamma}{\gamma(k+1)+\Gamma} + 1 \right)_{\gamma \to 0} \right]} \right]. (12)$$

The capacity with this TIFR policy, $\langle C \rangle_{TIFR}$, is given as [8]

$$\begin{split} \left< \mathbf{C} \right>_{\mathrm{tifr}} &= \mathbf{B} \log_2 \Biggl(1 + \frac{1}{\int\limits_{\gamma_0}^{\infty} \frac{\mathbf{p}(\gamma)}{\gamma} d\gamma} \Biggr) (1 - \mathbf{P}_{\mathrm{out}}), \end{split} \tag{13} \\ & \text{ where } \mathbf{P}_{\mathrm{out}} = 1 - \int\limits_{\gamma_0}^{\infty} \mathbf{p}(\gamma) d\gamma \end{split}$$

The spectrum efficiency, $\frac{\langle C \rangle_{\Pi FR}^{SC,CCI}}{B} [bp s/Hz], \text{ under TIFR}$ policy for SC diversity under CCI is obtained as $\frac{\langle C \rangle_{\Pi FR}^{SC,CCI}}{B} = \log_2 \left[1 + \frac{1}{\frac{M}{\Gamma} \sum_{k=0}^{M-1} {\binom{M-1}{k}} (-1)^k \left(\frac{-\Gamma}{(k+1)\gamma_0 + \Gamma} - \ln \frac{\gamma_0}{\gamma_0 + \frac{\Gamma}{k+1}} \right)} \right]$ $x \left(\sum_{k=0}^{M-1} {\binom{M-1}{k}} \frac{M\Gamma(-1)^k}{(k+1)^2 (\gamma_0 + \frac{\Gamma}{k+1})} \right)$ (14)

Analytical expressions for the parametric measures considered are derived in a similar manner as that of SC diversity for MRC and EGC diversity schemes under different adaptation policies. Table 1 shows the spectrum efficiency expressions [8] for various diversity schemes such as SC, MRC and EGC under various adaptation policies considered when subjected to Co-Channel Interference (CCI).

Diversity schemes	Adaptation policies	Spectrum efficiency expressions		
SC	OPRA	$\frac{\left\langle \mathbf{C} \right\rangle_{\text{opra}}^{\text{SC, CCI}}}{\mathbf{B}} = \frac{\mathbf{M}}{\ln 2} \sum_{k=0}^{M-1} {\binom{\mathbf{M}-1}{k}} (-1)^{k} \left[\frac{1}{k+1} \ln \left(\frac{\gamma_{0} + \frac{\Gamma}{k+1}}{\gamma_{0}} \right) \right]$		
	ORA	$\frac{\left\langle C \right\rangle_{\text{ora}}^{\text{SC, CCI}}}{B} = \frac{M\Gamma}{\ln 2} \sum_{k=0}^{M-1} {\binom{M-1}{k}} (-1)^k \left[\frac{\ln \frac{k+1}{\Gamma}}{(k+1)(k+1-\Gamma)} \right]$		
	CIFR	$\frac{\left\langle C \right\rangle_{cifr}^{SC, CCI}}{B} = \log_2 \left[1 + \frac{1}{\frac{M}{\Gamma} \sum_{k=0}^{M-1} \left(-1\right)^k \left[\ln \frac{1}{k+1} - \left(\ln \frac{\gamma}{(k+1)\gamma + \Gamma} + 1 \right)_{\gamma \to 0} \right]} \right]$		
	TIFR	$\frac{\left\langle C \right\rangle_{\text{tifr}}^{\text{SC, CCI}}}{B} = \log_2 \left[1 + \frac{1}{\frac{M}{\Gamma} \sum_{k=0}^{M-l} \binom{M-1}{k} \left(-1\right)^k \left(\frac{-\Gamma}{(k+1)\gamma_0 + \Gamma} - \ln \frac{\gamma_0}{\gamma_0 + \frac{\Gamma}{k+1}}\right)} \right] \left(\sum_{k=0}^{M-l} \binom{M-1}{k} \frac{M\Gamma(-1)^k}{(k+1)^2 \left(\gamma_0 + \frac{\Gamma}{k+1}\right)} \right) \left(\sum_{k=0}^{M-l} \binom{M-1}{k} \frac{M\Gamma(-1)^k}{(k+1)^2$		
MRC	OPRA	$\frac{\left\langle \mathbf{C} \right\rangle_{\text{opra}}^{\text{MRC, CCI}}}{\mathbf{B}} = \frac{\mathbf{M}\Gamma}{\ln 2} \left[\int_{\gamma_0}^{\infty} \ln \gamma \frac{\gamma^{\text{M-1}}}{(\Gamma + \gamma)^{\text{M+1}}} d\gamma - \frac{\ln \gamma_0}{\gamma_0} {}_2F_1\left(\mathbf{M} + 1, 1; 2; -\frac{\Gamma}{\gamma_0}\right) \right]$		
	ORA	$\frac{\langle C \rangle_{\text{ora}}^{\text{MRC, CCI}}}{B} = \frac{1}{\ln 2} \sum_{k=1}^{M} \frac{1}{k}$		
	CIFR	$\frac{\langle C \rangle_{cifr}^{MRC, CCI}}{B} = \log_2 (1 + (M - 1)\Gamma)$		
EGC	OPRA	$\frac{\left\langle C \right\rangle_{opra}^{EGC, CCI}}{B} = \frac{M\Gamma}{\nu(M) \ln 2} \left[\int_{\gamma_0}^{\infty} \ln \gamma \frac{\gamma^{M-1}}{\left(\frac{\Gamma}{\nu(M)} + \gamma\right)^{M+1}} d\gamma - \frac{\ln \gamma_0}{\gamma_0} {}_2F_1\left(M+1, 1; 2; -\frac{\Gamma}{\nu(M)\gamma_0}\right) \right]$		
	ORA	$\frac{\left\langle C \right\rangle_{\text{ora}}^{\text{EGC, CCI}}}{B} = \frac{1}{\ln 2} \sum_{k=1}^{M} \frac{1}{k}$		
	CIFR	$\frac{\langle C \rangle_{\text{ciff}}^{\text{EGC, CCI}}}{B} = \log_2 \left(1 + \frac{(M-1)\Gamma}{\nu(M)} \right)$		

Table I. Spectrum	efficiency o	of various	diversity s	chemes when	subjected to CCI [9]	1
rable 1. Spectrum	children y c	n various	urver sity s	chemes when	subjected to CCI [7]	•

4.4 Expressions for Spectrum Efficiency when subjected to ACI

From Eq. (1.5-51) of [7], the PDF of the signal to adjacent-channel interference ratio, after the Intermediate Frequency (IF) filter is given in terms of the correlation amplitude, λ , as

$$p_{\rho}(\gamma) = \frac{\left(1 - \lambda^{2}\right)\left(1 + \frac{\gamma}{G}\right)}{G\left[\left(1 + \frac{\gamma}{G}\right)^{2} - 4\lambda^{2}\frac{\gamma}{G}\right]^{3/2}},$$
(15)

where G is the power gain of the IF filter for the desired signal relative to the adjacent channel, γ is the Signal to Interference Ratio (SIR). The spectrum efficiency of no diversity case and SC diversity scheme for different adaptation policies with ACI was obtained using the PDF (15)

in the similar way as explained in section 3.3. which is shown in Table II.

5. SIMULATION RESULTS

WiMAX OFDM PHY layer is simulated using MATLAB for the parameters [11] discussed in section 2. Using the m-file for QPSK modulation with 256 subcarriers, average SNR is obtained. These SNR values are substituted in (1) and (3) to obtain SNIR values. SNIR values are substituted in the spectrum efficiency expressions shown in Table 1 and Table 2 to obtain various plots. In the case of CCI, it can be observed that as SNR increases, spectrum efficiency increases and shows remarkable improvement with increase in diversity order. In the case of ACI, spectrum efficiency increases with increase in gain (G). Fig. 2 to Fig. 5 show the spectrum efficiency of the considered system when subjected to CCI.

Diversity	Adaptation	Spectrum efficiency expressions		
scheme	policies			
	OPRA	$\frac{\left\langle C \right\rangle_{\text{opra}}^{\text{ACI, ND}}}{B} = \frac{\left(1 - \lambda^2\right)}{G \ln 2} \left(\frac{\left\{ A \left[\ln \left(A - 2\lambda^2 G + G + \gamma\right) + \ln \left(A - 2\lambda^2 \gamma + G + \gamma\right)\right] - \ln \gamma \left(A - G + \gamma\right) \right\} \right]}{2\left(\lambda^2 - 1\right)_{G}^{A}} \right]_{\gamma \to \gamma_{\text{max}}}$		
		$-\frac{\left\{\!A_1\!\left[\!\ln\!\left(\!A_1\!-\!2\lambda^2 G\!+\!G\!+\!\gamma_0\right)\!+\!\ln\!\left(\!A_1\!-\!2\lambda^2 \gamma_0\!+\!G\!+\!\gamma_0\right)\!\right]\!\!-\!\ln\gamma_0\!\left(\!A_1\!-\!G\!+\!\gamma_0\right)\!\right\}}{2\!\left(\!\lambda^2\!-\!1\right)^{A_1}_G}\!\right)$		
		$-\frac{\ln \gamma_0}{2(1-\lambda^2)} \left(G + \frac{G-\gamma_0}{\sqrt{1+\frac{\gamma_0^2}{G^2}+\frac{2\gamma_0}{G}(1-2\lambda^2)}} \right), \text{ where } \mathbf{A} = \sqrt{(2-4\lambda^2)\gamma G + G^2 + \gamma^2},$		
		$A_1 = \sqrt{\left(2 - 4\lambda^2\right)\gamma_0 G + G^2 + \gamma_0^2} .$		
ND	ORA	$\frac{\langle C \rangle_{_{Ora}}^{^{ACL,ND}}}{B} = \frac{\left(1 - \lambda^2\right)}{Gln2} \Bigg[\left(\frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right) + \left(G + 1\right)ln\left(AB_1 - \left(2\lambda^2 - 1\right)G(\gamma - 1) + G^2 - \gamma\right)\right) - ln\left(\gamma + 1\right)(AG + A + \gamma B_1 - B_1 G)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} \right)_{\gamma \rightarrow \gamma_{_{\rm max}}} + \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right) + \left(G + 1\right)ln\left(AB_1 - \left(2\lambda^2 - 1\right)G(\gamma - 1) + G^2 - \gamma\right)\right) - ln\left(\gamma + 1\right)(AG + A + \gamma B_1 - B_1 G)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} \Bigg]_{\gamma \rightarrow \gamma_{_{\rm max}}} + \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right) + \left(G + 1\right)ln\left(AB_1 - \left(2\lambda^2 - 1\right)G(\gamma - 1) + G^2 - \gamma\right)\right) - ln\left(\gamma + 1\right)(AG + A + \gamma B_1 - B_1 G)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} \Bigg]_{\gamma \rightarrow \gamma_{_{\rm max}}} + \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right) + \left(G + 1\right)ln\left(AB_1 - \left(2\lambda^2 - 1\right)G(\gamma - 1) + G^2 - \gamma\right)\right) - ln\left(\gamma + 1\right)(AG + A + \gamma B_1 - B_1 G)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} \Bigg]_{\gamma \rightarrow \gamma_{_{\rm max}}} + \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right) + \left(G + 1\right)ln\left(AB_1 - \left(2\lambda^2 - 1\right)G(\gamma - 1) + G^2 - \gamma\right)\right) - ln\left(\gamma + 1\right)(AG + A + \gamma B_1 - B_1 G)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} \Bigg]_{\gamma \rightarrow \gamma_{_{\rm max}}} + \frac{A\left(A - 2\lambda^2 G + G + \gamma\right)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} - \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right) + \left(G + 1\right)ln\left(AB_1 - 2\lambda^2 G + G + \gamma\right)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} - \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} - \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right) + \left(G + 1\right)ln\left(AB_1 - 2\lambda^2 G + G + \gamma\right)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} - \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} - \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} - \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} - \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} - \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} - \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} - \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} - \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} - \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} - \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} - \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + G + \gamma\right)}{2\left(\lambda^2 - 1\right)_{G_1}^{AB_1}} - \frac{A\left(B_1 ln\left(A - 2\lambda^2 G + \sigma\right)}{2\left(\lambda^2 - 1\right)_{G_1$		
		$-\frac{(B_1G\ln(2G(1-\lambda^2))+(G+1)\ln(B_1G+G(2\lambda^2-1)))}{2(\lambda^2-1)B_1}, \text{ where } B_1 = \sqrt{4G\lambda^2+G^2-2G+1}.$		
	CIFR			
		$\frac{\langle \mathbf{C} \rangle_{\text{dir}}^{\text{ACI, ND}}}{\mathbf{B}} = \log_2 \left[1 + \frac{1}{\sqrt{1 + \frac{1}{2G}}} \right] + \frac{1}{2G}$		
		$\frac{\langle C \rangle_{_{eff}}^{ACI, ND}}{B} = \log_2 \left[1 + \frac{1}{\frac{(1-\lambda^2)}{G} \left[\frac{(1-2\lambda^2)^2 + \lambda^2 - 1}{2\lambda^2(1-\lambda^2)} - \ln\left(\frac{4(1-\lambda^2)}{G}\right) + \ln\left(\frac{2 + \left(\frac{2-4\lambda^2}{G}\right)\gamma + 2\sqrt{1+\frac{\gamma^2}{G} + \frac{2\gamma}{G}(1-2\lambda^2)}}{\gamma}\right)_{\gamma \to 0} \right]}_{\gamma \to 0} \right] + \frac{1}{2G}$		
	TIFR			
		$\frac{\langle C \rangle_{_{\text{str}}}^{\text{ACI, ND}}}{B} = \log_2 \left[1 + \frac{1}{\frac{(1 - \lambda^2)}{G} \left[\frac{G}{2^{(1 - \lambda^2)}} - G \ln\left(\frac{4(1 - \lambda^2)}{G}\right) - \frac{G(1 - 2\lambda^2)(4\lambda^2 - 1) + G + 2\lambda^2 \gamma_0}{4\lambda^2(1 - \lambda^2)\sqrt{1 + \frac{\gamma_0^2}{G^2} + \frac{2\gamma_0}{G}(1 - 2\lambda^2)}} + G \ln\left(\frac{2 + \left(\frac{2 - 4\lambda^2}{G}\right)\gamma_0 + 2\sqrt{1 + \frac{\gamma_0^2}{G^2} + \frac{2\gamma_0}{G}(1 - 2\lambda^2)}}{\gamma_0}\right) \right]}{G} \right]$		
		$\left(\begin{array}{ccc} \mathbf{G} \begin{bmatrix} 2(\mathbf{I}-\lambda^2) & (\mathbf{G}^{-1}) & 4\lambda^2(\mathbf{I}-\lambda^2) \\ & 4\lambda^2(\mathbf{I}-\lambda^2) \sqrt{1+\frac{\gamma_0}{G^2}+\frac{2\gamma_0}{G}(\mathbf{I}-2\lambda^2)} & (\mathbf{I}-\lambda^2) \end{bmatrix}\right)$		
		$\times \left(1 - \frac{1}{2G} \left(G + \frac{G - \gamma_0}{\sqrt{1 + \frac{\gamma_0^2}{G^2} + \frac{\gamma_0}{G}(2 - 4\lambda^2)}}\right)\right)$		
SC	OPRA	$\frac{\left\langle C \right\rangle_{\rm opra}^{\rm ACL,SC}}{B} = \frac{M}{G} \sum_{\gamma=\gamma_0}^{\infty} \log_2 \left(\frac{\gamma}{\gamma_0} \right) \left[\frac{\gamma - G}{2G\sqrt{1 + \frac{\gamma^2}{G^2} + \frac{2\gamma}{G}\left(1 - 2\lambda^2\right)}} + \frac{1}{2} \right]^{M-1} \left(\frac{(1 - \lambda^2)(1 + \frac{\gamma}{G})}{\left[1 + \frac{\gamma^2}{G^2} + \frac{2\gamma}{G}\left(1 - 2\lambda^2\right)\right]^{3/2}} \right).$		
	ORA	$\frac{\langle C \rangle_{_{OTR}}^{^{ACI,SC}}}{B} = \frac{M}{G} \sum_{\gamma=0}^{\infty} \log_2 \left(1+\gamma \right) \left[\frac{\gamma-G}{2G\sqrt{1+\frac{\gamma^2}{G^2}+\frac{2\gamma}{G}(1-2\lambda^2)}} + \frac{1}{2} \right]^{M-1} \left(\frac{(1-\lambda^2)(1+\frac{\gamma}{G})}{\left[1+\frac{\gamma^2}{G^2}+\frac{2\gamma}{G}(1-2\lambda^2)\right]^{3/2}} \right).$		
	CIFR			
		$\frac{\left\langle C \right\rangle_{\text{eff}}^{\text{ACLSC}}}{B} = \log_2 \left[1 + \frac{1}{\frac{M}{G} \sum_{\gamma=0}^{\infty} \frac{1}{\gamma} \left[\frac{\gamma - G}{2G\sqrt{1 + \frac{\gamma^2}{G^2} + \frac{2\gamma}{G}\left(1 - 2\lambda^2\right)}} + \frac{1}{2} \right]^{M-1} \left(\frac{(1 - \lambda^2)(1 + \frac{\gamma}{G})}{\left[1 + \frac{\gamma^2}{G^2} + \frac{2\gamma}{G}\left(1 - 2\lambda^2\right)\right]^{3/2}} \right) \right].$		
	TIFR			
		$\frac{\langle \mathbf{C} \rangle_{\text{tiff}}^{\text{ACI,SC}}}{\mathbf{B}} = \log_2 \left[1 + \frac{1}{\frac{M}{G\sum\limits_{\gamma=\gamma_0}^{\infty} \eta} \left[\frac{\gamma - G}{2G\sqrt{1 + \frac{\gamma^2}{G^2} + \frac{2\gamma}{G}(1 - 2\lambda^2)}} + \frac{1}{2} \right]^{M-1} \left[\frac{(1 - \lambda^2)(1 + \frac{\gamma}{G})}{\left[1 + \frac{\gamma^2}{2} + \frac{2\gamma}{G}(1 - 2\lambda^2)} \right]^{3/2}} \right] } \right] \mathbf{X} \left(1 - \left(\frac{\gamma_0 - G}{2G\sqrt{1 + \frac{\gamma_0}{G^2} + \frac{2\gamma_0}{G}(1 - 2\lambda^2)}} + \frac{1}{2} \right)^M \right).$		
Fig. 6 and Fig	7 show the sp	ectrum efficiency of the considered and TIFR policies versus average SNR when subjected to CCI		

Table II: Spectrum efficiency of no diversity case and SC when subjected to ACI [10].

Fig. 6 and Fig. 7 show the spectrum efficiency of the considered system when subjected to ACI. Fig. 2 and Fig. 3 show spectrum efficiency curves of SC diversity schemes OPRA, ORA, CIFR

and TIFR policies versus average SNR when subjected to CCI for M = 4 and M = 6, respectively, using the expressions shown in Table 1. OPRA policy provides the highest spectrum

efficiency when compared to the other policies. The CIFR policy suffers highest capacity penalty relative to the other policies. The spectrum efficiency curve obtained using ORA policy lies in between the curves obtained for the other two policies. OPRA policy yields spectrum efficiency in the range, 3.7309 bps/Hz to 4.8188 bps/Hz for M = 4, and 3.9123 bps/Hz to 5.0041 bps/Hz for M = 6. ORA policy yields spectrum efficiency in the range, 2.6375 bps/Hz to 3.5156 bps/Hz for M = 4, and 2.8521 bps/Hz to 3.761 bps/Hz for M = 6. CIFR policy yields spectrum efficiency in the range, 1.625 bps/Hz for M = 6. TIFR policy yields spectrum efficiency in the range, 1.729 bps/Hz to 2.4744 bps/Hz for M = 4, and 1.9392 bps/Hz to 2.7683 bps/Hz for M = 6.

Fig. 4 and Fig. 5 show spectrum efficiency curves with respect to average SNR of MRC and EGC diversity schemes under CIFR and ORA policies, respectively, when subjected to CCI for M = 4 and M = 6. The spectrum efficiency of CIFR policy with MRC diversity scheme lies between 2.3922 bps/Hz and 3.3319 bps/Hz for M = 4, and for M = 6, it is between 3.0148 bps/Hz and 4.0104 bps/Hz. The spectrum efficiency of CIFR policy with EGC diversity scheme lies between 2.1377 bps/Hz and 3.0458 bps/Hz for M = 4, and for M = 6, it is between 2.7037 bps/Hz and 3.6747 bps/Hz. Similarly, for ORA policy with MRC diversity scheme, spectrum efficiency lies between 4.2575 bps/Hz and 9.0866 bps/Hz for M = 4, and for M = 6, it is between 5.0068 bps/Hz and 10.6858 bps/Hz. For ORA policy with EGC diversity scheme, spectrum efficiency lies between 3.4071 bps/Hz and 7.2716 bps/Hz for M = 4, and for M = 6, it is between 3.8984 bps/Hz and 8.3203 bps/Hz.

Fig. 6 and Fig. 7 compare spectrum efficiency of OPRA and ORA policy when subjected to ACI with no diversity and SC diversity case. OPRA shows better performance than ORA, and SC diversity scheme serves better than the no diversity case. OPRA policy yields spectrum efficiency in the range of 6.0842 bps/Hz to 6.9205 bps/Hz for no diversity case, and 6.9332 bps/Hz to 7.3015 bps/Hz for SC diversity. ORA policy yields spectrum efficiency in the range 0.0666 bps/Hz to 0.3749 bps/Hz for no diversity case, and 4.1942 bps/Hz to 4.5198 bps/Hz for the SC diversity case. For the SC diversity case, the diversity order is taken as 2. Fig. 8 shows spectrum efficiency of CIFR and TIFR policies when subjected to ACI with no diversity and SC diversity case. The spectrum efficiency of CIFR policy with no diversity case lies between 0.0903 bps/Hz and 0.3903 bps/Hz, and for SC diversity, it is between 0.0029 bps/Hz and 0.012 bps/Hz. The spectrum efficiency of TIFR policy with no diversity case lies between 0.00006 bps/Hz and 0.0085 bps/Hz, and for SC diversity, it is between 0.018 bps/Hz and 0.0402 bps/Hz.

6. CONCLUSIONS

This paper discusses the effects of CCI and ACI under various adaptation policies and diversity schemes over Rayleigh fading channel in a of WiMAX network with 256 OFDM. The simulation results show that spectrum efficiency is in the range 5 bps/Hz, which is the spectrum efficiency of Fixed WiMAX given by IEEE 802.16d standard. Spectrum efficiency improves with an increase in diversity order and an increase in average SIR when the channel is subjected to CCI. For SC diversity case, OPRA policy provides the highest capacity over other adaptation policies. CIFR policy shows the least spectrum efficiency as compared to the other policies. Spectrum efficiency improves with increase in G and M, with corresponding increase in average SNR when the channel is subjected to ACI. Also, with SC diversity scheme capacity shows much improvement when compared to the system without diversity. Spectrum efficiency improvement obtained by OPRA policy is higher when compared to the other policies. Thus, OPRA policy with SC diversity is the best suited scheme under ACI.

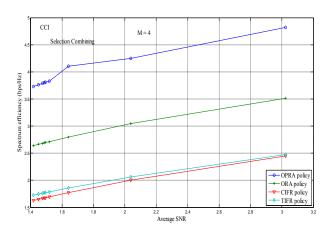


Fig. 2: Spectrum efficiency vs average SNR of OPRA, ORA, CIFR and TIFR policies with SC when subjected to CCI with M = 4.

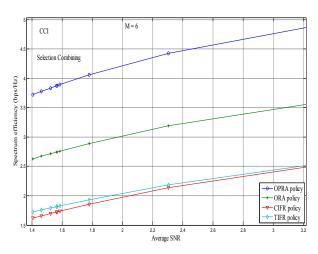


Fig. 3: Spectrum efficiency vs average SNR of OPRA, ORA, CIFR and TIFR policies with SC when subjected to CCI with M = 6.

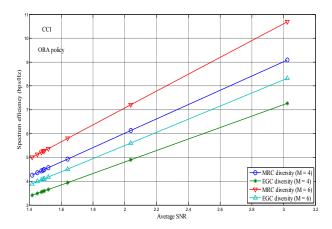


Fig. 4: Spectrum efficiency vs average SNR of ORA policy with MRC and EGC when subjected to CCI with M = 4 & M = 6.

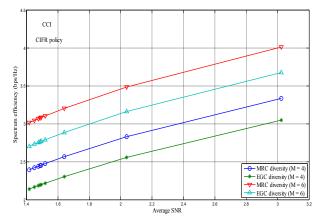


Fig. 5: Spectrum efficiency vs average SNR of CIFR policy with MRC and EGC when subjected to CCI with M = 4 & M = 6.

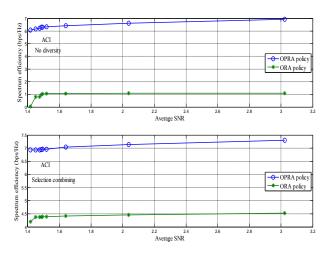


Fig. 6: Spectrum efficiency vs average SNR of OPRA and ORA policies when subjected to ACI.

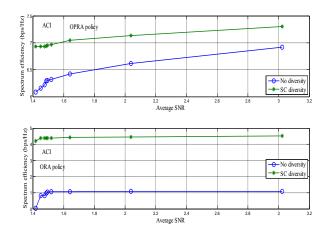


Fig. 7: Spectrum efficiency vs average SNR of OPRA and ORA policies when subjected to ACI.

7. **REFERENCES**

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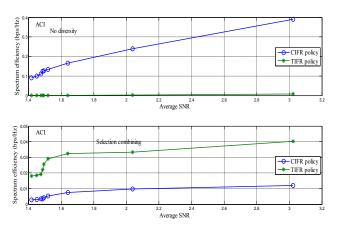


Fig. 8: Spectrum efficiency vs average SNR of CIFR and TIFR policies when subjected to ACI.

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