Performance Evaluation of Spatial Domain Contrast Enhancement Techniques for Underwater Images

Shivendra Singh
Department of Electronics & Communication
SISTEC Bhopal India

Manish Soni, Arun Patel
Department of Electronics & Communication
SISTEC Bhopal India

Ravi Shankar Mishra
Department of Electronics & Communication
SISTEC Bhopal India

ABSTRACT
Image enhancement methods are widely used for improving the feature and quality of the images. Researchers have designed various contrast enhancement methods in the past. But since underwater image contain low contrast and resolution due to poor visibility conditions and refractions of light rays. Thus analysis and identification of the underwater object is a challenging and difficult task. In this paper presents a comparative analysis of various contrast enhancement techniques for such underwater images. Performance of contrast limited adaptive histogram equalization (CLAHE) method is compared with contrast stretching, global histogram equalization (HE) Brightness preserving Bi-histogram equalization (BBHE) and finally Dual Sub-Image histogram equalization (DSIHE) methods. Different colour underwater images are enhanced in the RGB colour space. The performance is evaluated based on discrete entropy, signal to noise ratio (SNR) and Standard deviation difference and the mean brightness. Performance of methods is evaluated under various type of underwater image environment.

General Terms
Image processing, Image enhancement, Underwater Images

Keywords
Contrast enhancement, Local contrast stretching, histogram equalization, Bi-Histogram Equalization, Contrast limited Adaptive Histogram Equalization, Discrete Entropy

1. INTRODUCTION
In the last two decade, analyses of underwater images have received greater attention for sea spieces identification, coral reefs, monitoring the underwater mountains and plants. Underwater image enhancement techniques provide a way to improving the object identification in underwater environment. There is lot of research started for the improvement of image quality, but limited work has been done in the area of underwater images; because the underwater image suffers from the many problems such as, absorption, reflection, and bending scattering of light as sown in Figure 1.

Due to these effects the quality of the underwater images are degraded. The most common method of enhancing the image quality is to enhance its contrast. The image contrast is basically defined as the perceived difference in colours which are in the close proximity to each other. The researchers have designed various techniques for enhancing the images Viz. local contrast stretching (CS), global histogram equalization (HE), and contrast limited adaptive histogram equalization (CLAHE), Bi-histogram equalization, scaling the DCT or DWT coefficients.

In this paper spatial domain enhancement techniques are compared for underwater colour images. The performance is compared on the basis of entropy and SNR as parameter.

The rest of the paper is organized as follows: Next section describes the review of various type of image enhancement method and algorithms. Section III describe the basic local contrast stretching techniques,. The section IV details with standard histogram equalization methods. CLAHE method is explained in section V. In section VI comparative result are presented. Finally section VII describes conclusion and future work.

2. LITERATURE REVIEW
Most methods for contrast enhancement can be placed into one of two main categories, intensity based or spatial domain methods and compressed domain methods. Many methods have been proposed for enhancing the quality of an image such as local contrast stretching [3, and 15], histogram equalization [1, 4 and 15], contrast limited adaptive histogram equalization (CLAHE) [5] and Bi histogram equalization (BHE) [6] are the spatial domain, enhancement methods. The methods using DWT [2, 18] and DCT [16] are most widely used compressed domain methods which emphasize on different properties or components of an image. In this paper our main concern is on the spatial domain methods.

Various methods are proposed in literature using the image histogram Buzuloiu et al. [3] proposed an adaptive neighbourhood histogram equalization method, and Trahanias et al. [9] proposed a 3D histogram equalization method in RGB cube. Thomas et al. [7] proposed an enhancement method by considering the correlation between the luminance and saturation components of the image locally. Kashif Iqbal and their team [12], also investigated contrast stretching method in their research dissertation “underwater image enhancement using integrated colour model” in 2007.
The above mentioned methods are useful for colour image enhancement. However, colour shifting and brightness change is major problem. Colour shifting means, that one colour is changed to another. This is because these methods increase the brightness values of the regions.

Y. T. Kim [6] has proposed an improved method of brightness preserving contrast enhancement using Bi-histogram equalization technique. This method overcomes the limitations of the conventional histogram equalization methods and preserves the mean brightness. But the performance of this method is inconsistent for the different underwater images. Manpreet Kaur et al. [11] have presented a survey of various histogram based contrast enhancement methods. They have concluded that HE, BBHE, and DSHE methods produce variation in gray levels distribution. John et al. [10] have presented a novel method of underwater image enhancement using wavelength compensation and dehazing. Method deals effectively with scattering and colour change simultaneously.

Elaa D. Pisano et al [5] have suggested using contrast limited adaptive histogram equalization (CLAHE) method for improving the image quality. Later on various researchers used CLAHE as tool for pre processing task as [5, 8 and 9]. Rafael Garcia et al., studied different local histogram equalization methods and its variations. They have also discussed CLAHE enhancement method in their research in order to solve lighting problems in underwater imaging. Antonis Daskalakis [8] et al., suggested under their research an efficient spot-adaptive CLAHE based image segmentation technique for improvment of microarray genes quantification. They found that this technique improved the display of spots and emphasized on the depiction of spots. Hitam et al. [9] have presented a combination of the CHAHE in RGB and HSV colour spaces for underwater images.

Among all spatial domain methods CHAHE is less affected by colour and brightness shifting problem thus widely used.

3. CONTRAST STRETCHING

In the local stretching method contrast is stretched between the upper and lower threshold limit [3]. It is an intensity based contrast enhancement method defined as \( I(0, y) = T(I(x, y)) \), where \( I(x, y) \) is the original image the output image is \( I(0, y) \) after contrast enhancement, and \( T \) is the transformation function. The transformation function \( T(r) \) is given as

\[
S = \begin{cases} 
1/r & 0 < r < a \\
1/m(r - a) + v & a < r < b \\
1/n(r - b) + w & b < r < 1 
\end{cases}
\]

(1) For the colour images the contrast is adjusted separately in RGB colour space.

3.1 RGB Colour Space

Colors displayed on a computer monitor are usually defined in the tri colors as red, green and blue, space is called as RGB colour space. This is another technique of making approximately same colors as CMYK. The RGB colour space can be generated by limited reproduction medium, Viz. phosphor used in CRT) display or filters and backlight are used in LCD screens. The RGB colour model is basically approximated as cube and red, green and blue are considered as the X, Y and Z axes as shown in the Figure 1.

![Figure 2 Representation of the RGB colour space as a cubic model](image)

The comparison of these two colour spaces are given in Figure 2. RGB colour space is widely used in compression techniques. In RGB format every image contains three 2D images each corresponds to the Red, Green, and Blue colour spaces.

4. HISTOGRAM EQUALIZATION

Histogram equalization (HE) is one of the widely used methods for improving the contrast of the given images [15]. The enhanced images have a uniform distribution of the gray levels. The current section deals with the various histogram based contrast enhancement methods sequentially.

3.1 Global Histogram Equalization

The HE method flattens and stretches dynamic range of the image histogram thus results in overall contrast improvement [4]. Cumulative density function (CDF) is efficiently used to generate the flat histogram [15]. The CDF function is obtained by simply summing up the Probability density functions (PDF) and is given as;

\[
S = T(r) = \int P(r)dr; \quad 0 \leq r \leq 1
\]

(2) Now differentiating the equation 2 gives;

\[
\frac{ds}{dr} = P(r)
\]

(3) Thus finding the CDF will flatten the histogram. In this paper histogram equalization is implemented individually for all three RGB colour spaces. Then equalized RGB components are merged to get the coloured equalized results. But HE method may significantly change the brightness of an input image and cause problem in some applications where brightness preservation is necessary

3.2 Brightness preserving Bi Histogram Equalization

This method is an improvement over the conventional histogram equalization method [HE]. The Brightness preserving Bi Histogram Equalization (BBHE) method was proposed by Y.T. Kim [6], this method sub divides the input image into two images as \( I_L \) and \( I_H \) based on the mean brightness as threshold.

Let \( M \) is the mean brightness of the input image, \( I \). by setting mean brightness as threshold the input image is divided to two sub images and represented as;

\[
I = I_L \cup I_H
\]

(4) Where, \( I_L = \{I(x, y) | I(x, y) \leq M \cdot I(X, Y) \in I \} \)

(5)
and \( I_H = \{ (x, y) | I(x, y) > M, I(X, Y) \in I \} \) \hspace{1cm} (6)

Then, the probability density function is individually calculated for the \( I_L \) and \( I_R \) images as;

\[
P_L(I_k) = \frac{n^L_k}{n^L} \quad \text{Where, } k = 0, 1, \ldots, m
\]
\[
P_H(I_k) = \frac{n^H_k}{n^H} \quad \text{Where, } k = m+1, M+2, \ldots, N
\]
\hspace{1cm} (7)

Where, \( n^L_k \) and \( n^H_k \) are the \( k^{th} \) gray levels in both sub images \( I_L \) and \( I_R \), respectively. \( n_L \) and \( n_R \) are the total number of gray levels in \( I_L \) and \( I_R \) sub images. \( N \) is the length of the gray levels in the images and is given as \( N = n_L + n_R \). Then cumulative density function \( CDF_L \) and \( CDF_H \) are calculated using equation (2) defined as:

\[
CDF_L = \sum_{k=1}^{m} P_L(I_k), \quad \text{and} \quad CDF_H = \sum_{k=m+1}^{N} P_H(I_k)
\]
\hspace{1cm} (8)

Then each sub image is equalized independently.

### 3.3 Dual Sub-Image Histogram Equalization

This method of Dual Sub-Image histogram equalization (DSIHE) [14], is similar to the BBHE method but instead of mean the median threshold is used to divide the image into sub images. The median threshold is defined as the

\[
M = \text{median}(g(r))
\]
\hspace{1cm} (9)

Where, \( M_g \) is the median threshold and \( g(r) \) is the gray levels. The method is based on the maximization of the Shannon’s entropy of the gray levels in the input image. The method basically uses the entropy values for the histogram separation. The brightness of the image enhanced by the DSIHE method is given as the average of the sub equal area level of the input image \( I \) and the middle value of the gray levels in the image, i.e., \( N/2 \).

By using the equations (9) the transfer functions for the histogram equalization are defined as;

\[
f_L(I_k) = I_0 + (I_m - I_0)CDF_L
\]
\hspace{1cm} (10)

and

\[
f_H(I_k) = I_{m+1} + (I_N - I_{m+1})CDF_H
\]
\hspace{1cm} (11)

the equalized image is the union of the both transformed gray levels and is given by;

\[
Y = f_L(I_k) \cup f_H(I_k)
\]
\hspace{1cm} (12)

This method preserves the brightness much better than the BBHE method. Sandeep Kumar et al.[17] have shown that the equalized image with DSIHE method contains the noise. All these methods enhance the contrast of the image, but also may cause serious change in the gray level distribution.

### 5. CONTRAST LIMITED ADAPTIVE HISTOGRAM EQUALIZATION

Finally in this paper, a novel CLAHE enhancement method is proposed which yields the optimal equalization and also limit the contrast range of the image. The suggested method is very useful for enhancing the video and image in the underwater environment. CLAHE was initially designed for medical imaging and has proven to be successful in enhancing the low-contrast images such as portal films. The CLAHE algorithm partitions the images into contextual regions and applies the histogram equalization to each one. This even out the distribution of used gray values and thus improves the visibility of the hidden features of the image. The images are equalized in RGB colour spaces individually. Thus the method improves the efficiency of the conventional method.

Contrast Limited Adaptive Histogram Equalization (CLAHE) method is basicale an improved form of Adaptive Histogram Equalization (AHE). Method overcomes the limitations of standard histogram equalization. A variety of adaptive contrast limited histogram equalization techniques (CLAHE) are provided. Noise can be reduced while maintaining the high spatial frequency content of the image by applying a combination of CLAHE, median filtration and edge sharpening. A variation of the contrast limited technique called adaptive histogram clip (AHC) can also be applied. AHC automatically adjusts clipping level and moderates over enhancement of background regions of portal images.

Method sub divides an image in to small regions called tiles, instead of entire image. Each tile’s contrast is enhanced, so that the histogram of the output region approximately matches the histogram specified by the distribution types. The step wise algorithm is explained as follows;

1. Read the colour underwater images and then convert the image into RGB colour space of image size 240 X 320.
2. Separate the Red, Green, and Blue colour space and save to different variables.
3. Divide the images into small regions called Tiles.
4. Calculate the modified gray levels using the expression of modified gray levels in each tiles, separately. For standard CLAHE method with Uniform Distribution can be given as

\[
g = [ g_{\text{max}} - g_{\text{min}} ] \cdot P(f) + g_{\text{min}}
\]
\hspace{1cm} (13)

Where

\[
g_{\text{max}} = \text{Maximum gray level value}
\]
\[
g_{\text{min}} = \text{Minimum gray level value}
\]
\[
g = \text{computed pixel value}
\]
\[
P(f) = \text{CPD (Cumulative probability distribution)}
\]

For exponential distribution gray level can be adapted as

\[
g = g_{\text{min}} - \left( \frac{g}{\alpha} \right) \cdot \ln[1 - P(f)]
\]
\hspace{1cm} (14)

Where \( \alpha \) is defined as clipping parameter. In this paper the uniform distribution is used since it is needed for applications like segmentation. Using the difference \( g_{\text{max}} - g_{\text{min}} \) improves the image contrast. Then \( g_{\text{min}} \) is adaptively appended to cumulative distribution.

5. Plot the enhanced image and Histogram


Thus the CLAHE method not only stretches the contrast range but also optimizes the entropy of the image. Thus is widely used for the high level applications such as segmentation and object detection.

### 6. EXPERIMENTAL RESULTS

In this section, some experimental results of performance comparison for various contrast based enhancement method are presented.

#### 6.1 Input Images Used

The input underwater images used for simulation are taken from different underwater environment as shown in Fig. 3. All images suffer from the poor contrast due to underwater environment and containing the different kind of objects. For analyzing all the images are resized to 240 x 320. The Se image is taken at Hawaii ocean floor, the Marine image is taken from the sub-marine camera at the See floor. Babble_vision_2 image is a image from the film on Youtube by boble vision, and the forth image is captured at the Redang-Island.
6.2 Results of Image Enhancement

In this paper the result of the four different enhancement methods based on the histogram are compared. These methods include, HE, BBHE, DSIHE, and CLAHE. In the Figure 4 results of the various enhancement methods for all input images are given. It is observed that HE method suffer from the colour shifting problem. The DSIHE method preserves the brightness better then the BBHE method. For CLAHE method image is divided into tiles of size is 8x8, and clip limit is taken 0.05 and distribution is uniform CLAHE.

Figure 3 Original input images used in the paper

Figure 4 Result comparisons of the various enhancement methods a) Column one is original images b) Column two is HE images c) Column three BBHE images d) Column four DSIHE images e) Column five shows CLAHE images
Method performs consistently for all images it not only enhances the contrast but also equalizes the histogram better. This is clear from the Fig. 5 which presents the histogram comparison.

6.3 Quantitative Performance Evaluation

In this paper four performance evaluation parameters are used which are defined as follows;

6.3.1. Mean Brightness (MB)

The mean brightness of all the enhanced images are computed and compared to the mean brightness of the original image. The mean brightness is mathematically defined as;

\[
MB = \frac{1}{mn} \sum_{i=0}^{m-1} \sum_{j=0}^{n-1} I(i,j)
\]

(16)

Where, \( I(i,j) \) is the brightness value of the each pixel and \( C = No. \ of \ row \times No. \ of \ columns = m \times n \).

6.3.2. Signal to Noise Ratio (SNR)

The ratio between the maximum possible power of a signal and the power of corrupting noise is defined as SNR. It is basically used as the measure of fidelity of the system. Mathematically SNR is calculated as;

\[
SNR = \frac{1 \sum_{i=0}^{m-1} \sum_{j=0}^{n-1} I(i,j)^2}{1 \sum_{i=0}^{m-1} \sum_{j=0}^{n-1} (I(i,j) - K(i,j))^2}
\]

(17)

Where, \( I \) is the input image and \( K \) is the enhanced image.

6.3.3. Discrete Entropy

The entropy is defined as the measure of the information in the image. More the entropy more is the information. In the discrete form entropy may be defined as;

\[
h(x) = \sum_{x=1}^{m} f(x) \log(f(x))
\]

(18)

6.3.4. Absolute Standard Deviation Difference (ASDD)

The difference in Standard Deviation of input image and enhanced image is used as the measure of contrast enhancement. If the standard deviation difference is high then the image is over enhanced. Therefore SD difference should be low. The difference SD for contrast enhancement measure is defined in terms of variance as;

\[
\text{variance} = \frac{1}{m-1} \sum_{x=0}^{m-1} (x - MB)^2 \times P(x)
\]

(19)

\[
SD = \sqrt{\text{variance}}
\]

(20)

The absolute SD difference is given as;

\[
\text{ASDD} = |SD_{Enhance} - SD_{Input}|
\]

(21)

Where, \( P \) is the probability density function.

Table 1 Comparison of Standard deviation Difference

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Images</th>
<th>HE</th>
<th>BBHE</th>
<th>DSIHE</th>
<th>CLAHE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>See</td>
<td>13.9317</td>
<td>20.5841</td>
<td>20.5372</td>
<td>8.6881</td>
</tr>
<tr>
<td>2</td>
<td>Mrian_1</td>
<td>36.2093</td>
<td>36.064</td>
<td>36.1806</td>
<td>3.4106</td>
</tr>
<tr>
<td>3</td>
<td>Bobble vision</td>
<td>26.0813</td>
<td>24.1659</td>
<td>25.2169</td>
<td>0.0014</td>
</tr>
<tr>
<td>4</td>
<td>Redang Island</td>
<td>11.1413</td>
<td>5.1638</td>
<td>4.0882</td>
<td>9.0599</td>
</tr>
</tbody>
</table>

Table 2 Comparison of Mean Brightness

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Images</th>
<th>HE</th>
<th>BBHE</th>
<th>DSIHE</th>
<th>CLAHE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>See</td>
<td>127.456</td>
<td>128.780</td>
<td>127.870</td>
<td>131.460</td>
</tr>
<tr>
<td>2</td>
<td>Mrian_1</td>
<td>127.549</td>
<td>140.733</td>
<td>139.255</td>
<td>152.180</td>
</tr>
<tr>
<td>3</td>
<td>Bobble vision</td>
<td>127.486</td>
<td>116.055</td>
<td>117.941</td>
<td>128.069</td>
</tr>
<tr>
<td>4</td>
<td>Redang Island</td>
<td>129.817</td>
<td>116.64</td>
<td>122.964</td>
<td>116.353</td>
</tr>
</tbody>
</table>

Table 3 Comparison of SNR

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Images</th>
<th>HE</th>
<th>BBHE</th>
<th>DSIHE</th>
<th>CLAHE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>See</td>
<td>1.71680</td>
<td>2.1624</td>
<td>2.1488</td>
<td>7.2081</td>
</tr>
<tr>
<td>2</td>
<td>Mrian_1</td>
<td>2.9735</td>
<td>3.4963</td>
<td>3.4299</td>
<td>18.347</td>
</tr>
<tr>
<td>3</td>
<td>Bobble vision</td>
<td>4.0935</td>
<td>4.6126</td>
<td>4.4836</td>
<td>7.7603</td>
</tr>
<tr>
<td>4</td>
<td>Redang Island</td>
<td>1.6712</td>
<td>3.0278</td>
<td>2.5729</td>
<td>4.5733</td>
</tr>
</tbody>
</table>

Table 4 Comparison of Entropy

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Images</th>
<th>HE</th>
<th>BBHE</th>
<th>DSIHE</th>
<th>CLAHE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>See</td>
<td>5.95946</td>
<td>7.0933</td>
<td>7.1377</td>
<td>7.3837</td>
</tr>
<tr>
<td>2</td>
<td>Mrian_1</td>
<td>5.9828</td>
<td>7.2051</td>
<td>7.4881</td>
<td>7.0666</td>
</tr>
<tr>
<td>3</td>
<td>Bobble vision</td>
<td>5.9866</td>
<td>7.6756</td>
<td>7.6533</td>
<td>7.4678</td>
</tr>
<tr>
<td>4</td>
<td>Redang Island</td>
<td>5.7970</td>
<td>7.1535</td>
<td>7.1025</td>
<td>7.1637</td>
</tr>
</tbody>
</table>

The parametric comparison of the various underwater image enhancement methods are presented in the Table 1 to Table 4. It can be observed that the performance of the BBHE and DSIHE method varies with the kind of image environment. The CLAHE method gives less standard deviation difference and higher SNR values and also improves the entropy of the most of the input image. Thus performs better.

7. CONCLUSION

The performance comparison of various spatial domain enhancement methods is presented in the paper. It is observed that CLAHE method performs better than other methods in terms of contrast enhancement and equalization both. It is observed that CLAHE method provides higher SNR value thus is less affected by the noise. The HE method gives worst SNR performance and also suffers from the colour shifting due to poor contrast enhancement. The performance of BBHE and DSIHE methods is not consistent and performs better for different images. Paper also measures the contrast performance using new measure as absolute standard deviation difference. It is find that CLAHE method out performs in terms of contrast enhancement over other methods. The enhancement methods effectively improve the visibility of underwater images.

8. ACKNOWLEDGMENTS

Author wishes to acknowledge each and every individual who have supported for the current work directly or indirectly.
9. REFERENCES


10. AUTHOR’S PROFILE

1. Shivendra Singh: have completed the BE in Electronics and Communication engineering and is currently pursuing M. Tech degree from SISTECH college Bhopal India.

2. Manish Soni: Have received M. Tech degree and is currently working as Asst. Prof. at SISTEC College Bhopal in Electronics and communication department

3. Prof. Arun Kumar Patel : Have received M. Tech degree and pursuing PhD, and is currently working as Asst. Prof at SISTEC College Bhopal in Electronics and communication department

4. Dr. Ravi Shankar Mishra: Have received PhD degree in the VLSI field from the MANIT Bhopal, and is currently working as Head of the department ECE SISTEC College Bhopal India