

# **An Improved Round Robin Approach using Dynamic Time Quantum for Improving Average Waiting Time**

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## **ABSTRACT**

Round Robin scheduling algorithm is the most often used scheduling algorithm in timesharing systems as it is fair to all processes and is starvation free. But along with these advantages it suffers from some drawbacks such as more number of context switches, long waiting and long turnaround time. The main objective of this paper is to improve existing round robin algorithm by extending the time quantum in real time for candidate processes in such a manner that its fairness property is not lost. The proposed algorithm in this paper finds the remaining time of a process in its last turn and then based on some threshold value, decides whether its time quantum should be extended or not. A mathematical model has been developed to prove that the proposed algorithm works better than the conventional round robin algorithm. The result of experimental study also shows that the proposed improved version of round robin algorithm performs better than the conventional round robin algorithm in terms various performance metrics such as number of context switches, average waiting and turnaround time.

## **General Terms**

Scheduling, Round Robin Scheduling.

## **Keywords**

Turnaround time, Waiting time, Response Time, Context Switching.

## **1. INTRODUCTION**

Processor is among one of the most important computer resources and to use this resource in a most efficient way operating system should be multiprogrammed. In a multiprogramming environment there are several processes waiting in ready queue to be executed. A scheduling algorithm determines which process among these needs to be given control of CPU. A careful selection of a particular scheduling algorithm needs to be done as quality of service provided to users and performance of computer system depends on it. There are several scheduling algorithms through which various processes can be allocated CPU depending on their need. Some of these algorithms are described below:

First Come First Serve (FCFS)

Processes present in ready queue are allocated CPU in the same order in which they come. [11], [12]

Shortest Job First (SJF)

A process which has the shortest expected execution time is given the processor first. [11], [12]

Round Robin (RR)

All processes are executed in FCFS order for only a specific time quantum assigned by the system in a cyclic order. This cycle continues until every process executes completely. [11], [12].

Priority Scheduling

A process with the highest priority is executed first. [11], [12]

## **2. RELATED WORKS**

There is a host of work and researches going on for increasing the efficiency of round robin algorithm. Rami J. Matarneh [1] proposed a method that calculates median of burst time of all processes in ready queue. Now if this median is less than 25 than time quantum would be 25 otherwise time quantum is set to the calculated value. Ahad [2] proposed to modify the time quantum of a process based on some threshold value which is calculated by taking average of left out time of all processes in its last turn. Hiranwal et al. [3] introduced a concept of smart time slice which is calculated by taking the average of burst time of all processes in the ready queue if number of processes are even otherwise time slice is set to mid process burst time. Dawood [4] proposed an algorithm that first sorts all processes in ready queue and then calculate the time quantum by multiplying sum of maximum and minimum burst by 80. Noon et al. [5] proposed to calculate the time quantum by taking average of the burst time of all the processes in ready queue. Banerjee et al.[6] proposed an algorithm which first sorts all the processes according to the burst time and then finds the time quantum by taking average of burst time of all process from mid to last. Nayak et al. [7] calculated the optimal time quantum by taking the average of highest burst and median of burst. Yaashuwanth et al [8] introduced a term intelligent time slice which is calculated using the formula (range of burst \* total number of processes)/ (priority range \* Total number of priority). Matthias et al. [9] proposed a solution for Linux SCHED\_RR, to assign equal share of CPU to different users instead of process. Racu et al. [10] presents an approach to compute best case and worst case response time of round robin scheduling.

## **3. PROPOSED APPROACH**

In conventional round robin algorithm the system assigns a time quantum that does not change at all. In this paper some minor changes to conventional round robin algorithm has been proposed so that the time quantum of those processes is increased to some extent whose remaining time in its last turn is less than or equal to an assigned threshold value. In our approach this threshold value is assumed to be one fourth of the time quantum. If the remaining time of a process in its last turn is found out to be less than this threshold value then the process is not preempted in its second last turn unless it completely finishes its entire remaining execution time.

### 3.1 Terminologies Used in Proposed Algorithm

- $P_i$ :  $i^{\text{th}}$  Process where  $i = 1, 2, 3, \dots, N$
- $N$ : Total number of process in ready queue.
- $TQ$ : Time Quantum
- $BT[P_i]$ : Burst time of  $i^{\text{th}}$  process
- $AT[P_i]$ : Arrival time of  $i^{\text{th}}$  process.
- $Turn[P_i]$ : Round Robin turn number of  $i^{\text{th}}$  process
- $LT[P_i]$ : Last or second last Round Robin turn for  $i^{\text{th}}$  process.  $LT[P_i] = \text{floor} (BT_i / TQ)$  where  $\text{floor}(x)$  is largest integer value less than or equal to  $x$ .
- $K$ : Threshold Value. ( $TQ * 0.25$ )

### 3.2 Proposed Improvement in Round Robin Algorithm

```

1. Input: Ready Queue consisting of various Processes.
2. Initialize Turn[Pi]=1
3. while( Ready_Queue != Null)
4. {
5.   Select the process at front of ready queue
6.   if ( BT[Pi] < TQ)
7.   {
8.     Pi → BT[Pi] // execute Pi
9.     Remove the process Pi from ready queue
10.  }
11.  else
12.  {
13.    if (Turn[Pi] < LT[Pi])
14.    {
15.      Pi → TQ
16.      BT[Pi] = BT[Pi] - TQ
17.      Turn[Pi] ++
18.      Remove process Pi from front end of ready queue
19.      and add it to the rear end of the queue.
20.    }
21.    else
22.    {
23.      if (BT[Pi] = TQ)
24.      {
25.        Pi → BT[Pi]
26.        Remove the process Pi from ready queue.
27.      }
28.      else if (BT[Pi] <= TQ + K )
29.      {
30.        Pi → BT[Pi]
31.        Remove the process Pi from ready queue
32.      }
33.      else
34.      {
35.        Pi → TQ
36.        BT[Pi] = BT[Pi] - TQ
37.      }
38.    }
39.  }

```

### 4. MATHEMATICAL MODEL

In this section a mathematical model has been developed to prove that the proposed algorithm will always result in a better or at the most equal performance when compared to conventional round robin algorithm. Parameters used in this model are listed below.

- $N$ : Total number of processes in ready queue
- $TAT_i$ : Turnaround time for  $i^{\text{th}}$  process.
- $WT_i$ : Waiting time for  $i^{\text{th}}$  process.
- $BT_i$ : Burst time for  $i^{\text{th}}$  process.
- $TQ$ : Time quantum.
- $SB(i, j)$ : Sum of the service time received by all the processes that came before process  $P_i$  and got time quantum for execution until  $P_i$  finished its burst time completely.
- $SA(i, j)$ : Sum of the service time received by all the processes that came after process  $P_i$  and got time quantum for execution until  $P_i$  finished its burst time completely.
- $NT_i$ : Number of turns required for execution by  $i^{\text{th}}$  process.
- $CS$ : Total number of context switches
- $AVG(TAT)$ : Average turnaround time for all the processes.
- $AVG(WT)$ : Average waiting time for all the processes.

Turnaround time of round robin algorithm can be given by following equations:

$$TAT_i = BT_i + \sum_{j=1, j \neq i}^{i-1} SB(i, j) + \sum_{j=i+1, j \neq i}^n SA(i, j) \quad (1)$$

where

$$SB(i, j) = \begin{cases} NT_i * TQ & \text{if } NT_i < NT_j \\ BT_j & \text{if } NT_i \geq NT_j \end{cases}$$

$$SA(i, j) = \begin{cases} (NT_i - 1) * TQ & \text{if } NT_i \leq NT_j \\ BT_j & \text{if } NT_i > NT_j \end{cases}$$

$$NT_i = \left\lceil \frac{BT_i}{TQ} \right\rceil \quad (2)$$

$$AVG(TAT) = \sum_{i=1}^N \frac{TAT_i}{N}$$

$$WT_i = TAT_i - BT_i \quad (3)$$

$$AVG(WT) = \sum_{i=1}^N \frac{WT_i}{N}$$

For finding turnaround time for a process  $P_i$  using proposed approach equation 2 can be modified as follows

$$NT_i = \begin{cases} \left\lceil \frac{BT_i}{TQ} \right\rceil & \text{if } BT_i \% TQ > 0.25 * TQ \\ \left\lceil \frac{BT_i}{TQ} \right\rceil & \text{Otherwise} \end{cases} \quad (4)$$

In order to prove that the proposed approach works better than the conventional round robin algorithm its worst case and best case turnaround time scenarios needs to be analyzed. For the

worst case, equation (2) and equation (4) would be equivalent. Hence the worst case of proposed approach is equal to best/worst case of conventional round robin algorithm. Now for the best case equation (3) changes as follows

$$NT_i = \begin{cases} \left\lceil \frac{BT_i}{TQ} \right\rceil & \text{if } BT_i > TQ \\ 1 & \text{otherwise} \end{cases}$$

To prove that the turnaround time for the best case of proposed approach is better than conventional approach, it needs to be shown that  $\left\lceil \frac{BT_i}{TQ} \right\rceil < \left\lceil \frac{BT_i}{TQ} \right\rceil$ . Let  $X = \left\lceil \frac{BT_i}{TQ} \right\rceil$ . Now  $X$  should be a real number. If  $X$  is a real number, then the  $[X] - [X] = 1$ . By definition of ceiling function,  $[X]$  is the unique integer satisfying  $X \leq [X] < X + 1$ . By definition of floor function,  $[X]$  is the unique integer satisfying  $X - 1 < [X] \leq X$ . Since  $X$  is not an integer, then  $X - (X - 1) \leq [X] - [X]$  and  $[X] - [X] \leq (X + 1) - X \leq [X] - [X]$  and  $[X] - [X] \leq 1$ . Thus,  $[X] - [X] = 1$ , which implies  $\left\lceil \frac{BT_i}{TQ} \right\rceil < \left\lceil \frac{BT_i}{TQ} \right\rceil$ . Hence turnaround time of proposed approach is better than conventional approach. Since turnaround time is better, so from equation (3) waiting time of proposed approach will also be better than conventional round robin algorithm.

Now the equation for total number of context switches in round robin scheduling is given by

$$CS = \left\lceil \frac{BT_i}{TQ} \right\rceil - 1 \quad (5)$$

In the worst case of proposed algorithm all processes will require same number of turns as in conventional round robin algorithm. So the total number of context switches will be equal to equation (5). In the best case every process in ready queue will require one turn less than actual total number of turns. Hence total number of context switches will be given by

$$CS = \left\lceil \frac{BT_i}{TQ} \right\rceil - 1 - N \quad (6)$$

Comparing equations (5) and (6) it is seen that the best case of proposed algorithm will yield  $N$  number of less context switches than conventional approach.

## 5. EXPERIMENTAL ANALYSIS

For evaluating the performance it is assumed that the environment where all the experiments are performed is a single processor and the burst time for all processes is known prior to submitting of process to the scheduler. Moreover all the processes have equal priority. For doing this, the proposed algorithm is implemented in C programming language. Various numbers of experiments are also carried out of which output of three cases are shown in this paper.

### 5.1 Case I

Consider five processes in ready queue with arrival time, burst time and the time quantum as shown in table 1.

**Table 1: Processes specification for Case I**

Time Quantum (TQ) =10 ms		
Process Name	Arrival Time	Burst Time
P1	0	12
P2	0	11
P3	0	22
P4	0	31
P5	0	21

According to conventional Round Robin Algorithm

P1	P2	P3	P4	P5	P1	P2	P3	P4	P5	P3	P4	P5	P4	
0	10	20	30	40	50	52	53	63	73	83	85	95	96	97

**Fig1: Gantt chart for Round Robin in Table1**

Average Waiting Time = 57.2

Average Turnaround Time =76.2

Number of Context Switches =14

According to Proposed Algorithm

```

Enter Number of Process:5
Enter the Time Quantum:10

Enter Burst Time of the Process 1:12
Enter Burst Time of the Process 2:11
Enter Burst Time of the Process 3:22
Enter Burst Time of the Process 4:31
Enter Burst Time of the Process 5:21

PID:   1   2   3   4   5   3   4   5   4
Time:  0  12  23  33  43  53  65  75  86  97

Average Waiting Time= 37.20
Average Turnaround Time= 56.60
Total Number of Context Switches= 8

```

**Fig 2: Program output according to proposed approach for Table 1**

### 5.2 Case II

We assume that there are 5 processes in ready queue with arrival time, burst time and the time quantum as shown in table 2.

**Table 2: Processes specification for Case II**

Time Quantum (TQ) =20 ms		
Process Name	Arrival Time	Burst Time
P1	0	42
P2	0	32

P3	0	82
P4	0	45
P5	0	22

According to Conventional Round Robin Algorithm

P1	P2	P3	P4	P5	P1	P2	P3	P4	P5	P1	P3	P4	P3	P3
0	20	40	60	80	100	120	132	152	172	174	176	196	201	222 224

Fig 3: Gantt chart for Round Robin in Table 2

Average Waiting Time = 140.6  
Average Turnaround Time =181.4  
Number of Context Switches =15

According to Proposed Algorithm

```
Enter Number of Process:5
Enter the Time Quantum:20

Enter Burst Time of the Process 1:42
Enter Burst Time of the Process 2:32
Enter Burst Time of the Process 3:82
Enter Burst Time of the Process 4:45
Enter Burst Time of the Process 5:22

PID: 1 2 3 4 5 1 2 3 4 3 3
Time: 0 20 40 60 80 102 124 136 156 181 201 223

Average Waiting Time= 108.60
Average Turnaround Time= 153.20
Total Number of Context Switches= 10
```

Fig 4: Program output according to proposed approach for Table 2

### 5.3 Case III

We assume that there are 6 processes in ready queue with arrival and burst time and time quantum as shown in table 2.

Table 3: Processes specification for Case III

Time Quantum (TQ) =10 ms		
Process Name	Arrival Time	Burst Time
P1	0	11
P2	0	10
P3	0	22
P4	0	31
P5	0	25
P6	0	13

According to Conventional Round Robin Algorithm

P1	P2	P3	P4	P5	P6	P1	P3	P4	P5	P6	P3	P4	P5	P4
0	10	20	30	40	50	60	61	71	81	91	94	96	106	111 112

Fig 5: Gantt chart for Round Robin in Table 3

Average Waiting Time = 65.33  
Average Turnaround Time = 76.66  
Number of Context Switches = 15

According to Proposed Algorithm

```
Enter Number of Process:6
Enter the Time Quantum:10

Enter Burst Time of the Process 1:11
Enter Burst Time of the Process 2:10
Enter Burst Time of the Process 3:22
Enter Burst Time of the Process 4:31
Enter Burst Time of the Process 5:25
Enter Burst Time of the Process 6:13

PID: 1 2 3 4 5 6 1 3 4 5 6 3 4 5
Time: 0 11 21 31 41 51 61 73 83 93 96 107 112

Average Waiting Time= 51.33
Average Turnaround Time= 70.00
Total Number of Context Switches= 11
```

Fig 6: Program output according to proposed approach for Table 3

## 6. COMPARISON OF RESULTS

Performance of three problems stated in section 5 has been compared by considering average waiting time, average turnaround time, and number of context switches. Table 4, 5 and 6 show the result obtained whereas figure7, 8 and 9 show the comparisons.

Table: 4 Computational results for Case I

Performance Attribute	Convention al Round Robin Algorithm	Proposed Algorithm	Remark
Average Waiting Time	57.2	37.20	20 units of time saved
Average Turnaround Time	76.2	56.60	19.9 unit of time saved
Context Switch	14	8	6 number of context switches reduced

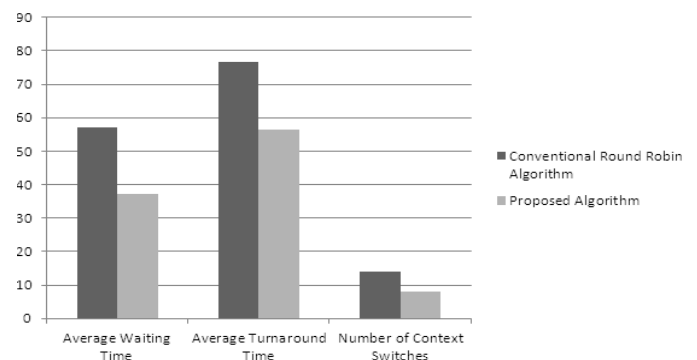
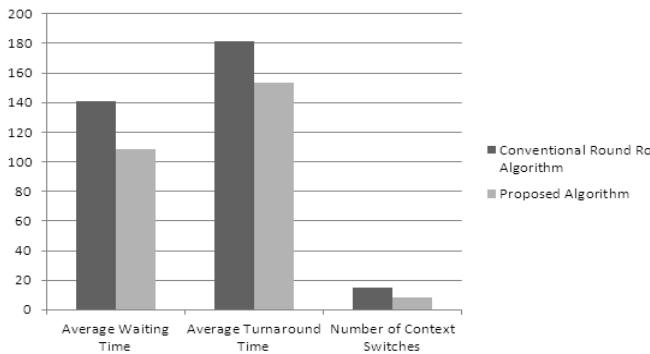


Fig 7: Performance Comparison for Case I

**Table: 5 Computational results for Case II**

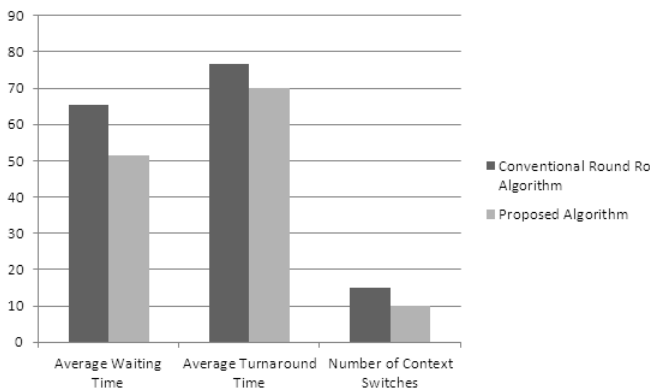
Performance Attribute	Simple RR Algorithm	Proposed Algorithm	Remark
Average Waiting Time	140.6	108.60	32 units of time saved
Average Turnaround Time	181.2	153.20	28 units of time saved
Context Switch	15	10	5 number of context switches reduced



**Fig 8: Performance Comparison for Case II**

**Table: 6 Computational results for Case III**

Performance Attribute	Simple RR Algorithm	Proposed Algorithm	Remark
Average Waiting Time	65.3	51.33	13.97 units of time saved
Average Turnaround Time	76.66	70.00	6.66 units of time saved
Context Switch	15	11	4 number of context switches reduced



**Fig 9: Performance Comparison for Case III**

## 7. CONCLUSIONS

Time quantum plays a very important role in round robin scheduling. In this paper an improved version of round robin scheduling algorithm is proposed. This approach extends the time quantum for those processes that require only a fractional more amount of time than the allocated fixed time quantum. From the mathematical model it was proved that the worst case of the proposed algorithm is equivalent to best/worst case of conventional round robin algorithm. Experimental results also show a significant improvement in results of proposed algorithm over conventional round robin scheduling algorithm without much affecting the response time.

## 6. REFERENCES

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