Multi-objective Design of Induction Motor using Harmony Search Optimization

P.S. Prakash Assistant Professor Electrical Engineering, Annamalai University India

ABSTRACT

This paper presents a Harmony Search Optimization (HSO) based design methodology for maximizing both the starting torque and the efficiency of Induction Motor (IM). HSO is inspired the musical process of searching for a perfect state of harmony. The harmony in music is analogous to the optimization solution vector, and the musician's improvisations are analogous to local and global search schemes in optimization techniques. Among the number of design variables of the IM, seven variables are identified as primary design variables and the HSO based design methodology is tailored to optimize the chosen primary variables with a view to obtain the global best design. The optimal design obtained by the developed methodology for two IMs are presented with a view of illustrating the superiority.

General Terms

Optimization, evolutionary algorithms

Keywords

Harmony search optimization, Induction motor design

Nomenclature

BW	bandwidth
Eff	efficiency of the motor
f(x)	objective function to be minimized
FIT	fitness function
g(x)	a set of inequality constraints
HM	harmony memory
HMCR	harmony memory considering rate
HMS	harmony memory size
HSO	harmony search optimization
h ^j	<i>j</i> -th harmony
h_i^{j}	i -th design variable of j -th harmony in the HM
h'	improvised harmony vector
IM	induction motor
I_{ph}	phase current, A
I _r	equivalent rotor current, A

P. Aravindhababu Professor Electrical Engineering, Annamalai University India

I _{sc}	short circuit current per phase, A
Iter ^{max}	maximum number of iterations for convergence check
kW	rating of IM
"min"& "max"	minimum and maximum limits of the respective variables
nd	number of decision variables
ODIM	optimal design of IM
PAR	pitch adjusting rate
PSO	particle swarm optimization
PM	proposed method
P_t	total losses
P _{nl}	no load loss
P _{cus}	stator copper loss.
P _{cur}	rotor copper loss.
rand	a uniform random number between 0 and 1
X	a vector of primary design variables
SFL	slip at full load, per unit
T _{st}	starting torque, per unit
\Re_i	a set possible range of values of i -th decision variable, that is $h_i(\min) \le \Re_i \le h_i(\max)$
η	a set of limit violated constraints
W	weight values to represent relative significance
λ	weight constant of the penalty terms

1. INTRODUCTION

Although squirrel-cage induction machines are widely used in the industry for their easy manufacturing and robustness, their applications in electrical transport systems such as subways and trains are limited due to inferior starting torque than those of permanent-magnet motors. In the light of the fact that the resistance of a squirrel-cage motor is fixed and small as compared to its reactance that is very large especially at the start, the frequency of the rotor currents equals the supply frequency, the starting current of the rotor is very large in magnitude and lags by a very large angle, resulting a poor starting torque per ampere. It is roughly 1.5 times the full-load torque, although the starting current is 5 to 7 times the full load current. Hence, such motors are not useful where the motor has to start against heavy loads. Therefore it becomes imperative that the best architecture and the corresponding dimensioning have to be determined in order to maximize the starting torque besides improving the efficiency with respect to several constraints in applications requiring high starting torque such as in traction systems,. The resulting mathematical optimization problem is a multiobjective optimization problems and usually difficult since the design variables contain continuous variables related to the real dimensioning parameters and combinatorial variables associated with architecture characteristics and discrete dimensioning parameters; and their relationship with motor specifications are in general nonlinear [1].

In recent decades, several classical techniques such as nonlinear programming [2], Lagrangian relaxation method [3], direct and indirect search methods [4], Hooks and Jeeves method [5], Rosenbrock's method [6], Powell's method [7], finite element method [8] and sequential unconstrained minimization technique [9] have been suggested for IM design problem. Many of these methods are most cumbersome and time consuming and pose difficulty in handling non-linear and discontinuous objectives and constraints. Besides a few of them requires derivatives and exhibits poor convergence properties due to approximations in derivative calculations; and may converge to local solution instead of global ones, when the initial guess is in the neighborhood of a local solution.

In recent years nature inspired metaheuristic optimization algorithms such as simulated annealing [10], genetic algorithm (GA) [11], evolutionary algorithm [12], evolutionary strategy [13], particle swarm optimization (PSO) [14], bacterial foraging [15] and differential evolution [16] have been widely applied in solving the IM design problems with a view of overcoming the drawbacks of classical methods. These algorithms have yielded satisfactory results across a great variety of design optimization problems.

Recently, a Harmony Search Optimization (HSO) that was conceptualized using musical process of searching for a perfect state of harmony has been suggested for solving optimization problems [17,18]. The harmony in music is analogous to the optimization solution vector, and the musician's improvisations are analogous to local and global search schemes in optimization techniques. The HSO does not require initial values for the decision variables and uses a stochastic random search that is based on the harmony memory considering rate (HMCR) and pitch adjusting rate (PAR) so that the derivative information is unnecessary. It requires fewer mathematical computations compared to other meta-heuristic algorithms and can be easily adopted for various types of engineering optimization problems. It has been successfully applied in solving various engineering optimization problem in [19-21].

The aim of this paper is to develop a design methodology using HSO for maximizing the efficiency and starting torque of IM with a view of effectively exploring the solution space and obtaining the global best solution. The developed design methodology has been applied in designing two IMs and the performances have been studied. The paper is divided into five sections. Section 1 presents the introduction, section 2 overviews HSO, section 3 explains the IM design problem and suggests the proposed design method (PM), section 4 discusses the results and section 5 concludes.

2. HARMONY SEARCH ALGORITHM

The HSO is based on the musical process of searching for the perfect state of harmony. Musicians, during a rehearsal or a performance, try to create pleasing sounds and approach the ideal state of harmony. HSO is inspired from the improvisation process of music players. Just as the musicians try to improve their music, the HSO seeks for certain values for the decision variables that optimize the objective function while at the same time satisfying the problem constraints. It improves the optimal solution iteration after iteration in the same way as a music band improves rehearsal after rehearsal. It is simple in concept, few in parameters and easy for implementation with theoretical background of stochastic derivative.

In this approach, a Harmony Memory (HM), comprising a number of candidate solutions of the problem at hand, is defined. The HM is initialized with random guesses in the problem space as:

$$HM = \begin{bmatrix} h_1^1 & h_2^1 & \cdots & h_N^1 \\ h_1^2 & h_2^2 & \cdots & h_N^2 \\ h_1^3 & h_2^3 & \cdots & h_N^3 \\ \vdots & \vdots & & \vdots \\ h_1^{HMS} & h_2^{HMS} & \cdots & h_N^{HMS} \end{bmatrix}$$
(1)

Generating a new harmony is known as "improvisation". A new harmony vector $\dot{h_1} = (\dot{h_1}, \dot{h_2}, \dots, \dot{h_N})$ is generated based on the following mechanisms.

Memory considerations: The value for the first decision variable h_1 for the new vector is chosen from any of the values in the specified HM range $(h_1 - h_1^{HMS})$. Values for other decision variables (h_2, h_3, \dots, h_N) are chosen in the same manner. The HMCR that varies between 0 and 1 is the rate of choosing a value from HM, while (1-HMCR) is the rate of randomly selecting a value from the possible range of values as shown in (2)

$$\begin{array}{c} \text{if } (\text{rand } (\) < \text{HMCR}) \\ h'_i \leftarrow h'_i = (h^1_i, h^2_i, \cdots, h^{\text{HMS}}_i) \\ \text{else} \\ h'_i \leftarrow h'_i \in \mathfrak{R}_i \\ \text{end} \end{array}$$

$$\begin{array}{c} \text{end} \end{array}$$

$$(2)$$

Pitch adjustment: Every component obtained by memory consideration is pitch adjusted based on the PAR as

if
$$(rand () < PAR)$$

 $h'_i \leftarrow h'_i \pm rand() \times BW$
else
 $h'_i \leftarrow h'_i$
end
(3)

Where BW is an arbitrary distance bandwidth.

Update harmony memory: If the new harmony vector $\vec{h} = (\vec{h_1}, \vec{h_2}, \dots, \vec{h_N})$ is better than the worst harmony in the HM, then the worst harmony is replaced by the new harmony.

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Convergence Check: The process of generating new harmony vector can be terminated when the number of iterations reaches the maximum number of iterations.

3. PROPOSED METHOD

The proposed HSO based design method (PM) for ODIM involves formulation of the problem, representation of harmonies through the chosen design variables and construction of a fitness function, FIT.

3.1 Problem Formulation

The ODIM problem involves large number of design variables. Many of these variables fortunately have a little influence either on the objective function or on the specified constraints. However, to ease the curse of high dimensionality, the following seven variables are identified as primary design variables (9/1).

$$X = [x_1, x_2, \dots, x_7] = \begin{bmatrix} \text{Core length to polepitch} \\ \text{Average valueof air gap flux density} \\ \text{Ampere conductor} \\ \text{Lengthof air gap} \\ \text{Stator current density} \\ \text{Rotor current density} \\ \text{Flux densityin the core} \end{bmatrix}^T (4)$$

The ODIM problem is formulated by defining an objective function through blending both the objectives with suitable weight values and a set of constraints as

Maximize
$$f(x) = w Eff + (1-w) T_{st}$$
 (5)

Subject to

$$g(x) \le 0 \Leftrightarrow \begin{cases} \text{maximum flux density of stator teth} \le 2 \\ \text{maximum flux density of rotor teeh} \le 2.0 \\ \text{slipat full load} \le 0.05 \\ \text{stator tenperature rise} \le 1.5 \\ \text{stator tenperature rise} \le 70 \\ \text{per unit no load current} \le 0.5 \\ \text{power factor} \ge 0.75 \end{cases}$$
(6)

$$x_i^{\min} \le x_i \le x_i^{\max} \qquad i = 1, 2, \cdots nd \tag{7}$$

Where

$$Eff = \frac{KW}{KW + P_t}$$
(8)

$$T_{st} = \left(\frac{I_{sc}}{I_r}\right)^2 * SFL \tag{9}$$

$$I_r = 0.85^* I_{ph}$$
(10)

$$I_{sc} = \frac{V_{ph}}{Z} \tag{11}$$

$$P_t = P_{nl} + P_{cus} + P_{cur}. \tag{12}$$

3.2 Representation of Design Variables

The harmony, h is represented to denote the chosen primary design variables, defined by Eq. (4), in vector form as:

$$h^{i} = [h_{1}^{i}, h_{2}^{i} \cdots, h_{7}^{i}] = [x_{1}, x_{2}, \cdots, x_{7}]$$
 (13)

3.3 Fitness Function

The algorithm searches for optimal solution by maximizing a fitness function FIT, which is formulated from the objective function of Eq. (5) and the penalty terms representing the limit violation of the explicit constraints of Eq. (6). The fitness function is written as

Maximize
$$FIT = \frac{f(x)}{1 + \lambda \sum_{i \in \eta} [g_i(x)]^2}$$
 (14)

3.4 Solution Process

The process of generating a new harmony from the HM, which is generated randomly through memory considerations, pitch adjustment and memory update, may be called an iteration. The iterations are continued till the number of iterations reaches the a specified maximum number of iterations. The algorithmic steps of the PM are summarized below:

- 1. Read the IM data
- 2. Choose HSO parameters such as HMS, HMCR, PAR, BW and Iter^{max}
- 3. Randomly generate initial *HM* consisting as many randomly generated solution vectors as the *HMS*
- 4. Perform the following for each harmony in the HM
 - Obtain the primary design variables from the harmony.
 - Compute the remaining secondary variables of the design problem.
 - Evaluate FIT using Eq.(14)
- 5. Rank the *HM* based on the fitness function *FIT* values
- 6. Set iteration counter t = 0
- 7. Increment the iteration counter t = t + 1
- 8. Evaluate PAR(t) and BW(t)
- 9. Improvise a new harmony vector x' based on the probability *HMCR*
- 10. Adjust the pitch of each element of the new harmony based on the probability PAR(t)
- 11. Perform the following for new harmony
 - Obtain the primary design variables from the new harmony.
 - Compute the remaining secondary variables of the design problem.
 - Evaluate *FIT* using Eq.(14)
- 12. Update the HM by the new harmony, if the new harmony is better than the worst harmony of the HM
- 13. Repeat steps 7-12 till the number of iterations, t, reaches the maximum number of iterations, *Iter*^{max}

- 14. The best harmony in the HM is the optimal solution.
- 15. Stop.

4. NUMERICAL RESULTS

The proposed HSO based method is used to obtain the optimal design of two IMs. The first motor under study is rated for 7.5 kW, 400 V, 4 pole, 50 Hz and the second one for 30 kW, 400 V, 6 pole, 50 Hz. The effectiveness of the PM is illustrated through comparing the performances with that of the GA based design approach. In this regard, the same set of primary design variables, fitness function and design equations, involved in the PM, are used to develop the GA based design approach. The software packages are developed in Matlab platform and executed in a 2.67 GHz Intel core-i5 personal computer. There is no guarantee that different executions of the developed design programs converge to the same design due to the stochastic nature of the GA and HSO and hence the algorithms are run 20 times for each IM and the best ones are presented.

Initially the designs are obtained by optimizing the individual objectives of efficiency and starting torque by setting the w values as 1 and 0 respectively, and presented in Table 1 for both the motors. It is clear from the table that the PM is able to obtain the better efficiency when w = 1 and better starting torque when w = 0 than that of the GA approach. However, it is to be noted that the other performance value of efficiency for the case with w = 0 and starting torque for the case with w = 1 are inferior, as the respective function is omitted in the optimization process.

Table 1 Comparison of Performances by Individual Objectives ARPN IJEST

W	Motor	Performance	GA [11]	PM
1	1	Eff	86.708	86.742
		T_{st}	0.857	0.755
1	1 2	Eff	90.497	90.574
		T_{st}	0.395	0.368
	1	Eff	81.124	79.868
0		T_{st}	10.063	10.354
0	2	Eff	84.139	84.340
		T_{st}	16.142	16.448

Table 2 Comparison of Results with multiple objectives for Motor-1

		GA	PM
Primary Design Variables x	<i>x</i> ₁	1.91436	1.44334
	<i>x</i> ₂	0.44522	0.48141
	<i>x</i> ₃	13311.74	12727.18
	x_4	0.45166	0.44537
	x_5	3.63006	3.95638
	<i>x</i> ₆	4.91137	4.72236
	<i>x</i> ₇	1.20089	1.20093
Constraints $g(x)$	$g_1 \leq 2$	1.708	1.707
	$g_2 \leq 2$	1.097	1.154
	$g_3 \leq 0.05$	0.027	0.027
	$g_4 \ge 1.5$	12.498	12.402

	$g_5 \leq 70$	33.778	37.180
	$g_{6} \le 0.5$	0.498	0.500
	$g_7 \ge 0.75$	0.871	0.870
Performances	Eff	84.559	84.608
	T_{st}	4.632	4.597

The optimal design with multiple objectives are presented in Table 2 and 3 for motor 1 and 2 respectively. The corresponding performances in terms of efficiency and starting torque are also presented in the respective tables of 2 and 3. It can be observed from these tables that GA and HSO offer a compromised solution that lies in between the respective best and worst objective function values obtained with individual objectives. The quality of the compromised solutions cannot be estimated as it depends on the weight values assigned to the individual objectives and the range of the each objective function values. It is known that another compromised solution can be obtained by simply changing the weight parameter of each objective.

The Tables 2 and 3 also include the values of the constraints of Eq. (6) along with their limits. It can also be observed from these tables that both the methods bring the constraints such as maximum flux density, slip at full load, starting to full load torque ratio, etc., of Eq. (6) to lie within the respective limit, as the constraints are added as penalty terms in the fitness function of Eq. (14).

Table 3 Comparison of Results with multiple objectives for Motor-2

		GA	PM
Primary	<i>x</i> ₁	1.25331	1.98324
	<i>x</i> ₂	0.39837	0.53788
	<i>x</i> ₃	11506.52	14699.59
Design	x_4	0.89624	0.27214
Variables x	<i>x</i> ₅	2.74812	2.91453
	<i>x</i> ₆	3.62740	4.60577
	<i>x</i> ₇	1.10138	1.10044
	$g_1 \leq 2$	1.354	1.901
	$g_2 \leq 2$	0.986	1.391
~ .	$g_3 \leq 0.05$	0.018	0.016
Constraints $g(x)$	$g_4 \ge 1.5$	13.289	14.178
	$g_5 \leq 70$	25.807	37.390
	$g_6 \leq 0.5$	0.498	0.489
	$g_7 \ge 0.75$	0.850	0.855
Performances	Eff	88.710	88.669
	T_{st}	4.710	5.295

5. CONCLUSIONS

HSO is a powerful population based heuristic algorithm for solving multimodal optimization problems. An elegant methodology involving HSO for solving ODIM problem has been outlined. It determines the optimal values for primary design variables. The results on two IMs clearly demonstrates the ability of the PM to produce the global best design parameters that maximizes the efficiency and starting torque as well of the IM. It has been exhibited that the new approach encourages the continued use of HSO and will go a long way in serving as a useful tool in design problems.

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