Text Line Extraction from Complex Layout Documents

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ABSTRACT

There are numerous stylish documents which do not have the traditional text layouts where printed text regions are not parallel to each other. Such complex layouts make text line extraction challenging due to multi-orientation of paragraphs. This paper introduces a system for the text line extraction from the complex layout documents. Proposed method is based on the concept of dilation and histogram profiling. The text regions are extracted using dilation and food fill based approach, then paragraph orientation is determined and individual text lines are extracted. The accuracy of extracted text lines are evaluated using the new proposed concept that is also based on the histogram profiling. The results of proposed approach on the complex layouts are promising.

General Terms

Document Analysis and Recognition, Optical Character Recognition.

Keywords

OCR; Segmentation; Profiling; Dilation;

1. INTRODUCTION

Optical character recognition (OCR) has been a popular research area for three decades due to its various application potentials [1]. The applications of OCR [2-4] include such as office, library and publishing houses automation, reading aid for the blind peoples, automatic mail sorting, reading entrance examination forms, processing of applications of victims and criminal records in police station, bank cheque processing, vehicle license plate processing and language processing systems etc. The objective of OCR systems is automatic reading of document image and translation into machine-readable codes such as ASCII codes.

Preprocessing, segmentation, feature extraction and classification are the four important steps of any of the OCR systems. Segmentation is one of most prominent step for the extraction of text regions, text lines, words and characters from the page layout for recognition purpose. Segmentation process extracts basic constituent such as words and characters of the text present on the document image. Further these constituents are needed for the recognition purpose. A perfect extraction of text line, word, and character is required before individual word or character is recognized. The subsequent stages of OCR systems mainly depend upon the accuracy of segmentation stage [5]. A slight mistake in interpreting the text in terms of

segmentation can lead to mistake in the automation process such as wrong entry in examination forms or wrong mail sorting in postal service [6]. Before segmentation of text lines, it is important to detect and extract the paragraphs or text regions from the document image.

There exist many printed as well as handwritten materials where text regions are not parallel to each other and they are multi-oriented in nature as shown in fig. 1 [7]. It is very difficult to extract text regions from the complex layout document images due to multi-orientation of text paragraphs, availability of graphics and images [8]. Page segmentation divides the document image into homogeneous zones, each consisting of only one physical layout structure. The accuracy of OCR system may be decreased due to wrong segmentation of text lines and words. Numbers of commercial OCR systems are now available in the market but most of these systems work on good quality documents. There are no sufficient numbers of research works on text line extraction from complex layout documents [7].

There are many techniques to extract text lines from single oriented documents [9-16]. Some pieces of published work on extraction of multi-oriented and curved text lines are in [17-25]. Kise et al. [26] proposed a method of page segmentation based on analysis of background and it is capable of segmenting pages with non-rectangular layout as well as with various angles of skew. Some other studies on page segmentation are in [27-45]. Robust page segmentation approach based on smearing and error correction unifying top-down and bottom-up approaches is proposed by Cao et al. [46]. Marinai et al. [47] present layout based document image retrieval by means of XY tree reduction approach. Shafait et al. [48] evaluated six well known algorithms of page segmentation. Keysers et al. [49] proposed a document image zone classification based simple highperformance approach. Recently, Smith [50] proposed a hybrid page layout analysis via tab-stop detection approach.

2. PROPOSED METHODOLOGY

Multi-text orientations in stylish or complex layout documents make extraction of text line difficult and challenging. The sample document images of complex layout with multi-text region are shown in fig. 1 and 2. For the experiment, the documents are scanned and binarized using Otsu's [50] approach. In this paper, we proposed a method based on the three following steps:

2.1 Selection and Extraction of Text Regions

The first step aims at extracting multi-oriented text regions from the document. The output of this step is the set of images consisting of only one type of orientation. The orientation of these textual images is determined at the latter stage. Textual regions are extracted (vertically and horizontally oriented) using the following sub-steps:

2.1.1 Selecting the regions

First of all, dilation [51] with square structuring element having a radius of 12 pixels (The corresponding D8 or chessboard distance will be half of the side i.e. 6 pixels) is applied to whole document to cover the text regions containing black pixels. Fig. 3 (a) shows the dilation on the text regions.



Figure 1: Sample document images with complex layouts

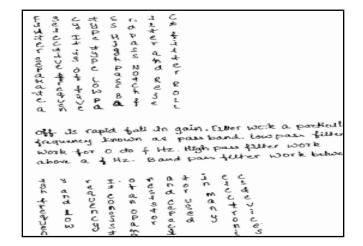


Figure 2: Sample input image with complex layout

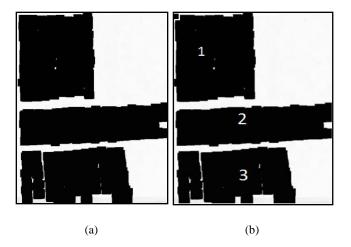


Figure3: (a) Region selection using dilation and (b) Region numbering

2.1.2 Extraction of dilated regions

For the extraction of the different regions from the dilated image concept of flood fill is used. Each pixel of the dilated image row and column wise is scanned (i.e. each column is scanned for particular row and then moving to next row). When a first black pixel is encountered the all connected black pixels to it, is flood filled by the gray level color corresponding to value 1. The same procedure is repeated for the subsequent black pixels encountered with the increasing grey level intensities i.e. 2, 3, 4......and so on. Thus all the dilated regions get numbered starting from 1 to the number of textual regions as shown in fig. 3 (b). Region 1 is encountered first and is flood filled by the gray level value of 1. Region 2 is encountered second and is flood filled by the gray level value of 2. Finally region 3 is encountered third and is flood filled by the gray level value of 3. The numbering is done in the same manner if more regions are in document images.

2.1.3 Formation of new image containing different textual region

To form the sub images containing the textual regions, a set of blank white image of minimum area is formed which contains the different black regions (dilated regions). The approach used to form the set of blank images is to determine the maximum and minimum values of 'x' and 'y' coordinates and then minimum value is subtracted from the maximum value to determine the width and height of blank image to be formed.

$$\label{eq:energy} \begin{aligned} \text{Height} \left(H \right) &= Max(y) - Min(y) \\ \end{aligned} \qquad \qquad 1 \\ \text{Weight} \left(w \right) &= Max(x) - Min(x) \\ \end{aligned}$$

The above procedure is repeated for all the textual regions and blank images are formed that is equal to the number of black dilated regions formed. Now the pixels from binarized image are copied to the blank image according to their address (i.e. column number and row number) so as to form the sub images of the binarized original document which will be segmented later into vertical and horizontal lines.

2.2 Region orientation determination and text line extraction

In this step, the set of images generated as the output of the previous step is taken as the input one by one and their orientation is determined. These images are passed to next stage for segmentation. This step works using the following steps:

2.2.1 Determination of the orientation

To determine the orientation of the text, histogram profiling based approach [6] is used. We form two kinds of histograms; histogram type one (vertical) is formed by analyzing the text row wise counting the number of black pixels in each row and forming the histogram for it. Histogram type two (horizontal) is formed by analyzing column wise counting number of black pixels in each column and forming histogram for it. The concept of histogram representation is shown in fig. 4.

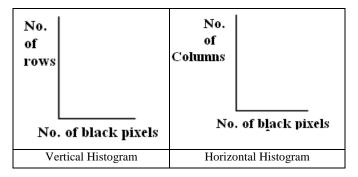


Figure 4: Representation of vertical and horizontal histogram

Both types of histograms (vertical and horizontal) are formed starting from the row or column where first black pixel is encountered till the row or column where last black pixel is encountered. The histograms of vertical and horizontal text region are shown in fig. 5 and 6 respectively.

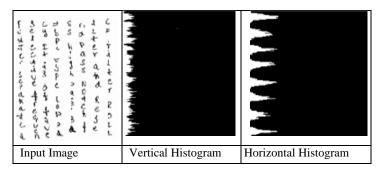


Figure 5: Shows the vertical and horizontal histograms of vertical text region

Now, Histogram is transformed to the percentage notation to denote the number of pixel as the percentage of maximum number of black pixel in each row using the formula:

% of Pixel = (Number of Pixel in a Row / Max. of Number of Pixel in Rows)*100 Where number of pixel in any row is the number of black pixel encountered in row and maximum number of pixel is number of black pixel in the row which contains maximum number of black pixels among all rows.

Using data formed by using equation number 3, we transform histogram 1 and histogram 2 to Histogram A and Histogram B as shown in fig. 7 and 8 respectively.

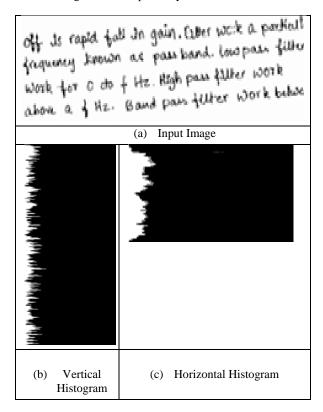


Figure 6: Shows the vertical and horizontal histograms of horizontal text regions

Since histogram is changed in percentage notation the maximum value of number of black pixel for any row cannot exceed 100 numbers.

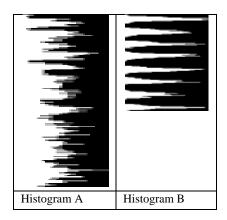


Figure 7: Transformed histogram (in %) of vertical text

8

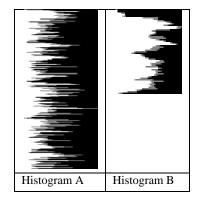


Figure 8: Transformed histogram (in %) of horizontal text

To determine the orientation of the textual regions, the number of regions consisting of white pixels is denoted by black regions in histograms shown in fig. 9 for both Histogram A and B and is counted.

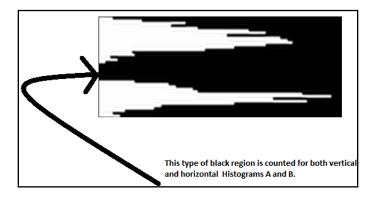


Figure 9: Histogram counting concept

Now these numbers of regions is also converted into percentage notation with respect to Height and Width respectively using the following equations:

% NRV = (NRV / Height of Histogram A)*100
Where NRV= Number of Black Regions in Vertical
Histogram A

3

NRH = (NRH / Height of Histogram B)*100

Where NRH= Number of Black Regions in Horizontal
Histogram B

 $\begin{array}{ll} \mbox{Height of Histogram A= Height of Considered Subimage Containing Text-X} & \mbox{5} \end{array}$

X= (Height of Lowermost White Region in that Image Without Text + Height of Topmost White Region Without Text)

7

 $\begin{array}{ll} \mbox{Height of Histogram B= Width of Considered Subimage Containing Text-S} \end{array}$

S= (Height of Leftmost White Region in that Image Without Text + Height of Rightmost White Region Without Text)

Now we consider two cases, first case, if %NRV is greater than %NRH. It denotes the text being horizontal as number of white regions is more in the horizontal text. When we analyze vertically as there is sufficient spacing between two lines. In Second case, if %NRH is greater than %NRV. This case denotes the text being vertical as number of white regions is more in vertical text as it move horizontally due to spacing between vertical lines

2.2.2 Segmentation of text lines

After the identification of the type of the orientation, we proceed to the segmentation of the lines from the sub images of textual region on the basis of horizontal or vertical text. If the text is vertical the lines are segmented on the basis of the Histogram B and if text is horizontal the lines are segmented on the basis of the Histogram A. Considering vertical text, the histogram of type B is used for segmentation as shown in fig. 10.

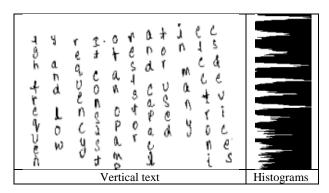


Figure 10: Histograms of vertical text

For the purpose of segmentation, the histogram of type B is starting from the top most and the region corresponding to the white pixels in the histogram type is cropped from the sub-image as a new image keeping height of the cropped images constant and varying the width according to the histogram. The value of the width from which the image is to be cropped is determined by the address of the topmost pixel of corresponding white region and the address of the lowermost pixel gives us the width up to which we have to crop the image. The cropped set of images thus formed from original textual sub-image for each white regions of the histogram is the segmented vertical lines as shown in fig. 11.



Figure 11: Segmented vertical lines from the vertical text subimage

In the same manner the horizontal text is also segmented by reading the data from the histogram of type A and following the same procedure as above keeping width constant i.e. equal to original width of sub image and finding the addresses and height for the purpose of cropping from the original image on the basis of histogram type. The sample of horizontal profiling of horizontal text is shown in fig. 12.

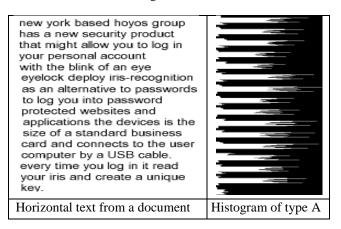


Figure 12: Horizontal profiling of horizontal text

2.3 Verification of segmentation and its correction

Sometimes, it is observed that there is no complete spacing between two text lines means they are connected by one or two pixels in one or other location as shown in fig. 13. To cope with this problem, we set all the white pixels in histograms to 0% whose percentage value is less than threshold value which is taken initially 2% thus the connected characters in upper and lower lines can be segmented in this manner.

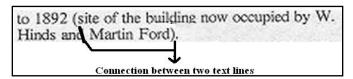


Figure 13: Relationship between two text lines

As shown in fig. 13, two upper and lower brackets in two text lines are example of connection between two text lines at different location which can cause problem while forming the histogram as there will be no zero black pixel or white region between two lines thus there will be no black region in histogram. Thus as a result two text lines considered as a single text line.

After the horizontal or vertical segmentation of respective regions it is checked weather the regions are segmented properly or not. When the text is segmented into horizontal line the resulted segmented lines are used to form the histograms and then their orientation is determined. It results into virtually vertical text as their will be no black pixel regions in Histogram A and considerable black pixels region in Histogram B.

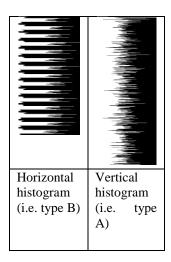


Figure 14: Horizontal Vs. Vertical histograms

The example of histograms of horizontally segmented line is shown in fig. 14. On the basis of histograms as shown in fig. 14, %NRV is greater than %NRH. It means, the text line is vertical after horizontal segmentation.

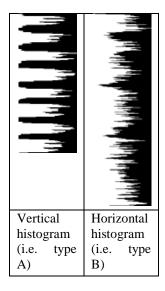


Figure 15: Vertical Vs. Horizontal histograms

The example of histogram, shown in fig. 15 dictates that %NRH is less than %NRV. Thus vertical segmentation results into virtually horizontal text orientation. The above results can be used to verify segmentation is done correctly or not and then correct it. If after segmentation of vertical text the result is not virtually horizontal text or vice-versa the threshold value used to set pixels % value to zero, is incremented by one and the segmentation process is again repeated and then it is again passed through the verification process. This whole process repeats until verification test is passed by the segmented text lines. The concept of increasing the threshold value is based on the fact that in case connected lines as described above are treated as a single line and thus verification test is performed on them they do not yield desired results and thus threshold value is increased to segment them into separate lines to give required

results i.e. properly segmented lines. One more step is included in verification by considering width for vertical segmented line and height for horizontally segmented text line.

For horizontally segmented text, if height of any of segmented lines is greater than K the threshold value is incremented and segmentation process is done again. K is defined as shown in the following equation:

$$K = Average of Height of all Segmented Text Lines + (Average of Height of all Segmented Text Lines / 2) 8$$

Same process is performed for vertically segmented text taking width into consideration and K will be

Thus segmentation is performed again and again on the subimages containing text region with increased threshold value until the segmented images passes the verification steps thus at the end we get the proper segmented text lines.

3. RESULTS AND DISCUSSIONS

For testing of our proposed methodology, we have developed and collected a dataset of complex layout documents from newspapers, books and internet as shown in fig. 15. The proposed methodology of text line extraction is based on the two set of experiments. In the first set of experiment, proposed methodology was tested on 15 machine printed documents having different kind of text orientation. In the second set of experiment, proposed algorithm was tested on 15 handwritten complex layout document images. Experimental results demonstrate efficiency of our proposed methodology. Further, the accuracy of text lines extraction is evaluated using a concept based on histogram profiling.

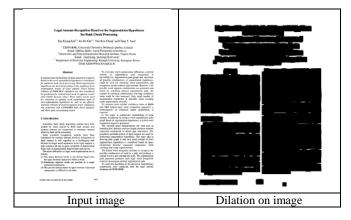


Figure 15: Shows sample of data set used in experiment with dilation

The proposed method for text line extraction can be applied to word and character segmentation with equal efficiency. Hence, the proposed system of text segmentation proved to be useful in the designing of OCR where document contains challenging structural properties such as complex page layout and multi-script text

4. CONCLUSION AND FUTURE WORK

In this work, we presented an approach to extract text lines from the complex machine printed as well as handwritten document layouts. The text extraction algorithm was tested on 30 images but more sample images can produce more output cases in terms of merits or demerits of proposed algorithm. Although we have done experiments only on English script documents but proposed system is not a script/language dependent, it can also be useful in all Indian scripts also such as Brahmi, Grantha, Sinhalese, Bali and other scripts. This work is useful in the development of language/script independent OCR systems. Most of the works reported on Indian languages are on straight text line documents. Elaborate studies on complex layout documents are not undertaken by the researchers in the development of script/language independent OCR systems.

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