

Atomic Scale Simulation of Dislocation Loops Formation in Thin Foil under High Energy Electron Irradiation

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ABSTRACT

Using a personal computer, we have simulated the diffusion and agglomeration of point defects in thin foil under high energy electron irradiation. The physical model has been developed by using the Monte Carlo technique. Four types of reactions are assumed to take place: di-interstitial creation by agglomeration of two free interstitials, vacancy-interstitial annihilation, interstitial trapping by dislocation loops and interstitial annihilation on the sample surfaces. In the simulation only interstitials are mobile and extended defects are assumed to be interstitial type. We have calculated the concentration of point defects, extended defects and the size of the latter. We compared them to the results of the Chemical Reaction Rate Theory (CRRT). It has been found that the dislocation loops are distributed in the center of material leaving areas denuded close to the surface and the loops radius is also strongly dependent on the location of the defect in thin foil with respect to the results of experimental and CRRT. To explain the origin of these phenomena we have exploited the spatial distribution of vacancies close to free surfaces and around dislocation loops. These types of informations are totally missing in the CRRT and experimental.

General Terms

Atomic scale simulation of point defects diffusion

Keywords

Dislocation loops; Atomic Scale Simulation; Diffusion; thin foil; Electronic irradiation.

1. INTRODUCTION

Many experimental and theoretical studies on high energy electron irradiation have been carried out in order to study the growth behaviour of dislocation loop, using respectively the high energy electron microscopy tools [1-17] and the Chemical Reaction Rate Theory (CRRT) [1,4,7,10,18-20]. In the preceding papers [21-22] the present authors have specifically dealt with the atomic scale simulation of the diffusion and agglomeration of point defects under high energy irradiation by using transputer systems of up to 25 processors working in parallel. As a continuation of former

simulations by means of parallel computing, we have developed a sequential software on a personal computer [23-25]. The study has been limited to simulate an infinite lattice and the surface effect is not considered. But in the case of thin foil the surfaces act as effective sinks and it appears absolutely necessary to take their effect into account. In this paper we extended the sequential version to take the real effect of the surfaces into account. After a description of the kinetic rate theory in the section 2, we present the results of the atomic scale simulation that have been performed in simple cubic crystal with a basic cell containing up to $N=2^{24}$ atoms (2^8 atoms by side). The free boundary conditions are used to simulate thin foil. From the comparison between the theoretical and the simulated results, dislocation loop nucleation behaviour near the surface is made clear by evaluating the surface effect on loop nucleation. Further, the mechanisms which affect the dislocation distribution are examined.

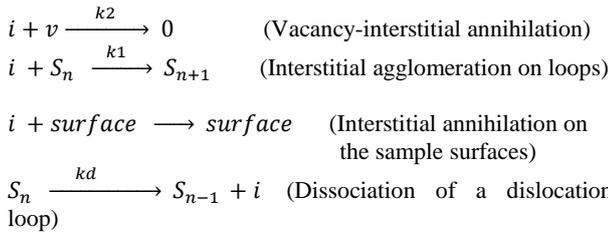
2. ANALYTICAL MODELLING

2.1. Chemical Reaction Rate Theory

The processes of creation of defects in a crystal under high energy electrons irradiation ($\geq 1\text{MeV}$) can be divided into the following stages: the creation of vacancy-interstitial pairs by the incident electron flux, the diffusion of point defects (only interstitials are mobile and the vacancy migration is neglected), the interaction between point defects leads either to the annihilation of interstitials on vacancies or the creation of extended defects and interstitial annihilation on the sample surfaces. Each of these events occurs in a probability depending on the temperature and the activation energy characteristic of the event considered. In this analytical modelling, point defects and extended are supposed to be homogeneously distributed in the crystal. The interaction mechanisms are represented as chemical reactions.

The basic reactions can be summarized as follows [1]:





Variation, versus time, of the defects concentration are thus a balance of creation and annihilation phenomena and the entire processes can be described by resolving the following set of different equations [1]:

$$\frac{dC_i}{dt} = G - 2k_1C_i^2 - k_2C_iC_v - k_1C_i \int_0^{+\infty} n(r,t) \frac{2\pi r}{b} dr - D \frac{\delta^2 C_i}{\delta z^2}$$

$$\frac{dC_v}{dt} = G - k_2C_iC_v$$

$$\frac{dC_b}{dt} = k_1C_i^2$$

$$\frac{dr}{dt} = \begin{cases} k_1C_ib - k_db & \text{if } r < r_0 \\ k_1C_ib & \text{if } r \geq r_0 \end{cases}$$

Where the symbols i , v , and S_n stand respectively interstitials, vacancies and dislocation loops containing (n) interstitials. C_i , C_v , and C_b are respectively, interstitials, vacancies and dislocation loops concentration, b is the inter-atomic distance. The rate of point defects creation G is related to the incident flux by $G = \sigma \cdot \Phi$. Where σ is the displacement cross section and Φ the electron flux density. Each of these reactions is characterized by a reaction constants K_i expressed by $K_i = \gamma \cdot \exp(-E_i/kT)$ where E_i is the activation of energy of the corresponding mechanism, k is the Boltzmann constant, T is the temperature ($^{\circ}\text{K}$) and γ is the atomic vibration frequency.

$D = b^2 \cdot \gamma \cdot \exp(-E_m/kT)$, where E_m is the migration energy and boundary conditions are: $C_i(z=0)=0$ and $C_i(z=h)=0$ for all t and h is the foil thickness. Due to the symmetry of the system we only considered half of the foil and assume that $C(z)=C(h-z)$.

2.2. Study of Dislocation Loops Formation Kinetics by CRRT

The results obtained using the CRRRT on CdTe electron have been described in detail in previous papers [1,2] and only the most significant one will be mentioned. The processes of loop nucleation can be divided into two distinct stages (figure 1). At the very beginning only interstitials and vacancies are created and very few dislocation loops are generated. The interstitial density increases very rapidly. When the irradiation time reaches:

$$\frac{1}{\sqrt{GK_1}} \text{ (Generally about } 10^{-4}\text{s)}$$

The dislocation loops begin to nucleate. Then the dominant mechanism becomes the incorporation of interstitials into dislocation loops and the interstitial density decreases slowly. When the interstitial density reaches:

$$\frac{K_d}{K_1}$$

The agglomeration probability becomes weaker than the small cluster dissociation probability and no more loops are created.

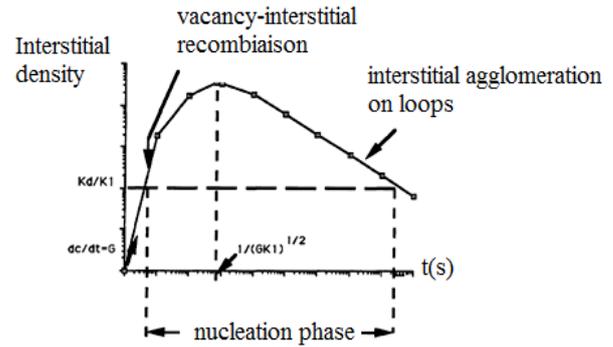


Fig 1: Interstitial density variation versus time [21]

3. ATOMIC SCALE SIMULATION

3.1. Overview

Unlike the analytical modelling, the atomic scale simulation model is based on an integral three-dimensional treatment of the diffusion of point defects accelerated under irradiation. It treats the defects (point or extended) individually and is able to determine the respective roles of vacancies and interstitials in the dynamic of formation of dislocation loops. The interstitials move in the lattice and during their migration, they react either between themselves, with dislocation loops or with the sample surfaces. These reactions take place in a defined position and affect not the global situation as in the case with the Chemical Kinetics, but the local one. The reactions taken into consideration are the vacancy-interstitial recombination, interstitial-interstitial association to form a nucleus for a new extended defect which is assumed to be interstitial type, annihilation on the sample surfaces and the incorporation of interstitials into already existing dislocation loops leading to their growth. The atomic simulator functions as a result of sequential treatment of the following physical phenomena:

- (i) Creation of Frenkel pairs (vacancies-interstitials)
- (ii) Diffusion of interstitials in the lattice.
- (iii) Reaction between defects (Association, Recombination, Growth of dislocation loops and annihilation on the sample surfaces).

The simulator [23-25] is divided into three modules which are executed sequentially:

- The first is devoted to the introduction of physical parameters like the total volume of lattice ($N=2^{24}$ atoms, 2^8 atoms by side), the rate of point defects creation (G) and the irradiation time t_{final} .

- The second module is the heart of the simulator where the proper treatment of the physical phenomena happens. It allows the creation of Frenkel pairs (vacancy-interstitial), the migration of interstitial as well as interactions between defects (interstitials, vacancies and dislocation loops). The diffusion, which is a course of elementary jumps, is the basic process of the simulator. It defines the rate of time, and consequently, fixes the physical time, especially the interval T_l between two point defect creations. This interval is determined from the experimental generation rate by using the relation $G = \frac{1}{NT_l}$. It is equal to the number of elementary jumps, which must be carried out by the interstitials before the creation of a new vacancy-interstitial pair. The times at which the defects are created are $t = n \cdot T_l$ ($n=1,2,3,\dots$). After the interstitials diffusion, the coordinates of the interstitials are calculated and

the minimum distance d_{min} between various defects is calculated. If this distance is inferior to the action radius of various reactions, the reaction takes place and the point defects coordinates are erased from memory. It has been assumed that the action radius of various reactions is equal to one inter-atomic distance. The use of this number d_{min} in simulation avoids the examination of the possibilities of reaction after each jump.

- The last module is the output module; it provides results in the form of concentration in interstitials, vacancies and dislocation loops, and the mean radius of the latter depending on the irradiation time.

Unlike the chemical kinetics, the coordinates of the point defects and extended, as well as the radius of each dislocation loop, are available during and after irradiation, which allows us to study the space distribution of defects in lattice and to calculate the defects concentrations. Additional details of the simulator used have been presented in Amghar and al [23,24].

The whole architecture of the simulator is the following:

Introduction of physical parameters

$t=0$

While $t < t_{final}$

IF $t = kT_1$

Then generation of vacancy-interstitial pair.

Else diffusion **Until** $t = t + d_{min}$

$t = t + d_{min}$

- Annihilation on the sample surfaces algorithm
 - Recombination algorithm
 - Association algorithm
 - Growth algorithm
- Calculation of the next event

3.2. Simulation Results

3.2.1. Dynamic of Defects Formation

In order to study the influence of the effect of surfaces on diffusion phenomena and formation of dislocation loops during irradiation, we performed many simulations with different parameters (generation time (T_1), random series...) in two cases: thin foil and infinite material. The results of interstitials concentration (C_i), vacancies concentration (C_v) and dislocation loops concentration (C_b) and their average radius R have been studied and compared with the results of the chemical reaction rate theory (CRRT) in reduced units [19-25]. In the reduced system, the concentrations are given as $C\sqrt{3K/G}$; the time is replaced by a variable without units $\theta = t\sqrt{3KG}$ and the radius by $\frac{r}{b}$ where G is the generation rate per atom of vacancy–interstitial pairs, K is the temperature dependent hopping rate and b the nearest neighbor distance. The advantage of the reduced system is to lead to independent results of experimental conditions (flux and temperature) and to deal with dimensionless quantities.

The data corresponding to simulations of figure 2 are reported in table 1.

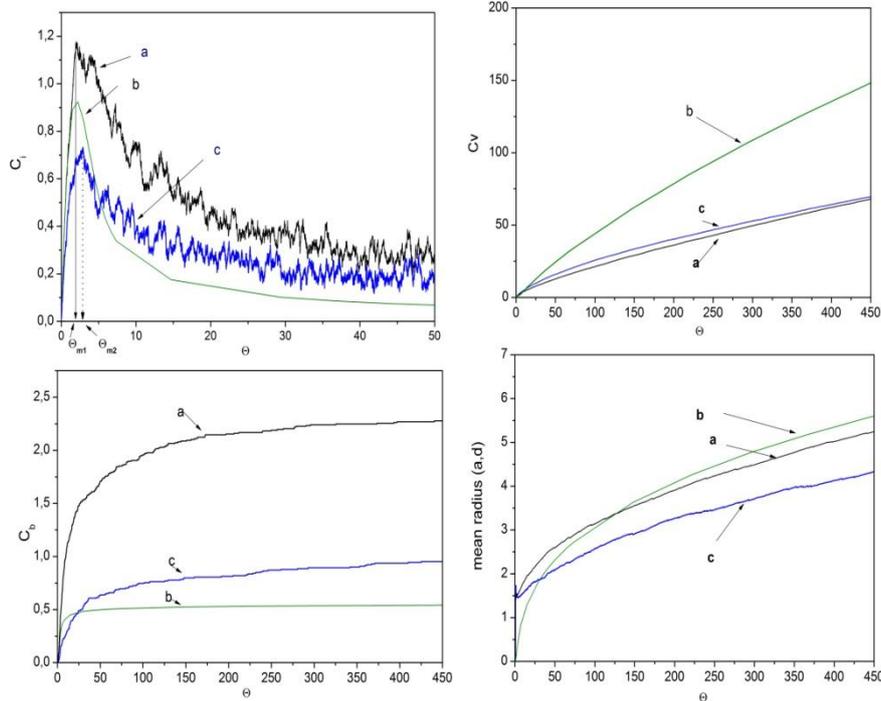


Fig 2: Interstitial concentration C_i , vacancies concentration C_v , dislocation loops concentration C_b and average radius R versus Θ : the chemical reaction rate theory (curves b), atomic scale simulation (a: infinite material, c: thin foil), $N=2^{24}$ and $T_1=100$

The two zones identified in the evolution curve of interstitials C_i given by the Chemical Reaction Rate Theory (figure1) and Atomic Scale Simulation relative to an infinite lattice which have been the subject of previous works [1,18,21-25] are clearly visible in the simulation curves of a thin foil. The two identified zones are:

- (i) **The First Zone** $\Theta \leq \Theta_{mi}$ ($i=1$ for the infinite material, $i=2$ for the thin foil):

At the beginning of the irradiation, the interstitials number increases rapidly, corresponding to the regime of creation of Frenkel pairs. The initial slope of the curves exhibit the same general feature for both cases: thin foil and infinite material. Due to the strong annihilation of interstitials on the free

surfaces and vacancies, the interstitials number is lower in the case of thin foil (table1). The interstitials concentration reaches its maximum at the end of this zone ($\Theta_{m1}= 1,999$ for infinite material and $\Theta_{m2}=2,863$ for thin foil, figure.2). During this interval:

$\Theta \leq \Theta_{m1}$ (infinite material): 23,81% of the created interstitials are recombined with vacancies and 14,56% are used to form new loops while only 2,56% was used for the growth of dislocation loops.

$\Theta \leq \Theta_{m2}$ (thin foil): Interstitial annihilation on the free surfaces becomes the dominant mechanism (41,94%) followed by the recombination on the vacancies (27,37%) which causes a decrease in the concentration of interstitials and consequently those of dislocation loops (Only 9 loops against 40 loops in the infinite material). During this phase, where the number of interstitials is maximum and in the presence of the low number of vacancies, the majority of free interstitials are trapped on free surfaces (41,94% at $\Theta=\Theta_{m2}$). When the number of vacancies in the area close to surface increases, the recombination probability becomes important and therefore the effect of free surfaces, which reaches its maximum at Θ_{m3} (figure 3) decreases over the time.

(ii) **The Second Zone** $\Theta > \Theta_{mi}$ (i=1 for the infinite material, i=2 for the thin foil):

The beginning of this zone is marked by an increase in dislocation loops formed in both materials. This decreases considerably the concentration of interstitials.

$\Theta > \Theta_{m1}$ (infinite material): Due to the number of interstitial which reaches its maximum, the association rate increases considerably and reaches 23,43%. This rate decreases with the time and reaches 0,11% at $\Theta= 4391,60$. The growth rate is

activated at the same time and passes from 6.42% to 10,37% what proves that the development of the dislocation loops is done into two phases: the association phase where the number of the nucleus increases followed by the growth phase where only the size of the dislocation loops increases. The recombination reaction is the most dominant reaction (89,52% at $\Theta = 4391,60$).

$\Theta > \Theta_{m2}$ (thin foil): the association reaction is weak in comparison with infinite material (7,07%). After passing through its maximum at $\Theta_{m3}=4, 56$ (figure 3), the effect of free surfaces begins to decrease due to the high number of vacancies in the material. This furthers the recombination reaction which starts to dominate during the rest of the irradiation (93,51%). Due to the annihilation of interstitial on the free surfaces and vacancies, the rate of growth reaction remains very weak compared to the other reactions which justifies the decrease of the average radius of the dislocation loops

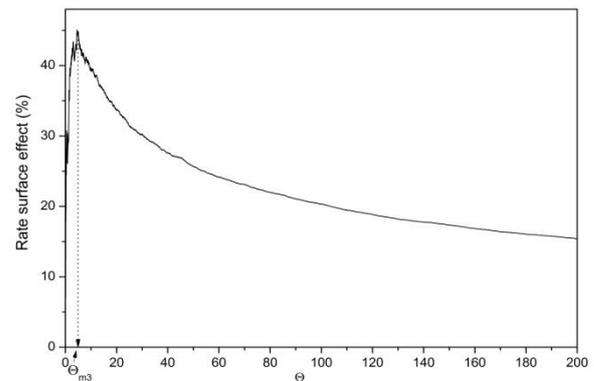


Fig 3: The evolution of the effect of surfaces versus Θ

Table 1. The data of the figure 1 obtained for $N=2^{24}$ atoms and $T_I=100$.

Θ	N_x	N_v		N_i		N_b		R (a,d)		Effect of free surfaces (%)		Recombination (%)		Association (%)		Growth (%)	
		Th.F	In.M	Th.F	In.M	Th.F	In.M	Th.F	In.M	Th.F	In.M	Th.F	In.M	Th.F	In.M	Th.F	In.M
0,01	2	2	2	2	2	0	0	0	0	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00
0,23	32	29	31	22	31	0	0	0	0	21,88	9,38	3,13	0,00	0,00	0,00	0,00	0,00
0,44	60	54	57	38	57	0	0	0	0	26,67	10,00	5,00	0,00	0,00	0,00	0,00	0,00
0,88	120	98	102	63	96	1	3	1,414	1,414	27,50	18,33	15,00	1,67	5,00	0,00	0,00	0,00
1,54	210	163	161	82	134	3	12	1,52	1,494	35,24	22,38	23,33	2,86	11,43	0,48	1,43	0,48
$\Theta_{m1}=1,99$	273	209	208	94	161	4	20	1,494	1,519	38,83	23,44	23,81	2,93	14,65	0,37	2,56	0,37
$\Theta_{m2}=2,86$	391	284	244	100	147	9	40	1,485	1,542	41,94	27,37	37,60	4,60	20,46	0,51	4,35	0,51
4,56	623	394	330	66	144	22	73	1,47	1,58	44,94	36,76	47,03	7,07	23,43	0,64	6,42	0,64
10,99	1500	738	595	51	79	40	149	1,57	1,814	39,07	50,80	60,33	5,33	19,87	1,40	14,53	1,40
34,13	4660	1675	1305	24	42	78	212	1,893	2,354	29,16	64,06	72,00	3,35	9,10	2,92	18,00	2,92
508,01	69360	10287	10235	6	10	132	314	4,55	5,477	10,45	85,17	85,24	0,38	0,91	3,99	13,84	3,99
732,42	100000	13272	13572	8	3	137	323	5,31	6,223	8,97	86,73	86,43	0,27	0,65	4,02	12,92	4,02
1464,84	200000	20490	23951	5	5	145	331	6,685	8,179	6,63	89,76	88,02	0,15	0,33	3,46	11,64	3,46
4391,60	599600	38941	62835	1	1	163	340	8,767	13,07	4,10	93,51	89,52	0,05	0,11	2,34	10,37	2,34

N_x : The number of the interstitials created in the material until Θ .
 N_v : The number of the vacancies existing in the material at Θ
 N_i : The number of the interstitials existing in the material at Θ
 N_b :The Number of the dislocation loops in the material

Th.F: Thin foil
 Inf.M: Infinite material

3.2.2. Spatial Distribution of Dislocation Loops

To study the effect of the free surfaces on the distribution of dislocation loops in the thin foil, we carried out many simulations with various values of T_1 . Figures 4 and 5 show the spatial distribution of dislocation loops for infinite material and thin foil respectively.

It appears clear from the figures 4 and 6a that the distribution of dislocation loops is homogeneous in an infinite material. However, in the thin foil (figures 5 and 6b) the dislocation loops are distributed in the center of material leaving areas denuded close to the free surfaces. When T_1 increases the areas denuded increases and no more loops are created in foil (table 2). This is due to the fact that for high values of T_1 , the diffusion occurs with long distance and therefore furthers the annihilation of interstitial on the free surfaces. This effect explains why dislocation loops are likely to nucleate from a certain thickness of the thin foil what is in agreement with the experimental results on CdTe [1-3]. Unlike the loops created in the infinite material which are characterized by uniform radius (figure 7a), we observe in figure 7b that the free surfaces generate a radius gradient of dislocation loops in the depth of the thin foil. Indeed, the loops radius is strongly dependent on the location of the defect. The Loops created near the free surfaces are characterized by smaller radius compared to those located in the center of the thin foil. This information was also revealed by the experience [4-6] and Chemical Kinetics [4,12,18] but without being able to explain the origin.

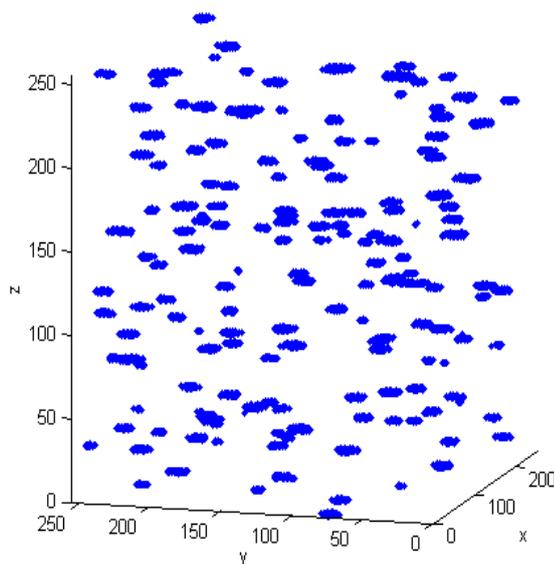


Fig 4: Distribution of dislocation loops infinite material ($T_1=400$; $N=2^{24}$ atoms)

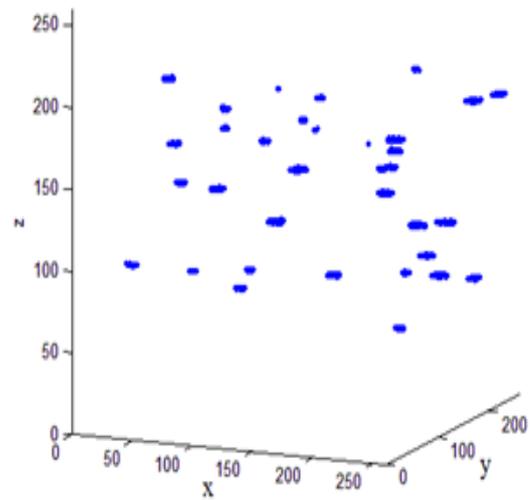


Fig 5: Distribution of dislocation loops in thin foil ($T_1=400; N=2^{24}$ atoms)

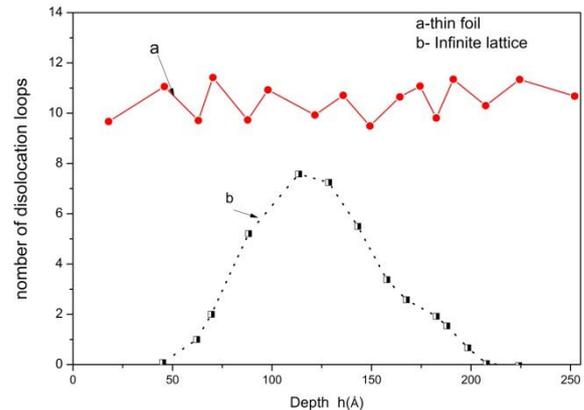


Fig 6: calculated loops density versus their location in the foil $T_1=400$ and $N=2^{24}$ atoms

Table 2. Simulation results obtained for various values of T_1 in two types of material: thin foil and infinite material

T_1 : Generation time	Infinite Material		Thin Foil	
	Number of loops	Mean radius (a. d)	Number of loops	Mean radius (a. d)
200	241	5,857	88	4,336
1000	102	9,087	27	5,565
5000	44	14,026	4	7,915
10000	28	17,654	0	0
20000	15	24,150	0	0

3.2.3. Spatial Distribution of Vacancies

To understand the origin and the mechanisms governing the distribution of dislocation loops in the center of a thin foil and the gradient of radius observed in figure 6b and 7b respectively, we exploited the spatial distribution of vacancies in the irradiated material. Figures 8 and 9 show the repartition of vacancies in thin foil and infinite material respectively. Unlike the infinite material, the repartition of vacancies in thin foil is not homogeneous. Figure 10 shows this effect by presenting the vacancy concentration profile versus depth into

both cases (thin foil and infinite material). The concentration of vacancies in thin foil is high close to free surfaces (figure 10b) but in the infinite material (figure 10a) the concentration is regular over the volume of the material. Indeed, the free surfaces act like very attractive of the interstitial traps. The interstitials created near the free surfaces annihilate on these latter leaving the vacancies behind them near the edges of the foil. These vacancies, in large numbers, will prevent any possible germination in these areas and the migration of the interstitials to the surfaces is greatly reduced. This explains well the distribution of loops inside the foil (figure 5) and the origin of the gradient of radius observed in figure 7.

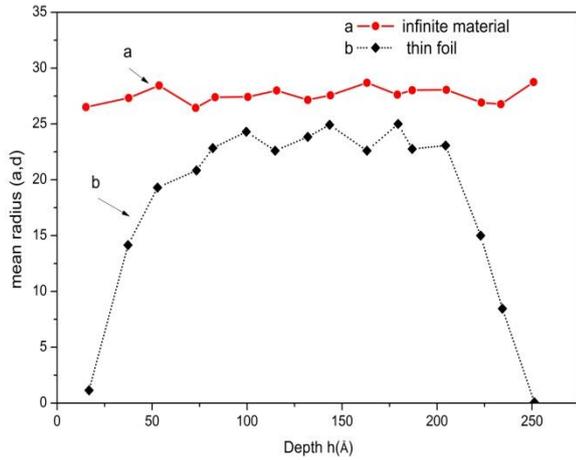


Fig 7: calculated mean radius of dislocation loops versus their location in the foil

When the time of irradiation is extended (figure 11), the distribution of vacancies changes gradually and their density becomes maximum at the edges of the foil which prevents the migration of the interstitials to the surfaces. That explains the decreases of the effect of the free surfaces observed in figure 3. We also observed the presence of vacancy cloud around the dislocation loops which inhibits the further growth rate of loops as observed in the infinite material [23-25].

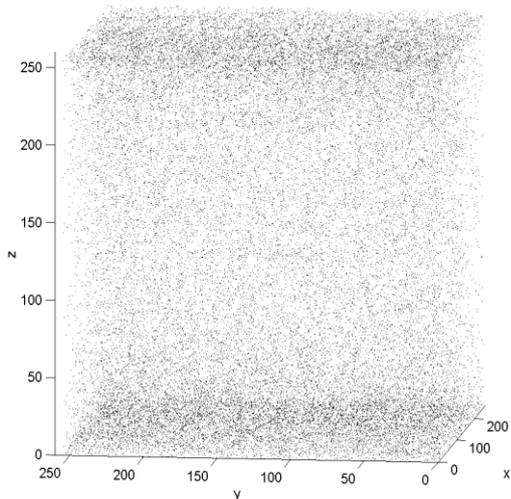


Fig 8: Distribution of vacancies in thin after 3.10^5 vacancy-interstitial pair generations ($T_1=3500$ and $N=2^{24}$)

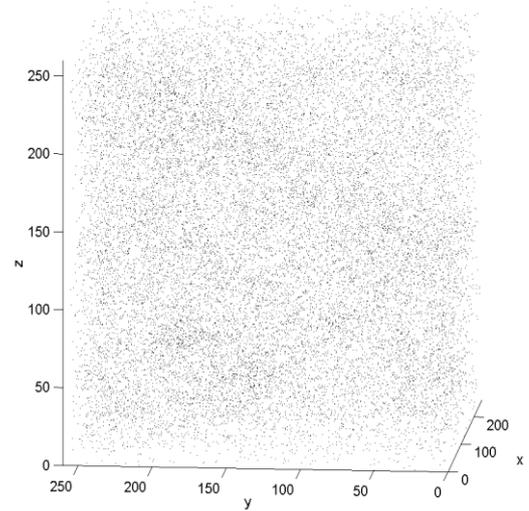


Fig 9: Distribution of vacancies in infinite material after 3.10^5 vacancy-interstitial pair generations ($T_1=3500$ and $N=2^{24}$)

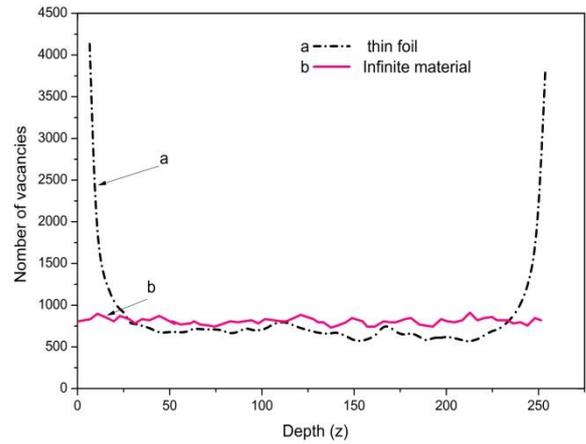


Fig 10: calculated vacancies density versus their location in the foils ($T_1=400$ and $N=2^{24}$ atoms).

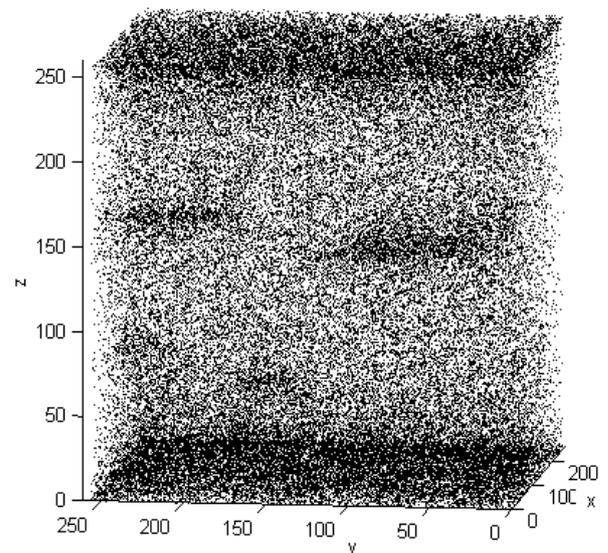


Fig 11: Distribution of vacancies in thin foil after 3.10^6 vacancy-interstitial pair generations ($T_1=3500$ and $N=2^{24}$)

4. CONCLUSION

We have extended our sequential version [23-25] of the atomic scale simulation to take into account the effect of surfaces. The exploitation of the spatial distribution of vacancies allowed us to explain the origin and the mechanisms governing the distribution of dislocation loops over to the volume of thin foil. It is found that the effect of free surfaces generates a modification of the spatial repartition of vacancies in the thin foil. The repartition of vacancies changes gradually during the irradiation and their density becomes high close to free surfaces. This mechanism causes the formation of a layer of vacancies close to free surfaces what prevents the germination of extended defects in these areas in agreement with the experimental results on thin foil CdTe [1-3]. We have found also that the loops radius is strongly dependent on the location of the defect in the foil. The loops formed near the surfaces are their growth diminished compared to those created in the depth of the material. As it is observed in the case of infinite material [23-25], when the time exposure is prolonged, the extended defects are surrounded by a vacancy cloud which reduces their further growth rate.

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